

CITY OF ROCHESTER NH
31 Wakefield St., Rochester, NH 03867
INVITATION TO BID

City of Rochester, NH is soliciting a competitive bid;

1. **BID: Bid 22-48 Betts Road and Cross Road Intersection Improvements**
2. **BID Submission Options-Hardcopy, or Electronically:**
 - a) Submit Hardcopy via USPS, FEDEX, or UPS: City of Rochester, NH, Purchasing Agent
31 Wakefield St. Rochester, NH 03867. Reference **Bid 22-48** on package.
No in-person drop offs.
 - b) Submit Electronically via Email: RFP22-48@rochesternhnet.onmicrosoft.com
Include in Email subject line: **Bid 22-48**. An automated email confirmation will be generated to bidder once bid has been received. It is bidder's responsibility to ensure proper email submission of bid, and to monitor for the confirmation email.
3. **Bid Receipt Date & Time:** No later than **June 7, 2022 at 5:00pm.**
4. **Bid Opening Date & Time:** **June 8, 2022 at 3:00pm.** Opening will be conducted virtually via City of Rochester's Government Channel Video on Demand-Live Stream broadcast <https://rochesternh.viebit.com/?folder=ALL>. Select Live Stream top center. Local broadcast will also occur on Atlantic Broadband cable government channel #26.
5. **Bid Specifications, Questions & Addendums (Q&A):** Can be obtained by visiting <https://rochesternh.net/bids> see **OPEN BIDS** section. Or contact City of Rochester, NH Purchasing Agent 31 Wakefield St. Rochester, NH 03867, purchasing@rochesternh.net, 603-335-7602. Note Q&A updates will end one week prior to bid opening.

Bid Results: Results can be obtained at <https://rochesternh.net/bids>, in **CLOSED BIDS** section. Select the specific bid to see all results, or contact CITY OF ROCHESTER, NEW HAMPSHIRE

There will not be a site visit to review the requirements for the proposed Betts Road and Cross Road Intersection. Bidders are encouraged to visit the site at the intersection of Betts Road and Cross Road, Rochester, NH 03867 at their own convenience.

END OF SECTION

BIDDING REQUIREMENTS, CONTRACT DOCUMENTS AND TECHNICAL SPECIFICATIONS

FOR THE CONSTRUCTION OF:
BETTS ROAD AND CROSS ROAD INTERSECTION

FOR THE
CITY OF ROCHESTER
STRAFFORD COUNTY
STATE OF NEW HAMPSHIRE



Prepared by:

GPI

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May 2022



May 12, 2022

(GPI Project No. 2020266.01)

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SECTION 00200
INSTRUCTIONS TO BIDDERS
(EJCDC C-200, Modified)

ARTICLE 1 – DEFINED TERMS

1.01 Terms used in these Instructions to Bidders have the meanings indicated in the General Conditions and Supplementary Conditions. Additional terms used in these Instructions to Bidders have the meanings indicated below which are applicable to both the singular and plural thereof:

A. *Issuing Office* – Not Used

ARTICLE 2 – COPIES OF BIDDING DOCUMENTS

2.01 Bid proposals and specifications: Can be obtained by contacting the Purchasing Agent by email at purchasing@rochesternh.net as stated in the advertisement for bids.

2.02 Complete sets of Bidding Documents shall be used in preparing Bids; neither Owner nor Engineer assumes any responsibility for errors or misinterpretations resulting from the use of incomplete sets of Bidding Documents. Failure of Bidder to obtain authorized bidding documents from the Purchasing Agent purchasing@rochesternh.net may be cause for rejection of a bid.

2.03 Owner and Engineer, in making copies of Bidding Documents available on the above terms, do so only for the purpose of obtaining Bids for the Work and do not authorize or confer a license for any other use.

ARTICLE 3 – QUALIFICATIONS OF BIDDERS

3.01 To demonstrate Bidder's qualifications to perform the Work, after submitting its Bid and within five days of Owner's request, Bidder shall submit (a) written evidence establishing its qualifications such as financial data, previous experience, and present commitments, and (b) the following additional information:

- A. Two Bank References
- B. List of at least three similar projects completed within the last three years with contact information for the Owner or Engineer for each project.
- C. Client References
- D. Present Project Commitments, with schedules for completion
- E. List of Proposed Subcontractors and the trades they will provide

- F. Bidders must be listed on the NHDOT “Prequalified Contractor’s List” with a classification of Road or Road-Small construction as of the date and time of the Bid Opening.

3.02 A Bidder’s failure to submit required qualification information within the times indicated may disqualify Bidder from receiving an award of the Contract.

3.03 No requirement in this Article 3 to submit information will prejudice the right of Owner to seek additional pertinent information regarding Bidder’s qualifications

Bidder is advised to carefully review those portions of the Bid Form requiring Bidder’s representations and certifications.

ARTICLE 4 – SITE AND OTHER AREAS; EXISTING SITE CONDITIONS; EXAMINATION OF SITE; OWNER’S SAFETY PROGRAM; OTHER WORK AT THE SITE

4.01 Site and Other Areas

- A. The Site is identified in the Bidding Documents. By definition, the Site includes rights-of-way, easements, and other lands furnished by Owner for the use of the Contractor. All easements as shown in the Contract Documents will be obtained and will be provided to the Contractor prior to the beginning of Construction. Any additional lands required for temporary construction facilities, construction equipment, or storage of materials and equipment, and any access needed for such additional lands, are to be obtained and paid for by Contractor.

4.02 Existing Site Conditions

- A. Subsurface and Physical Conditions; Hazardous Environmental Conditions

1. The Supplementary Conditions identify:

- a. Those reports known to Owner of explorations and tests of subsurface conditions at or adjacent to the Site.
- b. Those drawings known to Owner of physical conditions relating to existing surface or subsurface structures at the Site (except Underground Facilities).
- c. Reports and drawings known to Owner relating to Hazardous Environmental Conditions that have been identified at or adjacent to the Site.
- d. Technical Data contained in such reports and drawings.

2. Owner will make copies of reports and drawings referenced above available to any Bidder on request. These reports and drawings are not part of the Contract Documents, but the Technical Data contained therein upon whose accuracy Bidder is entitled to rely, as provided in the General Conditions, has been identified and established in the Supplementary Conditions. Bidder is

responsible for any interpretation or conclusion Bidder draws from any Technical Data or any other data, interpretations, opinions, or information contained in such reports or shown or indicated in such drawings.

3. If the Supplementary Conditions do not identify Technical Data, the default definition of Technical Data set forth in Article 1 of the General Conditions will apply.
- B. **Underground Facilities:** Information and data shown or indicated in the Bidding Documents with respect to existing Underground Facilities at or contiguous to the Site are set forth in the Contract Documents and are based upon information and data furnished to Owner and Engineer by owners of such Underground Facilities, including Owner, or others.
 - C. **Adequacy of Data:** Provisions concerning responsibilities for the adequacy of data furnished to prospective Bidders with respect to subsurface conditions, other physical conditions, and Underground Facilities, and possible changes in the Bidding Documents due to differing or unanticipated subsurface or physical conditions appear in Paragraphs 5.03, 5.04, and 5.05 of the General Conditions. Provisions concerning responsibilities for the adequacy of data furnished to prospective Bidders with respect to a Hazardous Environmental Condition at the Site, if any, and possible changes in the Contract Documents due to any Hazardous Environmental Condition uncovered or revealed at the Site which was not shown or indicated in the Drawings or Specifications or identified in the Contract Documents to be within the scope of the Work, appear in Paragraph 5.06 of the General Conditions.

4.03 Site Visit and Testing by Bidders

- A. Bidder shall conduct the required Site visit during normal working hours and shall not disturb any ongoing operations at the Site.
- B. On request, and to the extent Owner has control over the Site, and schedule permitting, the Owner will provide Bidder access to the Site to conduct such additional examinations, investigations, explorations, tests, and studies as Bidder deems necessary for preparing and submitting a successful Bid. Owner will not have any obligation to grant such access if doing so is not practical because of existing operations, security or safety concerns, or restraints on Owner's authority regarding the Site.
- C. Bidder shall comply with all applicable Laws and Regulations regarding excavation and location of utilities, obtain all permits, and comply with all terms and conditions established by Owner or by property owners or other entities controlling the Site with respect to schedule, access, existing operations, security, liability insurance, and applicable safety programs.

- D. Bidder shall fill all holes and clean up and restore the Site to its former condition upon completion of such explorations, investigations, tests, and studies.

4.04 *Owner's Safety Program*

- A. Site visits and work at the Site may be governed by an Owner safety program. As the General Conditions indicate, if an Owner safety program exists, it will be noted in the Supplementary Conditions.

4.05 *Other Work at the Site*

- A. Reference is made to Article 8 of the Standard General Conditions of the Construction Contract for the identification of the general nature of other work of which Owner is aware (if any) that is to be performed at the Site by Owner or others (such as utilities and other prime contractors) and relates to the Work contemplated by these Bidding Documents. If Owner is party to a written contract for such other work, then on request, Owner will provide to each Bidder access to examine such contracts (other than portions thereof related to price and other confidential matters), if any.

ARTICLE 5 – BIDDER'S REPRESENTATIONS

5.01 It is the responsibility of each Bidder before submitting a Bid to:

- A. examine and carefully study the Bidding Documents, and any data and reference items identified in the Bidding Documents;
- B. visit the Site, conduct a thorough, alert visual examination of the Site and adjacent areas, and become familiar with and satisfy itself as to the general, local, and Site conditions that may affect cost, progress, and performance of the Work;
- C. become familiar with and satisfy itself as to all Laws and Regulations that may affect cost, progress, and performance of the Work;
- D. carefully study all: (1) reports of explorations and tests of subsurface conditions, if any, at or adjacent to the Site and all drawings of physical conditions relating to existing surface or subsurface structures, if any, at the Site that have been identified in the Supplementary Conditions, especially with respect to Technical Data in such reports and drawings, and (2) reports and drawings relating to Hazardous Environmental Conditions, if any, at or adjacent to the Site that have been identified in the Supplementary Conditions, especially with respect to Technical Data in such reports and drawings;
- E. consider the information known to Bidder itself; information commonly known to contractors doing business in the locality of the Site; information and observations obtained from visits to the Site; the Bidding Documents; and the Site-related reports

and drawings identified in the Bidding Documents, if any, with respect to the effect of such information, observations, and documents on (1) the cost, progress, and performance of the Work; (2) the means, methods, techniques, sequences, and procedures of construction to be employed by Bidder; and (3) Bidder's safety precautions and programs;

- F. agree, based on the information and observations referred to in the preceding paragraph, that at the time of submitting its Bid no further examinations, investigations, explorations, tests, studies, or data are necessary for the determination of its Bid for performance of the Work at the price bid and within the times required, and in accordance with the other terms and conditions of the Bidding Documents;
- G. become aware of the general nature of the work to be performed by Owner and others at the Site that relates to the Work as indicated in the Bidding Documents;
- H. correlate the information known to Bidder, information and observations obtained from visits to the Site, reports and drawings identified in the Bidding Documents, and all additional examinations, investigations, explorations, tests, studies, and data with the Bidding Documents;
- I. promptly give Engineer written notice of all conflicts, errors, ambiguities, or discrepancies that Bidder discovers in the Bidding Documents and confirm that the written resolution thereof by Engineer is acceptable to Bidder;
- J. determine that the Bidding Documents are generally sufficient to indicate and convey understanding of all terms and conditions for the performance and furnishing of the Work; and
- K. agree that the submission of a Bid will constitute an incontrovertible representation by Bidder that Bidder has complied with every requirement of this Article, that without exception the Bid and all prices in the Bid are premised upon performing and furnishing the Work required by the Bidding Documents.

ARTICLE 6 – PRE-BID CONFERENCE

6.01 A pre-bid conference will not be held as stated in the invitation to bid. Bidders are encouraged to visit the project site at their convenience.

ARTICLE 7 – INTERPRETATIONS AND ADDENDA

7.01 All questions about the meaning or intent of the Bidding Documents are to be submitted to Engineer in writing. Interpretations or clarifications considered necessary by Engineer in response to such questions will be issued by Addenda delivered to all parties recorded as

having received the Bidding Documents. Questions received less than seven days prior to the date for opening of Bids may not be answered. Only questions answered by Addenda will be binding. Oral and other interpretations or clarifications will be without legal effect.

ARTICLE 8 – BID SECURITY

- 8.01 A Bid must be accompanied by Bid security made payable to Owner in an amount of 5% percent of Bidder’s maximum Bid price (determined by adding the base bid and all alternates) and in the form of a certified check, bank money order, or a Bid bond (on the form included in the Bidding Documents) issued by a surety meeting the requirements of Paragraphs 6.01 and 6.02 of the General Conditions. The Bidder shall email the electronic copy of the Bid Bond to the email listed in the Advertisement for Bids.
- 8.02 The Bid security of the apparent Successful Bidder will be retained until Owner awards the contract to such Bidder, and such Bidder has executed the Contract Documents, furnished the required contract security, and met the other conditions of the Notice of Award, whereupon the Bid security will be released. If the Successful Bidder fails to execute and deliver the Contract Documents and furnish the required contract security within 15 days after the Notice of Award, Owner may consider Bidder to be in default, annul the Notice of Award, and the Bid security of that Bidder will be forfeited. Such forfeiture shall be Owner’s exclusive remedy if Bidder defaults.
- 8.03 The Bid security of other Bidders that Owner believes to have a reasonable chance of receiving the award may be retained by Owner until the earlier of seven days after the Effective Date of the Contract or 61 days after the Bid opening, whereupon Bid security furnished by such Bidders will be released.
- 8.04 Bid security of other Bidders that Owner believes do not have a reasonable chance of receiving the award will be released within seven days after the Bid opening.

ARTICLE 9 – CONTRACT TIMES

- 9.01 The number of days within which, or the dates by which, the Work is to be substantially completed and ready for final payment are set forth in the Agreement.

ARTICLE 10 – LIQUIDATED DAMAGES

- 10.01 Provisions for liquidated damages, if any, for failure to timely attain Substantial Completion, or Final Completion of the Work in readiness for final payment, are set forth in the Agreement.

ARTICLE 11 – SUBSTITUTE AND “OR-EQUAL” ITEMS

- 11.01 The Contract for the Work, as awarded, will be on the basis of materials and equipment specified or described in the Bidding Documents without consideration during the bidding and Contract award process of possible substitute or “or-equal” items. In cases in which the Contract allows the Contractor to request that Engineer authorize the use of a substitute or “or-equal” item of material or equipment, application for such acceptance may not be made to and will not be considered by Engineer until after the Effective Date of the Contract.
- 11.02 All prices that Bidder sets forth in its Bid shall be based on the presumption that the Contractor will furnish the materials and equipment specified or described in the Bidding Documents, as supplemented by Addenda. Any assumptions regarding the possibility of post-Bid approvals of “or-equal” or substitution requests are made at Bidder’s sole risk.

ARTICLE 12 – SUBCONTRACTORS, SUPPLIERS, AND OTHERS

- 12.01 A Bidder shall be prepared to retain specific Subcontractors, Suppliers, or other individuals or entities for the performance of the Work if required by the Bidding Documents (most commonly in the Specifications) to do so. If a prospective Bidder objects to retaining any such Subcontractor, Supplier, or other individual or entity, and the concern is not relieved by an Addendum, then the prospective Bidder should refrain from submitting a Bid.
- 12.02 Subsequent to the submittal of the Bid, Owner may not require the Successful Bidder or Contractor to retain any Subcontractor, Supplier, or other individual or entity against which Contractor has reasonable objection.
- 12.03 The apparent Successful Bidder, and any other Bidder so requested, shall within five days after Bid opening, submit to Owner a list of the Subcontractors or Suppliers proposed for portions of the Work for which such identification is required.

If requested by Owner, such list shall be accompanied by an experience statement with pertinent information regarding similar projects and other evidence of qualification for each such Subcontractor, Supplier, or other individual or entity. If Owner or Engineer, after due investigation, has reasonable objection to any proposed Subcontractor, Supplier, individual, or entity, Owner may, before the Notice of Award is given, request apparent Successful Bidder to submit an acceptable substitute, in which case apparent Successful Bidder shall submit a substitute, Bidder’s Bid price will be increased (or decreased) by the difference in cost occasioned by such substitution, and Owner may consider such price adjustment in evaluating Bids and making the Contract award.

- 12.04 If apparent Successful Bidder declines to make any such substitution, Owner may award the Contract to the next lowest Bidder that proposes to use acceptable Subcontractors, Suppliers, or other individuals or entities. Declining to make requested substitutions will constitute grounds for forfeiture of the Bid security of any Bidder. Any Subcontractor,

Supplier, individual, or entity so listed and against which Owner or Engineer makes no written objection prior to the giving of the Notice of Award will be deemed acceptable to Owner and Engineer subject to subsequent revocation of such acceptance as provided in Paragraph 7.06 of the General Conditions.

ARTICLE 13 – PREPARATION OF BID

- 13.01 Bids for this project will be accepted in Hardcopy or electronically via email through the City of Rochester as shown in the Advertisement for Bids. Hardcopy bids shall reference **Bid 22-48** on the package while bids submitted electronically shall reference **Bid 22-48** in the email subject line.
 - A. All blanks on the Bid Form shall be completed and the Bid Form signed. A Bid price shall be indicated for each section, bid item, alternate, adjustment unit price item, and unit price item listed therein.
- 13.02 A Bid by a corporation shall be executed in the corporate name by a corporate officer (whose title must appear under the signature), accompanied by evidence of authority to sign. The corporate address and state of incorporation shall be shown.
- 13.03 A Bid by a limited liability company shall be executed in the name of the firm by a member or other authorized person and accompanied by evidence of authority to sign. The state of formation of the firm and the official address of the firm shall be shown.
- 13.04 A Bid by an individual shall show the Bidder's name and official address.
- 13.05 A Bid by a joint venture shall be executed by an authorized representative of each joint venturer in the manner indicated on the Bid Form. The official address of the joint venture shall be shown.
- 13.06 All names shall be printed in ink below the signatures.
- 13.07 The Bidders shall acknowledge receipt of all Addenda with the submission of the Bid.
- 13.08 Postal and e-mail addresses and telephone number for communications regarding the Bid shall be shown.
- 13.09 The Bid shall contain evidence of Bidder's authority and qualification to do business in the state where the Project is located, or Bidder shall covenant in writing to obtain such authority and qualification prior to award of the Contract and attach such covenant to the Bid. Bidder's state contractor license number, if any, shall also be shown on the Bid Form.

ARTICLE 14 – BASIS OF BID

- 14.01 Unit Price

- A. Bidders shall submit a Bid on a unit price basis for each item of Work listed in the unit price section of the Bid Form.
- B. The “Bid Price” (sometimes referred to as the extended price) for each unit price Bid item will be the product of the “Estimated Quantity” (which Owner or its representative has set forth in the Bid Form) for the item and the corresponding “Bid Unit Price” offered by the Bidder. The total of all unit price Bid items will be the sum of these “Bid Prices”; such total will be used by Owner for Bid comparison purposes. The final quantities and Contract Price will be determined in accordance with Paragraph 13.03 of the General Conditions.
- C. Discrepancies between the multiplication of units of Work and unit prices will be resolved in favor of the unit prices. Discrepancies between the indicated sum of any column of figures and the correct sum thereof will be resolved in favor of the correct sum. Discrepancies between words and figures will be resolved in favor of the words.

ARTICLE 15 – SUBMITTAL OF BID

- 15.01 Bids for this project will be accepted in Hardcopy or electronically via email through the City of Rochester as shown in the Advertisement for Bids. Hardcopy bids shall reference **Bid 22-48** on the package while bids submitted electronically shall reference **Bid 22-48** in the email subject line.
- 15.02 A Bid shall be received no later than the date and time prescribed in the advertisement or invitation to bid.
- 15.03 Bids received after the date and time prescribed for the opening of bids, or not submitted in the designated manner, will not be accepted.

ARTICLE 16 – MODIFICATION AND WITHDRAWAL OF BID

- 16.01 A Bid may be withdrawn by an appropriate document duly executed in the same manner that a Bid must be executed and emailed to the address noted in the Advertisement for Bids with the Subject line **Bid 22-48 – Withdrawal**.
- 16.02 If a Bidder wishes to modify its Bid prior to Bid opening, Bidder must withdraw its initial Bid in the manner specified in Paragraph 16.01 and submit a new Bid prior to the date and time for the opening of Bids.
- 16.03 If within 24 hours after Bids are opened any Bidder files a duly signed written notice with Owner and promptly thereafter demonstrates to the reasonable satisfaction of Owner that there was a material and substantial mistake in the preparation of its Bid, that Bidder may withdraw its Bid, and the Bid security will be returned. Thereafter, if the Work is

rebid, that Bidder will be disqualified from further bidding on the Work.

ARTICLE 17 – OPENING OF BIDS

17.01 Bids will be opened at the time and place indicated in the advertisement or invitation to bid and, unless obviously non-responsive, read aloud publicly. An abstract of the amounts of the base Bids and major alternates, if any, will be made available to Bidders after the opening of Bids.

ARTICLE 18 – BIDS TO REMAIN SUBJECT TO ACCEPTANCE

18.01 All Bids will remain subject to acceptance for the period of time stated in the Bid Form, but Owner may, in its sole discretion, release any Bid and return the Bid security prior to the end of this period.

ARTICLE 19 – EVALUATION OF BIDS AND AWARD OF CONTRACT

19.01 Owner reserves the right to reject any or all Bids, including without limitation, nonconforming, nonresponsive, unbalanced, or conditional Bids. Owner will reject the Bid of any Bidder that Owner finds, after reasonable inquiry and evaluation, to not be responsible. If Bidder purports to add terms or conditions to its Bid, takes exception to any provision of the Bidding Documents, or attempts to alter the contents of the Contract Documents for purposes of the Bid, then the Owner will reject the Bid as nonresponsive; provided that Owner also reserves the right to waive all minor informalities not involving price, time, or changes in the Work.

19.02 More than one Bid for the same Work from an individual or entity under the same or different names will not be considered. Reasonable grounds for believing that any Bidder has an interest in more than one Bid for the Work may be cause for disqualification of that Bidder and the rejection of all Bids in which that Bidder has an interest.

19.03 If Owner awards the contract for the Work, such award shall be to the responsible Bidder submitting the lowest responsive Bid.

19.04 Evaluation of Bids

A. In evaluating Bids, Owner will consider whether or not the Bids comply with the prescribed requirements, and such alternates, unit prices, and other data, as may be requested in the Bid Form or prior to the Notice of Award.

B. In the comparison of Bids, alternates will be applied in the same order of priority as listed in the Bid Form. To determine the Bid prices for purposes of comparison, Owner shall announce to all bidders a “Base Bid plus alternates” budget after receiving all

Bids, but prior to opening them. For comparison purposes alternates will be accepted, following the order of priority established in the Bid Form, until doing so would cause the budget to be exceeded. After determination of the Successful Bidder based on this comparative process and on the responsiveness, responsibility, and other factors set forth in these Instructions, the award may be made to said Successful Bidder on its base Bid and any combination of its additive alternate Bids for which Owner determines funds will be available at the time of award.

- 19.05 In evaluating whether a Bidder is responsible, Owner will consider the qualifications of the Bidder and may consider the qualifications and experience of Subcontractors and Suppliers proposed for those portions of the Work for which the identity of Subcontractors and Suppliers must be submitted as provided in the Bidding Documents.
- 19.06 Owner may conduct such investigations as Owner deems necessary to establish the responsibility, qualifications, and financial ability of Bidders and any proposed Subcontractors or Suppliers.

ARTICLE 20 – BONDS AND INSURANCE

- 20.01 Article 6 of the General Conditions, as may be modified by the Supplementary Conditions, sets forth Owner’s requirements as to performance and payment bonds and insurance. When the Successful Bidder delivers the Agreement (executed by Successful Bidder) to Owner, it shall be accompanied by required bonds and insurance documentation.

ARTICLE 21 – SIGNING OF AGREEMENT

- 21.01 When Owner issues a Notice of Award to the Successful Bidder, it shall be accompanied by the unexecuted counterparts of the Agreement along with the other Contract Documents as identified in the Agreement. Within 15 days thereafter, Successful Bidder shall execute and deliver the required number of counterparts of the Agreement (and any bonds and insurance documentation required to be delivered by the Contract Documents) to Owner. Within ten days thereafter, Owner shall deliver one fully executed counterpart of the Agreement to Successful Bidder, together with printed and electronic copies of the Contract Documents as stated in Paragraph 2.02 of the General Conditions.

ARTICLE 22 – SALES AND USE TAXES

- 22.01 NOT USED

ARTICLE 23 – CONTRACTS TO BE ASSIGNED

- 23.01 NOT USED

END OF SECTION

SECTION 00410

BID FORM

(EJCDC Form C-410, Modified)

PROJECT IDENTIFICATION: Betts Road and Cross Road Intersection

CONTRACT IDENTIFICATION AND NUMBER: Betts Road and Cross Road Intersection
GPI Project No. 2020266.01
Client Project No. N/A

ARTICLE 1 – BID RECIPIENT

1.01 This Bid is submitted to: City of Rochester
45 Old Dover Road
Rochester, NH 03867

1.02 Bid Delivery & Opening Location: City of Rochester
31 Wakefield Street
Rochester, NH 03867
Attn: Purchasing Agent

1.03 The undersigned Bidder proposes and agrees, if this Bid is accepted, to enter into an Agreement with Owner in the form included in the Bidding Documents to perform all Work as specified or indicated in the Bidding Documents for the prices and within the times indicated in this Bid and in accordance with the other terms and conditions of the Bidding Documents.

ARTICLE 2 – BIDDER’S ACKNOWLEDGEMENTS

2.01 Bidder accepts all of the terms and conditions of the Instructions to Bidders, including without limitation those dealing with the disposition of Bid security. This Bid will remain subject to acceptance for 60 days after the Bid opening, or for such longer period of time that Bidder may agree to in writing upon request of Owner.

ARTICLE 3 – BIDDER’S REPRESENTATIONS

3.01 In submitting this Bid, Bidder represents that:

A. Bidder has examined and carefully studied the Bidding Documents, and any data and reference items identified in the Bidding Documents, and hereby acknowledges receipt of the following Addenda:

Addendum No.

Addendum Date

_____	_____
_____	_____
_____	_____

- B. Bidder has visited the Site, conducted a thorough, alert visual examination of the Site and adjacent areas, and become familiar with and satisfied itself as to the general, local, and Site conditions that may affect cost, progress, and performance of the Work.
- C. Bidder is familiar with and has satisfied itself as to all Laws and Regulations that may affect cost, progress, and performance of the Work.
- D. Bidder has carefully studied all: (1) reports of explorations and tests of subsurface conditions, if any, at or adjacent to the Site and all drawings of physical conditions relating to existing surface or subsurface structures, if any, at the Site that have been identified in the Supplementary Conditions, especially with respect to Technical Data in such reports and drawings, and (2) reports and drawings relating to Hazardous Environmental Conditions, if any, at or adjacent to the Site that have been identified in the Supplementary Conditions, especially with respect to Technical Data in such reports and drawings.
- E. Bidder has considered the information known to Bidder itself; information commonly known to contractors doing business in the locality of the Site; information and observations obtained from visits to the Site; the Bidding Documents; and any Site-related reports and drawings identified in the Bidding Documents, with respect to the effect of such information, observations, and documents on (1) the cost, progress, and performance of the Work; (2) the means, methods, techniques, sequences, and procedures of construction to be employed by Bidder; and (3) Bidder's safety precautions and programs.
- F. Bidder agrees, based on the information and observations referred to in the preceding paragraph, that no further examinations, investigations, explorations, tests, studies, or data are necessary for the determination of this Bid for performance of the Work at the price bid and within the times required, and in accordance with the other terms and conditions of the Bidding Documents.
- G. Bidder is aware of the general nature of work to be performed by Owner and others at the Site that relates to the Work as indicated in the Bidding Documents.
- H. Bidder has correlated the information known to Bidder, information and observations obtained from visits to the Site, reports and drawings identified in the Bidding Documents, and all additional examinations, investigations, explorations, tests, studies, and data with the Bidding Documents.
- I. Bidder has given Engineer written notice of all conflicts, errors, ambiguities, or discrepancies that Bidder has discovered in the Bidding Documents and confirms that the written resolution thereof by Engineer is acceptable to Bidder.
- J. The Bidding Documents are generally sufficient to indicate and convey understanding of all terms and conditions for the performance and furnishing of the Work.

- K. The submission of this Bid constitutes an incontrovertible representation by Bidder that Bidder has complied with every requirement of this Article, and that without exception the Bid and all prices in the Bid are premised upon performing and furnishing the Work required by the Bidding Documents.

ARTICLE 4 – BIDDER’S CERTIFICATION

4.01 Bidder certifies that:

- A. This Bid is genuine and not made in the interest of or on behalf of any undisclosed individual or entity and is not submitted in conformity with any collusive agreement or rules of any group, association, organization, or corporation;
- B. Bidder has not directly or indirectly induced or solicited any other Bidder to submit a false or sham Bid;
- C. Bidder has not solicited or induced any individual or entity to refrain from bidding; and
- D. Bidder has not engaged in corrupt, fraudulent, collusive, or coercive practices in competing for the Contract. For the purposes of this Paragraph 4.01.D:
 - 1. “corrupt practice” means the offering, giving, receiving, or soliciting of anything of value likely to influence the action of a public official in the bidding process;
 - 2. “fraudulent practice” means an intentional misrepresentation of facts made (a) to influence the bidding process to the detriment of Owner, (b) to establish bid prices at artificial non-competitive levels, or (c) to deprive Owner of the benefits of free and open competition;
 - 3. “collusive practice” means a scheme or arrangement between two or more Bidders, with or without the knowledge of Owner, a purpose of which is to establish bid prices at artificial, non-competitive levels; and
 - 4. “coercive practice” means harming or threatening to harm, directly or indirectly, persons or their property to influence their participation in the bidding process or affect the execution of the Contract.

ARTICLE 5 – BASIS OF BID

5.01 Bidder will complete the Work in accordance with the Contract Documents for the following prices:

BID SCHEDULE

ITEM NO.	DESCRIPTION	UNIT	QUANTITY	UNIT COST	COST
201.1	Clearing and Grubbing, for the unit price per acre of:	A	0.2		
201.22	Removing Large Trees, for the price each of:	EA	6		
201.4	Removing Stumps, for the price each of:	EA	6		
201.882	Invasive Species Control Type II, for the unit price per square yard of:	SY	50		
203.1	Common Excavation, for the unit price per cubic yard of:	CY	1,170		
203.2	Rock Excavation, for the unit price per cubic yard of:	CY	180		

ITEM NO.	DESCRIPTION	UNIT	QUANTITY	UNIT COST	COST
203.601	Embankment-in-Place, for the unit price per cubic yard of:	CY	105		
214	Fine Grading, for the unit price of:	U	1		
304.201	Gravel, for the unit price per cubic yard of:	CY	450		
304.301	Crushed Gravel, for the unit price per cubic yard of:	CY	210		
304.32	Crushed Gravel for Shoulder Leveling, for the unit price per ton of:	TON	35		
403.11023	HBP – 3/4" Binder Mix, Machine Method, for the unit price per ton of:	TON	135		

ITEM NO.	DESCRIPTION	UNIT	QUANTITY	UNIT COST	COST
403.11043	HBP-1/2" Surface Mix, Machine Method, for the unit price per ton of:	CY	200		
403.12	HBP-Hand Method, for the unit price per ton of:	TON	15		
403.16	Pavement Joint Adhesive, for the unit price per linear foot of:	LF	1,650		
410.22	Asphalt Emulsion for Tack Coat, for the unit price per gal of:	GAL	150		
411.3	Plant Mix Surface Treatment (Asphalt Cement), Paver shim, for the unit price per ton of:	TON	40		
417	Cold Planning Bituminous Surfaces, for the unit price per square yard of:	SY	1400		

ITEM NO.	DESCRIPTION	UNIT	QUANTITY	UNIT COST	COST
585.2	Stone Fill, Class B, for the unit price per cubic yard of:	CY	65		
593.421	Geotextile; Perm Control CL. 2, Non-Woven, for the unit price per square yard of:	SY	100		
603.80015	15" Plastic Pipe, for the unit price per linear foot of:	LF	10		
615.0301	Traffic Sign Type C, for the unit price per square foot of:	SF	45		
618.7	Flaggers, for the unit price per hour of:	HR	100		
619.1	Maintenance Of Traffic, for the unit price per unit of:	U	1		

ITEM NO.	DESCRIPTION	UNIT	QUANTITY	UNIT COST	COST
628.2	Sawed Bituminous Pavement, for the unit price per linear foot of:	LF	200		
632.0104	Retroreflective Paint Pave. Marking, 4" Line, for the unit price per linear foot of:	LF	3200		
632.3118	Retroreflective Thermoplastic Pave. Marking, 18" Line, for the unit price per linear foot of:	LF	50		
645.3	Erosion Stone, for the unit price per ton of:	TON	10		
645.44	Temporary Slope Matting Type D (Wildlife Friendly), for the unit price per square yard of:	SY	1240		
645.512	Compost Sock for Perimeter Berm, for the unit price per linear foot of:	LF	780		

ITEM NO.	DESCRIPTION	UNIT	QUANTITY	UNIT COST	COST
645.531	Silt Fence, for the unit price per linear foot of:	LF	780		
646.41	Turf Establishment with Mulch, Tackifiers and Humus, for the unit price per square yard of:	SY	1240		
651.01	Eastern White Pine, for the unit price per unit of:	U	8		
652.69	Quercus Rubra Red Oak, per the unit price per unit of:	U	2		
692.	Mobilization, for the unit price per unit of:	U	1		
1008.4	Alterations and Additions as Needed – Utility Adjustments, for the unit price of: One Thousand Five Hundred Dollars	\$	1,500	1	\$1500

ITEM NO.	DESCRIPTION	UNIT	QUANTITY	UNIT COST	COST
1010.15	Fuel Adjustment, for the unit price of: One Thousand Two Hundred Fifty Dollars	\$	1,250	1	\$1250

TOTAL CONTRACT PRICE BASE BID:

_____ Dollars

and _____ Cents (words)

(\$ _____) (numbers)

Unit Prices have been computed in accordance with paragraph 13.03.B of the General Conditions.

Bidder acknowledges that estimated quantities are not guaranteed and are solely for the purpose of comparison of Bids, and final payment for all Unit Price Bid items will be based on actual quantities, determined as provided in the Contract Documents.

ARTICLE 6 – TIME OF COMPLETION

6.01 Bidder agrees that the Work will be substantially complete and will be completed and ready for final payment in accordance with Paragraph 15.06 of the General Conditions on or before the dates or within the number of calendar days indicated in the Agreement.

6.02 Bidder accepts the provisions of the Agreement as to liquidated damages.

ARTICLE 7 – ATTACHMENTS TO THIS BID

7.01 The following documents are submitted with and made a condition of this Bid:

- A. Required Bid security;
- B. List of three similar projects completed in the last three years with contact information for Owner or Engineer for each project.
- C. Evidence of authority to do business in the state of the Project; or a written covenant to obtain such license within the time for acceptance of Bids;
- D. Contractor's License No.: _____ [or] Evidence of Bidder's ability to obtain a State Contractor's License and a covenant by Bidder to obtain said license within the time for acceptance of Bids;
- E. Required Bidder Qualification Statement with supporting data

ARTICLE 8 – DEFINED TERMS

8.01 The terms used in this Bid with initial capital letters have the meanings stated in the Instructions to Bidders, the General Conditions, and the Supplementary Conditions.

ARTICLE 9 – BID SUBMITTAL

9.01 This Bid submitted by:

If Bidder is:

An Individual

Name (typed or printed): _____

By: _____ (SEAL)
(Individual's signature)

Doing business as: _____

A Partnership

Partnership Name: _____ (SEAL)

By: _____
(Signature of general partner -- attach evidence of authority to sign)

Name (typed or printed): _____

A Corporation

Corporation Name: _____ (SEAL)

State of Incorporation: _____

Type (General Business, Professional, Service, Limited Liability): _____

By: _____
(Signature -- attach evidence of authority to sign)

Name (typed or printed): _____

Title: _____

(CORPORATE SEAL)

Attest _____
(Signature of Corporate Secretary)

Date of Qualification to do business in _____ is
_____/_____/_____

*State of Territory where project is
Located.*

A Joint Venture

Name of Joint Venturer: _____

First Joint Venturer Name: _____ (SEAL)

By: _____

(Signature of first joint venture partner -- attach evidence of authority to sign)

Name (typed or printed): _____

Title: _____

Second Joint Venturer Name: _____ (SEAL)

By: _____

(Signature of second joint venturer partner - attach evidence of authority to sign)

Name (typed or printed): _____

Title: _____

(Each joint venturer must sign. The manner of signing for each individual, partnership, and corporation that is a party to the joint venture should be in the manner indicated above.)

Bidders Business address: _____

Phone No.: _____ FAX No.: _____

SUBMITTED on _____, 20____.

State Contractor License No. _____ (If applicable)

END OF SECTION

SECTION 00430

BID BOND

(EJCDC Form C-430)

Any singular reference to Bidder, Surety, Owner or other party shall be considered plural where applicable.

BIDDER (*Name and Address*):

SURETY (*Name, and Address of Principal Place of Business*):

OWNER (*Name and Address*):

BID

Bid Due Date:

Description (*Project Name— Include Location*):

BOND

Bond Number:

Date:

Penal sum _____ \$ _____

(Words)

(Figures)

Surety and Bidder, intending to be legally bound hereby, subject to the terms set forth below, do each cause this Bid Bond to be duly executed by an authorized officer, agent, or representative.

BIDDER

SURETY

(Seal)

(Seal)

Bidder's Name and Corporate Seal

Surety's Name and Corporate Seal

By: _____ By: _____
Signature Signature (Attach Power of Attorney)

Print Name Print Name

Title Title

Attest: _____ Attest: _____
Signature Signature

Title Title

Note: Addresses are to be used for giving any required notice.

Provide execution by any additional parties, such as joint venturers, if necessary.

1. Bidder and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors, and assigns to pay to Owner upon default of Bidder the penal sum set forth on the face of this Bond. Payment of the penal sum is the extent of Bidder's and Surety's liability. Recovery of such penal sum under the terms of this Bond shall be Owner's sole and exclusive remedy upon default of Bidder.
2. Default of Bidder shall occur upon the failure of Bidder to deliver within the time required by the Bidding Documents (or any extension thereof agreed to in writing by Owner) the executed Agreement required by the Bidding Documents and any performance and payment bonds required by the Bidding Documents.
3. This obligation shall be null and void if:
 - 3.1 Owner accepts Bidder's Bid and Bidder delivers within the time required by the Bidding Documents (or any extension thereof agreed to in writing by Owner) the executed Agreement required by the Bidding Documents and any performance and payment bonds required by the Bidding Documents, or
 - 3.2 All Bids are rejected by Owner, or
 - 3.3 Owner fails to issue a Notice of Award to Bidder within the time specified in the Bidding Documents (or any extension thereof agreed to in writing by Bidder and, if applicable, consented to by Surety when required by Paragraph 5 hereof).
4. Payment under this Bond will be due and payable upon default of Bidder and within 30 calendar days after receipt by Bidder and Surety of written notice of default from Owner, which notice will be given with reasonable promptness, identifying this Bond and the Project and including a statement of the amount due.
5. Surety waives notice of any and all defenses based on or arising out of any time extension to issue Notice of Award agreed to in writing by Owner and Bidder, provided that the total time for issuing Notice of Award including extensions shall not in the aggregate exceed 120 days from the Bid due date without Surety's written consent.
6. No suit or action shall be commenced under this Bond prior to 30 calendar days after the notice of default required in Paragraph 4 above is received by Bidder and Surety and in no case later than one year after the Bid due date.
7. Any suit or action under this Bond shall be commenced only in a court of competent jurisdiction located in the state in which the Project is located.
8. Notices required hereunder shall be in writing and sent to Bidder and Surety at their respective addresses shown on the face of this Bond. Such notices may be sent by personal delivery, commercial courier, or by United States Registered or Certified Mail, return receipt requested, postage pre-paid, and shall be deemed to be effective upon receipt by the party concerned.

9. Surety shall cause to be attached to this Bond a current and effective Power of Attorney evidencing the authority of the officer, agent, or representative who executed this Bond on behalf of Surety to execute, seal, and deliver such Bond and bind the Surety thereby.

10. This Bond is intended to conform to all applicable statutory requirements. Any applicable requirement of any applicable statute that has been omitted from this Bond shall be deemed to be included herein as if set forth at length. If any provision of this Bond conflicts with any applicable statute, then the provision of said statute shall govern and the remainder of this Bond that is not in conflict therewith shall continue in full force and effect.

11. The term "Bid" as used herein includes a Bid, offer, or proposal as applicable.

END OF SECTION

SECTION 00510
NOTICE OF AWARD
(EJCDC Form C-510, Modified)

NOTICE OF AWARD

Date of Issuance:

Owner:	City of Rochester	Owner's Contract No.:	
Engineer:	Greenman Pedersen, Inc.	Engineer's Project No.:	2020266.01
Project: Bidder:	Betts Road and Cross Road Intersection	Contract Name:	Betts Road and Cross Road Intersection
Bidder's Address:			

TO BIDDER:

You are notified that Owner has accepted your Bid dated [_____] for the above Contract, and that you are the Successful Bidder and are awarded a Contract for: Betts Road and Cross Road Intersection.

The Contract Price of the awarded Contract is: \$ _____ subject to unit prices.

[] unexecuted counterparts of the Agreement accompany this Notice of Award, and one copy of the Contract Documents accompanies this Notice of Award or has been transmitted or made available to Bidder electronically.

[] sets of the Drawings will be delivered separately from the other Contract Documents.

You must comply with the following conditions precedent within 15 days of the date of receipt of this Notice of Award:

1. Deliver to Owner three counterparts of the Agreement, fully executed by Bidder.
2. Deliver with the executed Agreement(s) the Contract security performance and payment bonds and insurance documentation as specified in the Instructions to Bidders and General Conditions, Articles 2 and 6 respectively.
3. Other conditions precedent (if any):

Failure to comply with these conditions within the time specified will entitle Owner to consider you in default, annul this Notice of Award, and declare your Bid security forfeited.

Within ten days after you comply with the above conditions, Owner will return to you one fully executed counterpart of the Agreement, together with any additional copies of the Contract Documents as indicated in Paragraph 2.02 of the General Conditions.

Owner: City of Rochester

Authorized Signature

By: _____

Title: _____

Copy: Greenman-Pedersen, Inc.

END OF SECTION

SECTION 00520
**AGREEMENT BETWEEN OWNER AND CONTRACTOR
FOR CONSTRUCTION CONTRACT**
(EJCDC FORM C-520, Modified)

THIS AGREEMENT is by and between City of Rochester (“Owner”) and
_____ (“Contractor”).

Owner and Contractor hereby agree as follows:

ARTICLE 1 – WORK

1.01 Contractor shall complete all Work as specified or indicated in the Contract Documents. The Work is generally described as follows: Step box pavement widening and full depth construction for realignment of the northern portion of Betts Road to increase sight distance. Associated work includes roadway sides slopes, turf establishment, pavement markings, and signage.

ARTICLE 2 – THE PROJECT

2.01 The Project, of which the Work under the Contract Documents is a part, is generally described as follows: Betts Road and Cross Road Intersection

ARTICLE 3 – ENGINEER

- 3.01 The Project has been designed by Greenman-Pedersen, Inc.
- 3.02 The Owner has retained Greenman-Pedersen, Inc. (“Engineer”) to act as Owner’s representative, assume all duties and responsibilities, and have the rights and authority assigned to Engineer in the Contract Documents in connection with the completion of the Work in accordance with the Contract Documents.

ARTICLE 4 – CONTRACT TIMES

- 4.01 Time of the Essence
- A. All time limits for Milestones, if any, Substantial Completion, and Final Completion and readiness for final payment as stated in the Contract Documents are of the essence of the Contract.
- 4.02 Contract Times: Dates
- A. The Work will be Substantially Completed within 90 days, and Finally Completed and ready for final payment in accordance with Paragraph 15.06 of the General Conditions on or before within 120 days. Substantial completion will require wearing course pavement and the roadway open to traffic.

- B. The Contract Times for the work specified include a maximum of 45 calendar days onsite to achieve final completion, which shall be counted consecutively once the Contractor begins work at the project site.

4.03 Liquidated Damages

- A. Contractor and Owner recognize that time is of the essence as stated in Paragraph 4.01 above and that Owner will suffer financial and other losses if the Work is not completed and Milestones not achieved within the times specified in Paragraph 4.02 above, plus any extensions thereof allowed in accordance with the Contract. The parties also recognize the delays, expense, and difficulties involved in proving in a legal or arbitration proceeding the actual loss suffered by Owner if the Work is not completed on time. Accordingly, instead of requiring any such proof, Owner and Contractor agree that as liquidated damages for delay (but not as a penalty):
 - 1. Substantial Completion: Contractor shall pay Owner \$850 for each day that expires after the time (as duly adjusted pursuant to the Contract) specified in Paragraph 4.02.A above for Substantial Completion until the Work is substantially complete.
 - 2. Final Completion of Remaining Work: After Substantial Completion, if Contractor shall neglect, refuse, or fail to complete the remaining Work within the Contract Time (as duly adjusted pursuant to the Contract) for completion and readiness for final payment, Contractor shall pay Owner \$850 for each day that expires after such time until the Work is completed and ready for final payment.
 - 3. Liquidated damages for failing to timely attain Substantial Completion and final completion are not additive and will not be imposed concurrently.
- B. Not Used.

ARTICLE 5 – CONTRACT PRICE

5.01 Owner shall pay Contractor for completion of the Work in accordance with the Contract Documents the amounts that follow, subject to adjustment under the Contract:

- A. For all Unit Price Work, an amount equal to the sum of the extended prices (established for each separately identified item of Unit Price Work by multiplying the unit price times the actual quantity of that item):

TOTAL OF ALL UNIT PRICES _____ \$ _____ (dollars)
(use words)

The extended prices for Unit Price Work set forth as of the Effective Date of the Contract are based on estimated quantities. As provided in Paragraph 13.03 of the General Conditions, estimated quantities are not guaranteed, and determinations of actual quantities and classifications are to be made by Engineer.

ARTICLE 6 – PAYMENT PROCEDURES

6.01 Submittal and Processing of Payments

- A. Contractor shall submit Applications for Payment in accordance with Article 15 of the General Conditions. Applications for Payment will be processed by Engineer as provided in the General Conditions.

6.02 Progress Payments

- A. Owner shall make progress payments on account of the Contract Price on the basis of Contractor's Applications for Payment approximately 14 calendar days after receiving said Applications for Payment provided they have been submitted in a timely manner and otherwise meet the requirements of the Contract. All such payments will be measured by the Schedule of Values established as provided in the General Conditions (and in the case of Unit Price Work based on the number of units completed) or, in the event there is no Schedule of Values, as provided elsewhere in the Contract.

6.03 Final Payment

- A. Upon final completion and acceptance of the Work in accordance with Paragraph 15.06 of the General Conditions, Owner shall pay the remainder of the Contract Price as recommended by Engineer as provided in said Paragraph 15.06.

ARTICLE 7 – INTEREST

- 7.01 All amounts not paid when due shall bear interest at the rate of 5 percent per annum.

ARTICLE 8 – CONTRACTOR'S REPRESENTATIONS

- 8.01 In order to induce Owner to enter into this Contract, Contractor makes the following representations:

- A. Contractor has examined and carefully studied the Contract Documents, and any data and reference items identified in the Contract Documents.
- B. Contractor has visited the Site, conducted a thorough, alert visual examination of the Site and adjacent areas, and become familiar with and is satisfied as to the general, local, and Site conditions that may affect cost, progress, and performance of the Work.
- C. Contractor is familiar with and is satisfied as to all Laws and Regulations that may affect cost, progress, and performance of the Work.
- D. Contractor has carefully studied all: (1) reports of explorations and tests of subsurface conditions, if any, at or adjacent to the Site and all drawings of physical conditions relating to existing surface or subsurface structures, if any, at the Site that have been identified in the Supplementary Conditions, especially with respect to Technical Data in such reports and drawings, and (2) reports and drawings relating to Hazardous Environmental Conditions, if

any, at or adjacent to the Site that have been identified in the Supplementary Conditions, especially with respect to Technical Data in such reports and drawings.

- E. Contractor has considered the information known to Contractor itself; information commonly known to contractors doing business in the locality of the Site; information and observations obtained from visits to the Site; the Contract Documents; and the Site-related reports and drawings identified in the Contract Documents, with respect to the effect of such information, observations, and documents on (1) the cost, progress, and performance of the Work; (2) the means, methods, techniques, sequences, and procedures of construction to be employed by Contractor; and (3) Contractor's safety precautions and programs.
- F. Based on the information and observations referred to in the preceding paragraph, Contractor agrees that no further examinations, investigations, explorations, tests, studies, or data are necessary for the performance of the Work at the Contract Price, within the Contract Times, and in accordance with the other terms and conditions of the Contract.
- G. Contractor is aware of the general nature of work to be performed by Owner and others at the Site that relates to the Work as indicated in the Contract Documents.
- H. Contractor has given Engineer written notice of all conflicts, errors, ambiguities, or discrepancies that Contractor has discovered in the Contract Documents, and the written resolution thereof by Engineer is acceptable to Contractor.
- I. The Contract Documents are generally sufficient to indicate and convey understanding of all terms and conditions for performance and furnishing of the Work.
- J. Contractor's entry into this Contract constitutes an incontrovertible representation by Contractor that without exception all prices in the Agreement are premised upon performing and furnishing the Work required by the Contract Documents.

ARTICLE 9 – ACCOUNTING DOCUMENTS

9.01 Contractor shall check all materials, equipment, and labor entering into the Work and shall keep such full and detailed accounts as may be necessary for proper financial management under this Agreement, and the accounting methods shall be satisfactory to Owner. Owner shall be afforded access to all Contractor's records, books, correspondence, instructions, drawings, receipts, vouchers, memoranda, and similar data relating to the Cost of the Work and Contractor's fee. Contractor shall preserve all such documents for a period of three years after the final payment by Owner.

ARTICLE 10 – CONTRACT DOCUMENTS

10.01 Contents

- A. The Contract Documents consist of the following:
 - 1. This Agreement (pages 1 to 7, inclusive).
 - 2. Performance bond (pages 1 to 3, inclusive).

3. Payment bond (pages 1 to 3, inclusive).
 4. General Conditions (pages 1 to 65, inclusive).
 5. Supplementary Conditions (pages 1 to 10 inclusive).
 6. Specifications as listed in the table of contents of the Project Manual.
 7. Drawings (not attached but incorporated by reference) consisting of 14 sheets with each sheet bearing the following general title: City of Rochester; Strafford County; New Hampshire; Plans of Betts Road and Cross Road Intersection.
 8. Addenda (numbers ___ to ___, inclusive).
 9. Exhibits to this Agreement (enumerated as follows):
 - a. Contractor's Bid (pages ___ to ___, inclusive)
 - b. Documentation submitted by Contractor prior to Notice of Award (pages ___ to ___, inclusive)
 - c. (List here other documents, if any)
 10. The following which may be delivered or issued on or after the Effective Date of the Contract and are not attached hereto:
 - a. Notice to Proceed (pages 1 to 1 inclusive).
 - b. Work Change Directives.
 - c. Change Orders.
 - d. Field Orders.
- B. The documents listed in Paragraph 10.01.A are not attached to, but made part of, this Agreement (except as expressly noted otherwise above).
- C. There are no Contract Documents other than those listed above in this Article 10.
- D. The Contract Documents may only be amended, modified, or supplemented as provided in the General Conditions.

ARTICLE 11 – MISCELLANEOUS

11.01 Terms

- A. Terms used in this Agreement will have the meanings stated in the General Conditions and the Supplementary Conditions.

11.02 Assignment of Contract

- A. Unless expressly agreed to elsewhere in the Contract, no assignment by a party hereto of any rights under or interests in the Contract will be binding on another party hereto without the written consent of the party sought to be bound; and, specifically but without limitation, money that may become due and money that is due may not be assigned without such consent (except to the extent that the effect of this restriction may be limited by law), and unless specifically stated to the contrary in any written consent to an assignment, no assignment will release or discharge the assignor from any duty or responsibility under the Contract Documents.

11.03 Successors and Assigns

- A. Owner and Contractor each binds itself, its successors, assigns, and legal representatives to the other party hereto, its successors, assigns, and legal representatives in respect to all covenants, agreements, and obligations contained in the Contract Documents.

11.04 Severability

- A. Any provision or part of the Contract Documents held to be void or unenforceable under any Law or Regulation shall be deemed stricken, and all remaining provisions shall continue to be valid and binding upon Owner and Contractor, who agree that the Contract Documents shall be reformed to replace such stricken provision or part thereof with a valid and enforceable provision that comes as close as possible to expressing the intention of the stricken provision.

11.05 Contractor's Certifications

- A. Contractor certifies that it has not engaged in corrupt, fraudulent, collusive, or coercive practices in competing for or in executing the Contract. For the purposes of this Paragraph 11.05:
 - 1. "corrupt practice" means the offering, giving, receiving, or soliciting of anything of value likely to influence the action of a public official in the bidding process or in the Contract execution;
 - 2. "fraudulent practice" means an intentional misrepresentation of facts made (a) to influence the bidding process or the execution of the Contract to the detriment of Owner, (b) to establish Bid or Contract prices at artificial non-competitive levels, or (c) to deprive Owner of the benefits of free and open competition;
 - 3. "collusive practice" means a scheme or arrangement between two or more Bidders, with or without the knowledge of Owner, a purpose of which is to establish Bid prices at artificial, non-competitive levels; and
 - 4. "coercive practice" means harming or threatening to harm, directly or indirectly, persons or their property to influence their participation in the bidding process or affect the execution of the Contract.

11.06 Other Provisions

- A. Owner stipulates that if the General Conditions that are made a part of this Contract are based on EJCDC® C-700, Standard General Conditions for the Construction Contract, published by the Engineers Joint Contract Documents Committee®, and if Owner is the party that has furnished said General Conditions, then Owner has plainly shown all modifications to the standard wording of such published document to the Contractor, through a process such as highlighting or “track changes” (redline/strikeout), or in the Supplementary Conditions.

IN WITNESS WHEREOF, Owner and Contractor have signed this Agreement.

This Agreement will be effective on _____ (which is the Effective Date of the Contract).

OWNER:

CONTRACTOR:

City of Rochester _____

By: _____

By: _____

Title: _____

Title: _____

(If Contractor is a corporation, a partnership, or a joint venture, attach evidence of authority to sign.)

Attest: _____

Attest: _____

Title: _____

Title: _____

Address for giving notices:

Address for giving notices:

License No.: _____

(where applicable)

(If Owner is a corporation, attach evidence of authority to sign. If Owner is a public body, attach evidence of authority to sign and resolution or other documents authorizing execution of this Agreement.)

END OF SECTION

**SECTION 00550
NOTICE TO PROCEED
(EJCDC Form C-550)**

NOTICE TO PROCEED

Owner:	City of Rochester	Owner's Contract No.:	
Contractor:		Contractor's Project No.:	
Engineer:	Greenman-Pedersen, Inc.	Engineer's Project No.:	2020266.01
Project:	Betts Road and Cross Road Intersection	Contract Name:	Betts Road and Cross Road Intersection
		Effective Date of Contract:	

TO CONTRACTOR:

You are notified that the Contract Times under the above contract will commence to run on_ On or before that date, you are to start performing your obligations under the Contract Documents. In accordance with Article 4 of the Agreement, the date of Substantial Completion is_ and the date of readiness for final payment is_

Before starting any Work at the Site, Contractor must comply with the following:

Owner: City of Rochester

Authorized Signature

By:

Title:

Date: Issued:

Copy: Greenman-Pedersen, Inc.

END OF SECTION

SECTION 00610
PERFORMANCE BOND
(EJCDC Form C-610)

CONTRACTOR *(name and address)*:

SURETY *(name and address of principal place of business)*:

OWNER *(name and address)*:

City of Rochester

45 Old Dover Road

Rochester, NH 03867

CONSTRUCTION CONTRACT

Effective Date of the Agreement:

Amount:

Description: Betts Road and Cross Road Intersection

BOND

Bond Number:

Date *(Not Earlier Than the Effective Date of the Agreement of the Construction Contract)*:

Amount:

Modifications to this Bond Form: None See Paragraph 16

Surety and Contractor, intending to be legally bound hereby, subject to the terms set forth below, do each cause this Performance Bond to be duly executed by an authorized officer, agent, or representative.

CONTRACTOR AS PRINCIPAL

SURETY

(seal)
Contractor's Name and Corporate Seal

(seal)
Surety's Name and Corporate Seal

By: _____
Signature

By: _____
Signature *(attach power of attorney)*

Print Name

Print Name

Title

Title

Attest: _____
Signature

Attest: _____
Signature

Title

Title

Notes: (1) Provide supplemental execution by any additional parties, such as joint venturers. (2) Any singular reference to Contractor,

Surety, Owner, or other party shall be considered plural where applicable.

1. The Contractor and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors, and assigns to the Owner for the performance of the Construction Contract, which is incorporated herein by reference.

2. If the Contractor performs the Construction Contract, the Surety and the Contractor shall have no obligation under this Bond, except when applicable to participate in a conference as provided in Paragraph 3.

3. If there is no Owner Default under the Construction Contract, the Surety's obligation under this Bond shall arise after:

3.1 The Owner first provides notice to the Contractor and the Surety that the Owner is considering declaring a Contractor Default. Such notice shall indicate whether the Owner is requesting a conference among the Owner, Contractor, and Surety to discuss the Contractor's performance. If the Owner does not request a conference, the Surety may, within five (5) business days after receipt of the Owner's notice, request such a conference. If the Surety timely requests a conference, the Owner shall attend. Unless the Owner agrees otherwise, any conference requested under this Paragraph 3.1 shall be held within ten (10) business days of the Surety's receipt of the Owner's notice. If the Owner, the Contractor, and the

Surety agree, the Contractor shall be allowed a reasonable time to perform the Construction Contract, but such an agreement shall not waive the Owner's right, if any, subsequently to declare a Contractor Default;

3.2 The Owner declares a Contractor Default, terminates the Construction Contract and notifies the Surety; and

3.3 The Owner has agreed to pay the Balance of the Contract Price in accordance with the terms of the Construction Contract to the Surety or to a contractor selected to perform the Construction Contract.

4. Failure on the part of the Owner to comply with the notice requirement in Paragraph 3.1 shall not constitute a failure to comply with a condition precedent to the Surety's obligations, or release the Surety from its obligations, except to the extent the Surety demonstrates actual prejudice.

5. When the Owner has satisfied the conditions of Paragraph 3, the Surety shall promptly and at the Surety's expense take one of the following actions:

5.1 Arrange for the Contractor, with the consent of the Owner, to perform and complete the Construction Contract;

5.2 Undertake to perform and complete the

Construction Contract itself, through its agents or independent contractors;

5.3 Obtain bids or negotiated proposals from qualified contractors acceptable to the Owner for a contract for performance and completion of the Construction Contract, arrange for a contract to be prepared for execution by the Owner and a contractor selected with the Owners concurrence, to be secured with performance and payment bonds executed by a qualified Surety equivalent to the bonds issued on the Construction Contract, and pay to the Owner the amount of damages as described in Paragraph 7 in excess of the Balance of the Contract Price incurred by the Owner as a result of the Contractor Default; or

5.4 Waive its right to perform and complete, arrange for completion, or obtain a new contractor, and with reasonable promptness under the circumstances:

5.4.1 After investigation, determine the amount for which it may be liable to the Owner and, as soon as practicable after the amount is determined, make payment to the Owner; or

5.4.2 Deny liability in whole or in part and notify the Owner, citing the reasons for denial.

6. If the Surety does not proceed as provided in Paragraph 5 with reasonable promptness, the Surety shall be deemed to be in default on this Bond seven days after receipt of an additional written notice from the Owner to the Surety demanding that the Surety perform its obligations under this Bond, and the Owner shall be entitled to enforce any remedy available to the Owner. If the Surety proceeds as provided in Paragraph 5.4, and the Owner refuses the payment or the Surety has denied liability, in whole or in part, without further notice the Owner shall be entitled to enforce any remedy available to the Owner.

7. If the Surety elects to act under Paragraph 5.1, 5.2, or 5.3, then the responsibilities of the Surety to the Owner shall not be greater than those of the Contractor under the Construction Contract, and the responsibilities of the Owner to the Surety shall not be greater than those of the Owner under the Construction Contract. Subject to the commitment by the Owner to pay the Balance of the Contract Price, the Surety is obligated, without duplication for:

7.1 the responsibilities of the Contractor for correction of defective work and completion of the Construction Contract;

7.2 additional legal, design professional, and delay costs resulting from the Contractor's Default, and resulting from the actions or failure to act of the Surety under Paragraph 5; and

7.3 liquidated damages, or if no liquidated damages are specified in the Construction Contract, actual damages caused by delayed performance or non-performance of the Contractor.

8. If the Surety elects to act under Paragraph 5.1, 5.3, or 5.4, the Surety's liability is limited to the amount of this Bond.

9. The Surety shall not be liable to the Owner or others for obligations of the Contractor that are unrelated to the Construction Contract, and the Balance of the Contract Price shall not be reduced or set off on account of any such unrelated obligations. No right of action shall accrue on this Bond to any person or entity other than the Owner or its heirs, executors, administrators, successors, and assigns.

10. The Surety hereby waives notice of any change, including changes of time, to the Construction Contract or to related subcontracts, purchase orders, and other obligations.

11. Any proceeding, legal or equitable, under this Bond may be instituted in any court of competent jurisdiction in the location in which the work or part of the work is located and shall be instituted within two years after a declaration of Contractor Default or within two years after the Contractor ceased working or within two years after the Surety refuses or fails to perform its obligations under this Bond, whichever occurs first. If the provisions of this paragraph are void or prohibited by law, the minimum periods of limitations available to sureties as a defense in the jurisdiction of the suit shall be applicable.

12. Notice to the Surety, the Owner, or the Contractor shall be mailed or delivered to the address shown on the page on which their signature appears.

13. When this Bond has been furnished to comply with a statutory or other legal requirement in the location where the construction was to be performed, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted here from and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this

Bond shall be construed as a statutory bond and not as a common law bond.

14. Definitions

14.1 Balance of the Contract Price: The total amount payable by the Owner to the Contractor under the Construction Contract after all proper adjustments have been made including allowance for the Contractor for any amounts received or to be received by the Owner in settlement of insurance or other claims for damages to which the Contractor is entitled, reduced by all valid and proper payments made to or on behalf of the Contractor under the Construction Contract.

14.2 Construction Contract: The agreement between the Owner and Contractor identified on the cover page, including all Contract Documents and changes made to the agreement and the Contract Documents.

14.3 Contractor Default: Failure of the Contractor, which has not been remedied or waived, to perform or otherwise to comply with a material term of the Construction Contract.

14.4 Owner Default: Failure of the Owner, which has not been remedied or waived, to pay the Contractor as required under the Construction Contract or to perform and complete or comply with the other material terms of the Construction Contract.

14.5 Contract Documents: All the documents that comprise the agreement between the Owner and Contractor.

15. If this Bond is issued for an agreement between a contractor and subcontractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

16. Modifications to this Bond are as follows:

END OF SECTION

**SECTION 00615
PAYMENT BOND
(EJCDC Form C-615)**

CONTRACTOR (name and address):

SURETY (name and address of principal place of business):

OWNER (name and address):

City of Rochester

45 Old Dover Road

Rochester, NH 03867

CONSTRUCTION CONTRACT

Effective Date of the Agreement:
Amount:
Description: Replacement of the Portland Street Culvert

BOND

Bond Number:
Date (Not Earlier Than the Effective Date of the Agreement of the Construction Contract):
Amount:
Modifications to this Bond Form: None See Paragraph 18

Surety and Contractor, intending to be legally bound hereby, subject to the terms set forth below, do each cause this Payment Bond to be duly executed by an authorized officer, agent, or representative.

CONTRACTOR AS PRINCIPAL

SURETY

(seal)
Contractor's Name and Corporate Seal

(seal)
Surety's Name and Corporate Seal

By: _____
Signature

By: _____
Signature (attach power of attorney)

Print Name

Print Name

Title

Title

Attest: _____
Signature

Attest: _____
Signature

Title

Title

Notes: (1) Provide supplemental execution by any additional parties, such as joint venturers. (2) Any singular reference to Contractor, Surety, Owner, or other party shall be considered plural where applicable.

1. The Contractor and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors, and assigns to the Owner to pay for labor, materials, and equipment furnished for use in the performance of the Construction Contract, which is incorporated herein by reference, subject to the following terms.
2. If the Contractor promptly makes payment of all sums due to Claimants, and defends, indemnifies, and holds harmless the Owner from claims, demands, liens, or suits by any person or entity seeking payment for labor, materials, or equipment furnished for use in the performance of the Construction Contract, then the Surety and the Contractor shall have no obligation under this Bond.
3. If there is no Owner Default under the Construction Contract, the Surety's obligation to the Owner under this Bond shall arise after the Owner has promptly notified the Contractor and the Surety (at the address described in Paragraph 13) of claims, demands, liens, or suits against the Owner or the Owner's property by any person or entity seeking payment for labor, materials, or equipment furnished for use in the performance of the Construction Contract, and tendered defense of such claims, demands, liens, or suits to the Contractor and the Surety.
4. When the Owner has satisfied the conditions in Paragraph 3, the Surety shall promptly and at the Surety's expense defend, indemnify, and hold harmless the Owner against a duly tendered claim, demand, lien, or suit.
5. The Surety's obligations to a Claimant under this Bond shall arise after the following:
 - 5.1 Claimants who do not have a direct contract with the Contractor,
 - 5.1.1 have furnished a written notice of non-payment to the Contractor, stating with substantial accuracy the amount claimed and the name of the party to whom the materials were, or equipment was, furnished or supplied or for whom the labor was done or performed, within ninety (90) days after having last performed labor or last furnished materials or equipment included in the Claim; and
 - 5.1.2 have sent a Claim to the Surety (at the address described in Paragraph 13).
 - 5.2 Claimants who are employed by or have a direct contract with the Contractor have sent a Claim to the Surety (at the address described in Paragraph 13).
6. If a notice of non-payment required by Paragraph 5.1.1 is given by the Owner to the Contractor, that is sufficient to satisfy a Claimant's obligation to furnish a written notice of non-payment under Paragraph 5.1.1.
7. When a Claimant has satisfied the conditions of Paragraph 5.1 or 5.2, whichever is applicable, the Surety shall promptly and at the Surety's expense take the following actions:
 - 7.1 Send an answer to the Claimant, with a copy to the Owner, within sixty (60) days after receipt of the Claim, stating the amounts that are undisputed and the basis for challenging any amounts that are disputed; and
 - 7.2 Pay or arrange for payment of any undisputed amounts.
 - 7.3 The Surety's failure to discharge its obligations under Paragraph 7.1 or 7.2 shall not be deemed to constitute a waiver of defenses the Surety or Contractor may have or acquire as to a Claim, except as to undisputed amounts for which the Surety and Claimant have reached agreement. If, however, the Surety fails to discharge its obligations under Paragraph 7.1 or 7.2, the Surety shall indemnify the Claimant for the reasonable attorney's fees the Claimant incurs thereafter to recover any sums found to be due and owing to the Claimant.
8. The Surety's total obligation shall not exceed the amount of this Bond, plus the amount of reasonable attorney's fees provided under Paragraph 7.3, and the amount of this Bond shall be credited for any payments made in good faith by the Surety.
9. Amounts owed by the Owner to the Contractor under the Construction Contract shall be used for the performance of the Construction Contract and to satisfy claims, if any, under any construction performance bond. By the Contractor furnishing and the Owner accepting this Bond, they agree that all funds earned by the Contractor in the performance of the Construction Contract are dedicated to satisfy obligations of the Contractor and Surety under this Bond, subject to the Owner's priority to use the funds for the completion of the work.
10. The Surety shall not be liable to the Owner, Claimants, or others for obligations of the Contractor that are unrelated to the Construction Contract. The Owner shall not be liable for the payment of any costs or expenses of any Claimant under this Bond, and shall have under this Bond no obligation to make payments to or give notice on behalf of Claimants, or otherwise have any obligations to Claimants under this Bond.
11. The Surety hereby waives notice of any change, including

changes of time, to the Construction Contract or to related subcontracts, purchase orders, and other obligations.

12. No suit or action shall be commenced by a Claimant under this Bond other than in a court of competent jurisdiction in the state in which the project that is the subject of the Construction Contract is located or after the expiration of one year from the date (1) on which the Claimant sent a Claim to the Surety pursuant to Paragraph 5.1.2 or 5.2, or (2) on which the last labor or service was performed by anyone or the last materials or equipment were furnished by anyone under the Construction Contract, whichever of (1) or (2) first occurs. If the provisions of this paragraph are void or prohibited by law, the minimum period of limitation available to sureties as a defense in the jurisdiction of the suit shall be applicable.
13. Notice and Claims to the Surety, the Owner, or the Contractor shall be mailed or delivered to the address shown on the page on which their signature appears. Actual receipt of notice or Claims, however accomplished, shall be sufficient compliance as of the date received.
14. When this Bond has been furnished to comply with a statutory or other legal requirement in the location where the construction was to be performed, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted here from and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.
15. Upon requests by any person or entity appearing to be a potential beneficiary of this Bond, the Contractor and Owner shall promptly furnish a copy of this Bond or shall permit a copy to be made.

16. Definitions

- 16.1 **Claim:** A written statement by the Claimant including at a minimum:
1. The name of the Claimant;
 2. The name of the person for whom the labor was done, or materials or equipment furnished;
 3. A copy of the agreement or purchase order pursuant to which labor, materials, or equipment was furnished for use in the performance of the Construction Contract;
 4. A brief description of the labor, materials, or equipment furnished;
 5. The date on which the Claimant last performed labor or last furnished materials or equipment

for use in the performance of the Construction Contract;

6. The total amount earned by the Claimant for labor, materials, or equipment furnished as of the date of the Claim;
7. The total amount of previous payments received by the Claimant; and
8. The total amount due and unpaid to the Claimant for labor, materials, or equipment furnished as of the date of the Claim.

16.2 **Claimant:** An individual or entity having a direct contract with the Contractor or with a subcontractor of the Contractor to furnish labor, materials, or equipment for use in the performance of the Construction Contract. The term Claimant also includes any individual or entity that has rightfully asserted a claim under an applicable mechanic's lien or similar statute against the real property upon which the Project is located. The intent of this Bond shall be to include without limitation in the terms of "labor, materials, or equipment" that part of the water, gas, power, light, heat, oil, gasoline, telephone service, or rental equipment used in the Construction Contract, architectural and engineering services required for performance of the work of the Contractor and the Contractor's subcontractors, and all other items for which a mechanic's lien may be asserted in the jurisdiction where the labor, materials, or equipment were furnished.

16.3 **Construction Contract:** The agreement between the Owner and Contractor identified on the cover page, including all Contract Documents and all changes made to the agreement and the Contract Documents.

16.4 **Owner Default:** Failure of the Owner, which has not been remedied or waived, to pay the Contractor as required under the Construction Contract or to perform and complete or comply with the other material terms of the Construction Contract.

16.5 **Contract Documents:** All the documents that comprise the agreement between the Owner and Contractor.

17. If this Bond is issued for an agreement between a contractor and subcontractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

18. Modifications to this Bond are as follows:

END OF SECTION

**SECTION 00620
APPLICATION FOR PAYMENT
(EJCDC Form C-620, Modified)**

Contractor's Application for Payment No. _____

	Application Period:	Application Date:
To (Owner): City of Rochester	From (Contractor):	Via (Engineer)
Project: Betts Road and Cross Road Intersection	Contract: Betts Road and Cross Road Intersection	
Owner's Contract No.:	Contractor's Project No.:	Engineer's Project No.: 2020266.01

APPLICATION FOR PAYMENT

Change Order Summary

Approved Change Orders		
Number	Additions	Deductions
TOTALS		
NET CHANGE BY CHANGE ORDERS		

1. ORIGINAL CONTRACT PRICE.....	\$	_____
2. Net change by Change Orders.....	\$	_____
3. CURRENT CONTRACT PRICE (Line 1 ± 2)	\$	_____
4. TOTAL COMPLETED AND STORED TO DATE (Column F on Progress Estimate)	\$	_____
5. RETAINAGE:		
a. _____ % x \$ _____ Work Completed.....	\$	_____
b. _____ % x \$ _____ Stored Material.....	\$	_____
c. Total Retainage (Line 5a + Line 5b)	\$	_____
6. AMOUNT ELIGIBLE TO DATE (Line 4 - Line 5c).....	\$	_____
7. LESS PREVIOUS PAYMENTS (Line 6 from prior Application)	\$	_____
8. AMOUNT DUE THIS APPLICATION.....	\$	_____
9. BALANCE TO FINISH, PLUS RETAINAGE (Column G on Progress Estimate + Line 5 above)	\$	_____

CONTRACTOR'S CERTIFICATION

The undersigned Contractor certifies, to the best of its knowledge, the following:

- (1) All previous progress payments received from Owner on account of Work done under the Contract have been applied on account to discharge Contractor's legitimate obligations incurred in connection with the Work covered by prior Applications for Payment;
- (2) Title to all Work, materials and equipment incorporated in said Work, or otherwise listed in or covered by this Application for Payment, will pass to Owner at time of payment free and clear of all Liens, security interests, and encumbrances (except such as are covered by a bond acceptable to Owner indemnifying Owner against any such Liens, security interest, or encumbrances); and
- (3) All the Work covered by this Application for Payment is in accordance with the Contract Documents and is not defective.

By: _____ Date _____

Subscribed and sworn to before me this _____ day of _____

Notary Public:
My Commission expires:

Payment of:	\$ _____ (Line 8 or other - attach explanation of other amount)
is recommended by:	_____ <u>Greenman-Pedersen, Inc.</u> _____ (Engineer) (Date)
Payment of:	\$ _____ (Line 8 or other - attach explanation of other amount)
is approved by:	_____ (Owner) _____ (Date)
Approved by:	_____ Funding Agency (if applicable) _____ (Date)

Progress Estimate - Unit Price Work

Contractor's Application

For (Contract): <u>Betts Road and Cross Road Intersection</u>	Application Number: _____
Application Period: _____	Application Date: _____

A					B	C	D	E	F		
Item		Contract Information				Estimated Quantity Installed	Value of Work Installed to Date	Materials Presently Stored (not in C)	Total Completed and Stored to Date (D + E)	% (F / B)	Balance to Finish (B - F)
Bid Item No.	Description	Item Quantity	Units	Unit Price	Total Value of Item (\$)						
Totals											

Stored Material Summary

Contractor's Application

For (Contract):							Betts Road and Cross Road Intersection					Application Number:		
Application Period:												Application Date:		
A		B	C		D		E	Subtotal Amount Completed and Stored to Date (D + E)	F		G			
Bid Item No.	Supplier Invoice No.	Submittal No. (with Specification Section No.)	Storage Location	Description of Materials or Equipment Stored	Stored Previously		Amount Stored this Month (\$)		Incorporated in Work		Materials Remaining in Storage (\$) (D + E - F)			
					Date Placed into Storage (Month/Year)	Amount (\$)		Date (Month/Year)	Amount (\$)					
				Totals										

END OF SECTION

This document has important legal consequences; consultation with an attorney is encouraged with respect to its use or modification. This document should be adapted to the particular circumstances of the contemplated Project and the controlling Laws and Regulations.

STANDARD GENERAL CONDITIONS OF THE CONSTRUCTION CONTRACT

Prepared by



Issued and Published Jointly by



Endorsed by



These General Conditions have been prepared for use with the Agreement Between Owner and Contractor for Construction Contract (EJCDC® C-520, Stipulated Sum, or C-525, Cost-Plus, 2013 Editions). Their provisions are interrelated and a change in one may necessitate a change in the other.

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**STANDARD GENERAL CONDITIONS OF THE
CONSTRUCTION CONTRACT**

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ARTICLE 1 – DEFINITIONS AND TERMINOLOGY

1.01 *Defined Terms*

- A. Wherever used in the Bidding Requirements or Contract Documents, a term printed with initial capital letters, including the term's singular and plural forms, will have the meaning indicated in the definitions below. In addition to terms specifically defined, terms with initial capital letters in the Contract Documents include references to identified articles and paragraphs, and the titles of other documents or forms.
1. *Addenda*—Written or graphic instruments issued prior to the opening of Bids which clarify, correct, or change the Bidding Requirements or the proposed Contract Documents.
 2. *Agreement*—The written instrument, executed by Owner and Contractor, that sets forth the Contract Price and Contract Times, identifies the parties and the Engineer, and designates the specific items that are Contract Documents.
 3. *Application for Payment*—The form acceptable to Engineer which is to be used by Contractor during the course of the Work in requesting progress or final payments and which is to be accompanied by such supporting documentation as is required by the Contract Documents.
 4. *Bid*—The offer of a Bidder submitted on the prescribed form setting forth the prices for the Work to be performed.
 5. *Bidder*—An individual or entity that submits a Bid to Owner.
 6. *Bidding Documents*—The Bidding Requirements, the proposed Contract Documents, and all Addenda.
 7. *Bidding Requirements*—The advertisement or invitation to bid, Instructions to Bidders, Bid Bond or other Bid security, if any, the Bid Form, and the Bid with any attachments.
 8. *Change Order*—A document which is signed by Contractor and Owner and authorizes an addition, deletion, or revision in the Work or an adjustment in the Contract Price or the Contract Times, or other revision to the Contract, issued on or after the Effective Date of the Contract.
 9. *Change Proposal*—A written request by Contractor, duly submitted in compliance with the procedural requirements set forth herein, seeking an adjustment in Contract Price or Contract Times, or both; contesting an initial decision by Engineer concerning the requirements of the Contract Documents or the acceptability of Work under the Contract Documents; challenging a set-off against payments due; or seeking other relief with respect to the terms of the Contract.
 10. *Claim*—(a) A demand or assertion by Owner directly to Contractor, duly submitted in compliance with the procedural requirements set forth herein: seeking an adjustment of Contract Price or Contract Times, or both; contesting an initial decision by Engineer concerning the requirements of the Contract Documents or the acceptability of Work under the Contract Documents; contesting Engineer's decision regarding a Change Proposal; seeking resolution of a contractual issue that Engineer has declined to address; or seeking other relief with respect to the terms of the Contract; or (b) a demand or assertion by Contractor directly to Owner, duly submitted in compliance with the procedural requirements set forth herein, contesting Engineer's decision regarding a Change Proposal; or seeking resolution of a contractual issue that Engineer

has declined to address. A demand for money or services by a third party is not a Claim.

11. *Constituent of Concern*—Asbestos, petroleum, radioactive materials, polychlorinated biphenyls (PCBs), hazardous waste, and any substance, product, waste, or other material of any nature whatsoever that is or becomes listed, regulated, or addressed pursuant to (a) the Comprehensive Environmental Response, Compensation and Liability Act, 42 U.S.C. §§9601 et seq. (“CERCLA”); (b) the Hazardous Materials Transportation Act, 49 U.S.C. §§5101 et seq.; (c) the Resource Conservation and Recovery Act, 42 U.S.C. §§6901 et seq. (“RCRA”); (d) the Toxic Substances Control Act, 15 U.S.C. §§2601 et seq.; (e) the Clean Water Act, 33 U.S.C. §§1251 et seq.; (f) the Clean Air Act, 42 U.S.C. §§7401 et seq.; or (g) any other federal, state, or local statute, law, rule, regulation, ordinance, resolution, code, order, or decree regulating, relating to, or imposing liability or standards of conduct concerning, any hazardous, toxic, or dangerous waste, substance, or material.
12. *Contract*—The entire and integrated written contract between the Owner and Contractor concerning the Work.
13. *Contract Documents*—Those items so designated in the Agreement, and which together comprise the Contract.
14. *Contract Price*—The money that Owner has agreed to pay Contractor for completion of the Work in accordance with the Contract Documents. .
15. *Contract Times*—The number of days or the dates by which Contractor shall: (a) achieve Milestones, if any; (b) achieve Substantial Completion; and (c) complete the Work.
16. *Contractor*—The individual or entity with which Owner has contracted for performance of the Work.
17. *Cost of the Work*—See Paragraph 13.01 for definition.
18. *Drawings*—The part of the Contract that graphically shows the scope, extent, and character of the Work to be performed by Contractor.
19. *Effective Date of the Contract*—The date, indicated in the Agreement, on which the Contract becomes effective.
20. *Engineer*—The individual or entity named as such in the Agreement.
21. *Field Order*—A written order issued by Engineer which requires minor changes in the Work but does not change the Contract Price or the Contract Times.
22. *Hazardous Environmental Condition*—The presence at the Site of Constituents of Concern in such quantities or circumstances that may present a danger to persons or property exposed thereto. The presence at the Site of materials that are necessary for the execution of the Work, or that are to be incorporated in the Work, and that are controlled and contained pursuant to industry practices, Laws and Regulations, and the requirements of the Contract, does not establish a Hazardous Environmental Condition.
23. *Laws and Regulations; Laws or Regulations*—Any and all applicable laws, statutes, rules, regulations, ordinances, codes, and orders of any and all governmental bodies, agencies, authorities, and courts having jurisdiction.

24. *Liens*—Charges, security interests, or encumbrances upon Contract-related funds, real property, or personal property.
25. *Milestone*—A principal event in the performance of the Work that the Contract requires Contractor to achieve by an intermediate completion date or by a time prior to Substantial Completion of all the Work.
26. *Notice of Award*—The written notice by Owner to a Bidder of Owner’s acceptance of the Bid.
27. *Notice to Proceed*—A written notice by Owner to Contractor fixing the date on which the Contract Times will commence to run and on which Contractor shall start to perform the Work.
28. *Owner*—The individual or entity with which Contractor has contracted regarding the Work, and which has agreed to pay Contractor for the performance of the Work, pursuant to the terms of the Contract.
29. *Progress Schedule*—A schedule, prepared and maintained by Contractor, describing the sequence and duration of the activities comprising the Contractor’s plan to accomplish the Work within the Contract Times.
30. *Project*—The total undertaking to be accomplished for Owner by engineers, contractors, and others, including planning, study, design, construction, testing, commissioning, and start-up, and of which the Work to be performed under the Contract Documents is a part.
31. *Project Manual*—The written documents prepared for, or made available for, procuring and constructing the Work, including but not limited to the Bidding Documents or other construction procurement documents, geotechnical and existing conditions information, the Agreement, bond forms, General Conditions, Supplementary Conditions, and Specifications. The contents of the Project Manual may be bound in one or more volumes.
32. *Resident Project Representative*—The authorized representative of Engineer assigned to assist Engineer at the Site. As used herein, the term Resident Project Representative or “RPR” includes any assistants or field staff of Resident Project Representative.
33. *Samples*—Physical examples of materials, equipment, or workmanship that are representative of some portion of the Work and that establish the standards by which such portion of the Work will be judged.
34. *Schedule of Submittals*—A schedule, prepared and maintained by Contractor, of required submittals and the time requirements for Engineer’s review of the submittals and the performance of related construction activities.
35. *Schedule of Values*—A schedule, prepared and maintained by Contractor, allocating portions of the Contract Price to various portions of the Work and used as the basis for reviewing Contractor’s Applications for Payment.
36. *Shop Drawings*—All drawings, diagrams, illustrations, schedules, and other data or information that are specifically prepared or assembled by or for Contractor and submitted by Contractor to illustrate some portion of the Work. Shop Drawings, whether approved or not, are not Drawings and are not Contract Documents.

37. *Site*—Lands or areas indicated in the Contract Documents as being furnished by Owner upon which the Work is to be performed, including rights-of-way and easements, and such other lands furnished by Owner which are designated for the use of Contractor.
38. *Specifications*—The part of the Contract that consists of written requirements for materials, equipment, systems, standards, and workmanship as applied to the Work, and certain administrative requirements and procedural matters applicable to the Work.
39. *Subcontractor*—An individual or entity having a direct contract with Contractor or with any other Subcontractor for the performance of a part of the Work.
40. *Substantial Completion*—The time at which the Work (or a specified part thereof) has progressed to the point where, in the opinion of Engineer, the Work (or a specified part thereof) is sufficiently complete, in accordance with the Contract Documents, so that the Work (or a specified part thereof) can be utilized for the purposes for which it is intended. The terms “substantially complete” and “substantially completed” as applied to all or part of the Work refer to Substantial Completion thereof.
41. *Successful Bidder*—The Bidder whose Bid the Owner accepts, and to which the Owner makes an award of contract, subject to stated conditions.
42. *Supplementary Conditions*—The part of the Contract that amends or supplements these General Conditions.
43. *Supplier*—A manufacturer, fabricator, supplier, distributor, materialman, or vendor having a direct contract with Contractor or with any Subcontractor to furnish materials or equipment to be incorporated in the Work by Contractor or a Subcontractor.
44. *Technical Data*—Those items expressly identified as Technical Data in the Supplementary Conditions, with respect to either (a) subsurface conditions at the Site, or physical conditions relating to existing surface or subsurface structures at the Site (except Underground Facilities) or (b) Hazardous Environmental Conditions at the Site. If no such express identifications of Technical Data have been made with respect to conditions at the Site, then the data contained in boring logs, recorded measurements of subsurface water levels, laboratory test results, and other factual, objective information regarding conditions at the Site that are set forth in any geotechnical or environmental report prepared for the Project and made available to Contractor are hereby defined as Technical Data with respect to conditions at the Site under Paragraphs 5.03, 5.04, and 5.06.
45. *Underground Facilities*—All underground pipelines, conduits, ducts, cables, wires, manholes, vaults, tanks, tunnels, or other such facilities or attachments, and any encasements containing such facilities, including but not limited to those that convey electricity, gases, steam, liquid petroleum products, telephone or other communications, fiber optic transmissions, cable television, water, wastewater, storm water, other liquids or chemicals, or traffic or other control systems.
46. *Unit Price Work*—Work to be paid for on the basis of unit prices.
47. *Work*—The entire construction or the various separately identifiable parts thereof required to be provided under the Contract Documents. Work includes and is the result of performing or providing all labor, services, and documentation necessary to produce such construction; furnishing, installing, and incorporating all materials and equipment into such construction; and may include related services such as testing, start-up, and commissioning, all as required by the Contract Documents.

48. *Work Change Directive*—A written directive to Contractor issued on or after the Effective Date of the Contract, signed by Owner and recommended by Engineer, ordering an addition, deletion, or revision in the Work.

1.02 Terminology

- A. The words and terms discussed in the following paragraphs are not defined but, when used in the Bidding Requirements or Contract Documents, have the indicated meaning.
- B. *Intent of Certain Terms or Adjectives:*
1. The Contract Documents include the terms “as allowed,” “as approved,” “as ordered,” “as directed” or terms of like effect or import to authorize an exercise of professional judgment by Engineer. In addition, the adjectives “reasonable,” “suitable,” “acceptable,” “proper,” “satisfactory,” or adjectives of like effect or import are used to describe an action or determination of Engineer as to the Work. It is intended that such exercise of professional judgment, action, or determination will be solely to evaluate, in general, the Work for compliance with the information in the Contract Documents and with the design concept of the Project as a functioning whole as shown or indicated in the Contract Documents (unless there is a specific statement indicating otherwise). The use of any such term or adjective is not intended to and shall not be effective to assign to Engineer any duty or authority to supervise or direct the performance of the Work, or any duty or authority to undertake responsibility contrary to the provisions of Article 10 or any other provision of the Contract Documents.
- C. *Day:*
1. The word “day” means a calendar day of 24 hours measured from midnight to the next midnight.
- D. *Defective:*
1. The word “defective,” when modifying the word “Work,” refers to Work that is unsatisfactory, faulty, or deficient in that it:
 - a. does not conform to the Contract Documents; or
 - b. does not meet the requirements of any applicable inspection, reference standard, test, or approval referred to in the Contract Documents; or
 - c. has been damaged prior to Engineer’s recommendation of final payment (unless responsibility for the protection thereof has been assumed by Owner at Substantial Completion in accordance with Paragraph 15.03 or 15.04).
- E. *Furnish, Install, Perform, Provide:*
1. The word “furnish,” when used in connection with services, materials, or equipment, shall mean to supply and deliver said services, materials, or equipment to the Site (or some other specified location) ready for use or installation and in usable or operable condition.
 2. The word “install,” when used in connection with services, materials, or equipment, shall mean to put into use or place in final position said services, materials, or equipment complete and ready for intended use.

3. The words “perform” or “provide,” when used in connection with services, materials, or equipment, shall mean to furnish and install said services, materials, or equipment complete and ready for intended use.
 4. If the Contract Documents establish an obligation of Contractor with respect to specific services, materials, or equipment, but do not expressly use any of the four words “furnish,” “install,” “perform,” or “provide,” then Contractor shall furnish and install said services, materials, or equipment complete and ready for intended use.
- F. Unless stated otherwise in the Contract Documents, words or phrases that have a well-known technical or construction industry or trade meaning are used in the Contract Documents in accordance with such recognized meaning.

ARTICLE 2 – PRELIMINARY MATTERS

2.01 *Delivery of Bonds and Evidence of Insurance*

- A. *Bonds*: When Contractor delivers the executed counterparts of the Agreement to Owner, Contractor shall also deliver to Owner such bonds as Contractor may be required to furnish.
- B. *Evidence of Contractor’s Insurance*: When Contractor delivers the executed counterparts of the Agreement to Owner, Contractor shall also deliver to Owner, with copies to each named insured and additional insured (as identified in the Supplementary Conditions or elsewhere in the Contract), the certificates and other evidence of insurance required to be provided by Contractor in accordance with Article 6.
- C. *Evidence of Owner’s Insurance*: After receipt of the executed counterparts of the Agreement and all required bonds and insurance documentation, Owner shall promptly deliver to Contractor, with copies to each named insured and additional insured (as identified in the Supplementary Conditions or otherwise), the certificates and other evidence of insurance required to be provided by Owner under Article 6.

2.02 *Copies of Documents*

- A. Owner shall furnish to Contractor four printed copies of the Contract (including one fully executed counterpart of the Agreement), and one copy in electronic portable document format (PDF). Additional printed copies will be furnished upon request at the cost of reproduction.
- B. Owner shall maintain and safeguard at least one original printed record version of the Contract, including Drawings and Specifications signed and sealed by Engineer and other design professionals. Owner shall make such original printed record version of the Contract available to Contractor for review. Owner may delegate the responsibilities under this provision to Engineer.

2.03 *Before Starting Construction*

- A. *Preliminary Schedules*: Within 10 days after the Effective Date of the Contract (or as otherwise specifically required by the Contract Documents), Contractor shall submit to Engineer for timely review:
 1. a preliminary Progress Schedule indicating the times (numbers of days or dates) for starting and completing the various stages of the Work, including any Milestones specified in the Contract;
 2. a preliminary Schedule of Submittals; and

3. a preliminary Schedule of Values for all of the Work which includes quantities and prices of items which when added together equal the Contract Price and subdivides the Work into component parts in sufficient detail to serve as the basis for progress payments during performance of the Work. Such prices will include an appropriate amount of overhead and profit applicable to each item of Work.

2.04 *Preconstruction Conference; Designation of Authorized Representatives*

- A. Before any Work at the Site is started, a conference attended by Owner, Contractor, Engineer, and others as appropriate will be held to establish a working understanding among the parties as to the Work and to discuss the schedules referred to in Paragraph 2.03.A, procedures for handling Shop Drawings, Samples, and other submittals, processing Applications for Payment, electronic or digital transmittals, and maintaining required records.
- B. At this conference Owner and Contractor each shall designate, in writing, a specific individual to act as its authorized representative with respect to the services and responsibilities under the Contract. Such individuals shall have the authority to transmit and receive information, render decisions relative to the Contract, and otherwise act on behalf of each respective party.

2.05 *Initial Acceptance of Schedules*

- A. At least 10 days before submission of the first Application for Payment a conference, attended by Contractor, Engineer, and others as appropriate, will be held to review for acceptability to Engineer as provided below the schedules submitted in accordance with Paragraph 2.03.A. Contractor shall have an additional 10 days to make corrections and adjustments and to complete and resubmit the schedules. No progress payment shall be made to Contractor until acceptable schedules are submitted to Engineer.
 1. The Progress Schedule will be acceptable to Engineer if it provides an orderly progression of the Work to completion within the Contract Times. Such acceptance will not impose on Engineer responsibility for the Progress Schedule, for sequencing, scheduling, or progress of the Work, nor interfere with or relieve Contractor from Contractor's full responsibility therefor.
 2. Contractor's Schedule of Submittals will be acceptable to Engineer if it provides a workable arrangement for reviewing and processing the required submittals.
 3. Contractor's Schedule of Values will be acceptable to Engineer as to form and substance if it provides a reasonable allocation of the Contract Price to the component parts of the Work.

2.06 *Electronic Transmittals*

- A. Except as otherwise stated elsewhere in the Contract, the Owner, Engineer, and Contractor may transmit, and shall accept, Project-related correspondence, text, data, documents, drawings, information, and graphics, including but not limited to Shop Drawings and other submittals, in electronic media or digital format, either directly, or through access to a secure Project website.
- B. If the Contract does not establish protocols for electronic or digital transmittals, then Owner, Engineer, and Contractor shall jointly develop such protocols.
- C. When transmitting items in electronic media or digital format, the transmitting party makes no representations as to long term compatibility, usability, or readability of the items resulting from the recipient's use of software application packages, operating systems, or

computer hardware differing from those used in the drafting or transmittal of the items, or from those established in applicable transmittal protocols.

ARTICLE 3 – DOCUMENTS: INTENT, REQUIREMENTS, REUSE

3.01 *Intent*

- A. The Contract Documents are complementary; what is required by one is as binding as if required by all.
- B. It is the intent of the Contract Documents to describe a functionally complete project (or part thereof) to be constructed in accordance with the Contract Documents.
- C. Unless otherwise stated in the Contract Documents, if there is a discrepancy between the electronic or digital versions of the Contract Documents (including any printed copies derived from such electronic or digital versions) and the printed record version, the printed record version shall govern.
- D. The Contract supersedes prior negotiations, representations, and agreements, whether written or oral.
- E. Engineer will issue clarifications and interpretations of the Contract Documents as provided herein.

3.02 *Reference Standards*

- A. Standards Specifications, Codes, Laws and Regulations
 - 1. Reference in the Contract Documents to standard specifications, manuals, reference standards, or codes of any technical society, organization, or association, or to Laws or Regulations, whether such reference be specific or by implication, shall mean the standard specification, manual, reference standard, code, or Laws or Regulations in effect at the time of opening of Bids (or on the Effective Date of the Contract if there were no Bids), except as may be otherwise specifically stated in the Contract Documents.
 - 2. No provision of any such standard specification, manual, reference standard, or code, or any instruction of a Supplier, shall be effective to change the duties or responsibilities of Owner, Contractor, or Engineer, or any of their subcontractors, consultants, agents, or employees, from those set forth in the part of the Contract Documents prepared by or for Engineer. No such provision or instruction shall be effective to assign to Owner, Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, any duty or authority to supervise or direct the performance of the Work or any duty or authority to undertake responsibility inconsistent with the provisions of the part of the Contract Documents prepared by or for Engineer.

3.03 *Reporting and Resolving Discrepancies*

- A. *Reporting Discrepancies:*
 - 1. *Contractor's Verification of Figures and Field Measurements:* Before undertaking each part of the Work, Contractor shall carefully study the Contract Documents, and check and verify pertinent figures and dimensions therein, particularly with respect to applicable field measurements. Contractor shall promptly report in writing to Engineer any conflict, error, ambiguity, or discrepancy that Contractor discovers, or has actual knowledge of, and shall not proceed with any Work affected thereby until the conflict,

error, ambiguity, or discrepancy is resolved, by a clarification or interpretation by Engineer, or by an amendment or supplement to the Contract Documents issued pursuant to Paragraph 11.01.

2. *Contractor's Review of Contract Documents:* If, before or during the performance of the Work, Contractor discovers any conflict, error, ambiguity, or discrepancy within the Contract Documents, or between the Contract Documents and (a) any applicable Law or Regulation, (b) actual field conditions, (c) any standard specification, manual, reference standard, or code, or (d) any instruction of any Supplier, then Contractor shall promptly report it to Engineer in writing. Contractor shall not proceed with the Work affected thereby (except in an emergency as required by Paragraph 7.15) until the conflict, error, ambiguity, or discrepancy is resolved, by a clarification or interpretation by Engineer, or by an amendment or supplement to the Contract Documents issued pursuant to Paragraph 11.01.
3. Contractor shall not be liable to Owner or Engineer for failure to report any conflict, error, ambiguity, or discrepancy in the Contract Documents unless Contractor had actual knowledge thereof.

B. *Resolving Discrepancies:*

1. Except as may be otherwise specifically stated in the Contract Documents, the provisions of the part of the Contract Documents prepared by or for Engineer shall take precedence in resolving any conflict, error, ambiguity, or discrepancy between such provisions of the Contract Documents and:
 - a. the provisions of any standard specification, manual, reference standard, or code, or the instruction of any Supplier (whether or not specifically incorporated by reference as a Contract Document); or
 - b. the provisions of any Laws or Regulations applicable to the performance of the Work (unless such an interpretation of the provisions of the Contract Documents would result in violation of such Law or Regulation).

3.04 *Requirements of the Contract Documents*

- A. During the performance of the Work and until final payment, Contractor and Owner shall submit to the Engineer all matters in question concerning the requirements of the Contract Documents (sometimes referred to as requests for information or interpretation—RFIs), or relating to the acceptability of the Work under the Contract Documents, as soon as possible after such matters arise. Engineer will be the initial interpreter of the requirements of the Contract Documents, and judge of the acceptability of the Work thereunder.
- B. Engineer will, with reasonable promptness, render a written clarification, interpretation, or decision on the issue submitted, or initiate an amendment or supplement to the Contract Documents. Engineer's written clarification, interpretation, or decision will be final and binding on Contractor, unless it appeals by submitting a Change Proposal, and on Owner, unless it appeals by filing a Claim.
- C. If a submitted matter in question concerns terms and conditions of the Contract Documents that do not involve (1) the performance or acceptability of the Work under the Contract Documents, (2) the design (as set forth in the Drawings, Specifications, or otherwise), or (3) other engineering or technical matters, then Engineer will promptly give written notice to Owner and Contractor that Engineer is unable to provide a decision or interpretation. If Owner and Contractor are unable to agree on resolution of such a matter in question, either party may pursue resolution as provided in Article 12.

3.05 *Reuse of Documents*

- A. Contractor and its Subcontractors and Suppliers shall not:
 - 1. have or acquire any title to or ownership rights in any of the Drawings, Specifications, or other documents (or copies of any thereof) prepared by or bearing the seal of Engineer or its consultants, including electronic media editions, or reuse any such Drawings, Specifications, other documents, or copies thereof on extensions of the Project or any other project without written consent of Owner and Engineer and specific written verification or adaptation by Engineer; or
 - 2. have or acquire any title or ownership rights in any other Contract Documents, reuse any such Contract Documents for any purpose without Owner's express written consent, or violate any copyrights pertaining to such Contract Documents.
- B. The prohibitions of this Paragraph 3.05 will survive final payment, or termination of the Contract. Nothing herein shall preclude Contractor from retaining copies of the Contract Documents for record purposes.

ARTICLE 4 – COMMENCEMENT AND PROGRESS OF THE WORK

4.01 *Commencement of Contract Times; Notice to Proceed*

- A. The Contract Times will commence to run on the thirtieth day after the Effective Date of the Contract or, if a Notice to Proceed is given, on the day indicated in the Notice to Proceed. A Notice to Proceed may be given at any time within 30 days after the Effective Date of the Contract. In no event will the Contract Times commence to run later than the sixtieth day after the day of Bid opening or the thirtieth day after the Effective Date of the Contract, whichever date is earlier.

4.02 *Starting the Work*

- A. Contractor shall start to perform the Work on the date when the Contract Times commence to run. No Work shall be done at the Site prior to such date.

4.03 *Reference Points*

- A. Owner shall provide engineering surveys to establish reference points for construction which in Engineer's judgment are necessary to enable Contractor to proceed with the Work. Contractor shall be responsible for laying out the Work, shall protect and preserve the established reference points and property monuments, and shall make no changes or relocations without the prior written approval of Owner. Contractor shall report to Engineer whenever any reference point or property monument is lost or destroyed or requires relocation because of necessary changes in grades or locations, and shall be responsible for the accurate replacement or relocation of such reference points or property monuments by professionally qualified personnel.

4.04 *Progress Schedule*

- A. Contractor shall adhere to the Progress Schedule established in accordance with Paragraph 2.05 as it may be adjusted from time to time as provided below.
 - 1. Contractor shall submit to Engineer for acceptance (to the extent indicated in Paragraph 2.05) proposed adjustments in the Progress Schedule that will not result in changing the Contract Times.

2. Proposed adjustments in the Progress Schedule that will change the Contract Times shall be submitted in accordance with the requirements of Article 11.
- B. Contractor shall carry on the Work and adhere to the Progress Schedule during all disputes or disagreements with Owner. No Work shall be delayed or postponed pending resolution of any disputes or disagreements, or during any appeal process, except as permitted by Paragraph 16.04, or as Owner and Contractor may otherwise agree in writing.

4.05 *Delays in Contractor's Progress*

- A. If Owner, Engineer, or anyone for whom Owner is responsible, delays, disrupts, or interferes with the performance or progress of the Work, then Contractor shall be entitled to an equitable adjustment in the Contract Times and Contract Price. Contractor's entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor's ability to complete the Work within the Contract Times.
- B. Contractor shall not be entitled to an adjustment in Contract Price or Contract Times for delay, disruption, or interference caused by or within the control of Contractor. Delay, disruption, and interference attributable to and within the control of a Subcontractor or Supplier shall be deemed to be within the control of Contractor.
- C. If Contractor's performance or progress is delayed, disrupted, or interfered with by unanticipated causes not the fault of and beyond the control of Owner, Contractor, and those for which they are responsible, then Contractor shall be entitled to an equitable adjustment in Contract Times. Contractor's entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor's ability to complete the Work within the Contract Times. Such an adjustment shall be Contractor's sole and exclusive remedy for the delays, disruption, and interference described in this paragraph. Causes of delay, disruption, or interference that may give rise to an adjustment in Contract Times under this paragraph include but are not limited to the following:
1. severe and unavoidable natural catastrophes such as fires, floods, epidemics, and earthquakes;
 2. abnormal weather conditions;
 3. acts or failures to act of utility owners (other than those performing other work at or adjacent to the Site by arrangement with the Owner, as contemplated in Article 8); and
 4. acts of war or terrorism.
- D. Delays, disruption, and interference to the performance or progress of the Work resulting from the existence of a differing subsurface or physical condition, an Underground Facility that was not shown or indicated by the Contract Documents, or not shown or indicated with reasonable accuracy, and those resulting from Hazardous Environmental Conditions, are governed by Article 5.
- E. Paragraph 8.03 governs delays, disruption, and interference to the performance or progress of the Work resulting from the performance of certain other work at or adjacent to the Site.
- F. Contractor shall not be entitled to an adjustment in Contract Price or Contract Times for any delay, disruption, or interference if such delay is concurrent with a delay, disruption, or interference caused by or within the control of Contractor.

- G. Contractor must submit any Change Proposal seeking an adjustment in Contract Price or Contract Times under this paragraph within 30 days of the commencement of the delaying, disrupting, or interfering event.

ARTICLE 5 – AVAILABILITY OF LANDS; SUBSURFACE AND PHYSICAL CONDITIONS; HAZARDOUS ENVIRONMENTAL CONDITIONS

5.01 *Availability of Lands*

- A. Owner shall furnish the Site. Owner shall notify Contractor of any encumbrances or restrictions not of general application but specifically related to use of the Site with which Contractor must comply in performing the Work.
- B. Upon reasonable written request, Owner shall furnish Contractor with a current statement of record legal title and legal description of the lands upon which permanent improvements are to be made and Owner's interest therein as necessary for giving notice of or filing a mechanic's or construction lien against such lands in accordance with applicable Laws and Regulations.
- C. Contractor shall provide for all additional lands and access thereto that may be required for temporary construction facilities or storage of materials and equipment.

5.02 *Use of Site and Other Areas*

A. *Limitation on Use of Site and Other Areas:*

- 1. Contractor shall confine construction equipment, temporary construction facilities, the storage of materials and equipment, and the operations of workers to the Site, adjacent areas that Contractor has arranged to use through construction easements or otherwise, and other adjacent areas permitted by Laws and Regulations, and shall not unreasonably encumber the Site and such other adjacent areas with construction equipment or other materials or equipment. Contractor shall assume full responsibility for (a) damage to the Site; (b) damage to any such other adjacent areas used for Contractor's operations; (c) damage to any other adjacent land or areas; and (d) for injuries and losses sustained by the owners or occupants of any such land or areas; provided that such damage or injuries result from the performance of the Work or from other actions or conduct of the Contractor or those for which Contractor is responsible.
- 2. If a damage or injury claim is made by the owner or occupant of any such land or area because of the performance of the Work, or because of other actions or conduct of the Contractor or those for which Contractor is responsible, Contractor shall (a) take immediate corrective or remedial action as required by Paragraph 7.12, or otherwise; (b) promptly attempt to settle the claim as to all parties through negotiations with such owner or occupant, or otherwise resolve the claim by arbitration or other dispute resolution proceeding, or at law; and (c) to the fullest extent permitted by Laws and Regulations, indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them from and against any such claim, and against all costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to any claim or action, legal or equitable, brought by any such owner or occupant against Owner, Engineer, or any other party indemnified hereunder to the extent caused directly or indirectly, in whole or in part

by, or based upon, Contractor's performance of the Work, or because of other actions or conduct of the Contractor or those for which Contractor is responsible.

- B. *Removal of Debris During Performance of the Work:* During the progress of the Work the Contractor shall keep the Site and other adjacent areas free from accumulations of waste materials, rubbish, and other debris. Removal and disposal of such waste materials, rubbish, and other debris shall conform to applicable Laws and Regulations.
- C. *Cleaning:* Prior to Substantial Completion of the Work Contractor shall clean the Site and the Work and make it ready for utilization by Owner. At the completion of the Work Contractor shall remove from the Site and adjacent areas all tools, appliances, construction equipment and machinery, and surplus materials and shall restore to original condition all property not designated for alteration by the Contract Documents.
- D. *Loading of Structures:* Contractor shall not load nor permit any part of any structure to be loaded in any manner that will endanger the structure, nor shall Contractor subject any part of the Work or adjacent structures or land to stresses or pressures that will endanger them.

5.03 *Subsurface and Physical Conditions*

- A. *Reports and Drawings:* The Supplementary Conditions identify:
 - 1. those reports known to Owner of explorations and tests of subsurface conditions at or adjacent to the Site;
 - 2. those drawings known to Owner of physical conditions relating to existing surface or subsurface structures at the Site (except Underground Facilities); and
 - 3. Technical Data contained in such reports and drawings.
- B. *Reliance by Contractor on Technical Data Authorized:* Contractor may rely upon the accuracy of the Technical Data expressly identified in the Supplementary Conditions with respect to such reports and drawings, but such reports and drawings are not Contract Documents. If no such express identification has been made, then Contractor may rely upon the accuracy of the Technical Data (as defined in Article 1) contained in any geotechnical or environmental report prepared for the Project and made available to Contractor. Except for such reliance on Technical Data, Contractor may not rely upon or make any claim against Owner or Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, with respect to:
 - 1. the completeness of such reports and drawings for Contractor's purposes, including, but not limited to, any aspects of the means, methods, techniques, sequences, and procedures of construction to be employed by Contractor, and safety precautions and programs incident thereto; or
 - 2. other data, interpretations, opinions, and information contained in such reports or shown or indicated in such drawings; or
 - 3. any Contractor interpretation of or conclusion drawn from any Technical Data or any such other data, interpretations, opinions, or information.

5.04 *Differing Subsurface or Physical Conditions*

- A. *Notice by Contractor:* If Contractor believes that any subsurface or physical condition that is uncovered or revealed at the Site either:
1. is of such a nature as to establish that any Technical Data on which Contractor is entitled to rely as provided in Paragraph 5.03 is materially inaccurate; or
 2. is of such a nature as to require a change in the Drawings or Specifications; or
 3. differs materially from that shown or indicated in the Contract Documents; or
 4. is of an unusual nature, and differs materially from conditions ordinarily encountered and generally recognized as inherent in work of the character provided for in the Contract Documents;

then Contractor shall, promptly after becoming aware thereof and before further disturbing the subsurface or physical conditions or performing any Work in connection therewith (except in an emergency as required by Paragraph 7.15), notify Owner and Engineer in writing about such condition. Contractor shall not further disturb such condition or perform any Work in connection therewith (except with respect to an emergency) until receipt of a written statement permitting Contractor to do so.

- B. *Engineer's Review:* After receipt of written notice as required by the preceding paragraph, Engineer will promptly review the subsurface or physical condition in question; determine the necessity of Owner's obtaining additional exploration or tests with respect to the condition; conclude whether the condition falls within any one or more of the differing site condition categories in Paragraph 5.04.A above; obtain any pertinent cost or schedule information from Contractor; prepare recommendations to Owner regarding the Contractor's resumption of Work in connection with the subsurface or physical condition in question and the need for any change in the Drawings or Specifications; and advise Owner in writing of Engineer's findings, conclusions, and recommendations.
- C. *Owner's Statement to Contractor Regarding Site Condition:* After receipt of Engineer's written findings, conclusions, and recommendations, Owner shall issue a written statement to Contractor (with a copy to Engineer) regarding the subsurface or physical condition in question, addressing the resumption of Work in connection with such condition, indicating whether any change in the Drawings or Specifications will be made, and adopting or rejecting Engineer's written findings, conclusions, and recommendations, in whole or in part.
- D. *Possible Price and Times Adjustments:*
1. Contractor shall be entitled to an equitable adjustment in Contract Price or Contract Times, or both, to the extent that the existence of a differing subsurface or physical condition, or any related delay, disruption, or interference, causes an increase or decrease in Contractor's cost of, or time required for, performance of the Work; subject, however, to the following:
 - a. such condition must fall within any one or more of the categories described in Paragraph 5.04.A;
 - b. with respect to Work that is paid for on a unit price basis, any adjustment in Contract Price will be subject to the provisions of Paragraph 13.03; and,

- c. Contractor's entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor's ability to complete the Work within the Contract Times.
2. Contractor shall not be entitled to any adjustment in the Contract Price or Contract Times with respect to a subsurface or physical condition if:
 - a. Contractor knew of the existence of such condition at the time Contractor made a commitment to Owner with respect to Contract Price and Contract Times by the submission of a Bid or becoming bound under a negotiated contract, or otherwise; or
 - b. the existence of such condition reasonably could have been discovered or revealed as a result of any examination, investigation, exploration, test, or study of the Site and contiguous areas expressly required by the Bidding Requirements or Contract Documents to be conducted by or for Contractor prior to Contractor's making such commitment; or
 - c. Contractor failed to give the written notice as required by Paragraph 5.04.A.
3. If Owner and Contractor agree regarding Contractor's entitlement to and the amount or extent of any adjustment in the Contract Price or Contract Times, or both, then any such adjustment shall be set forth in a Change Order.
4. Contractor may submit a Change Proposal regarding its entitlement to or the amount or extent of any adjustment in the Contract Price or Contract Times, or both, no later than 30 days after Owner's issuance of the Owner's written statement to Contractor regarding the subsurface or physical condition in question.

5.05 *Underground Facilities*

- A. *Contractor's Responsibilities:* The information and data shown or indicated in the Contract Documents with respect to existing Underground Facilities at or adjacent to the Site is based on information and data furnished to Owner or Engineer by the owners of such Underground Facilities, including Owner, or by others. Unless it is otherwise expressly provided in the Supplementary Conditions:
 1. Owner and Engineer do not warrant or guarantee the accuracy or completeness of any such information or data provided by others; and
 2. the cost of all of the following will be included in the Contract Price, and Contractor shall have full responsibility for:
 - a. reviewing and checking all information and data regarding existing Underground Facilities at the Site;
 - b. locating all Underground Facilities shown or indicated in the Contract Documents as being at the Site;
 - c. coordination of the Work with the owners (including Owner) of such Underground Facilities, during construction; and
 - d. the safety and protection of all existing Underground Facilities at the Site, and repairing any damage thereto resulting from the Work.
- B. *Notice by Contractor:* If Contractor believes that an Underground Facility that is uncovered or revealed at the Site was not shown or indicated in the Contract Documents, or was not shown or indicated with reasonable accuracy, then Contractor shall, promptly after

becoming aware thereof and before further disturbing conditions affected thereby or performing any Work in connection therewith (except in an emergency as required by Paragraph 7.15), identify the owner of such Underground Facility and give written notice to that owner and to Owner and Engineer.

- C. *Engineer's Review:* Engineer will promptly review the Underground Facility and conclude whether such Underground Facility was not shown or indicated in the Contract Documents, or was not shown or indicated with reasonable accuracy; obtain any pertinent cost or schedule information from Contractor; prepare recommendations to Owner regarding the Contractor's resumption of Work in connection with the Underground Facility in question; determine the extent, if any, to which a change is required in the Drawings or Specifications to reflect and document the consequences of the existence or location of the Underground Facility; and advise Owner in writing of Engineer's findings, conclusions, and recommendations. During such time, Contractor shall be responsible for the safety and protection of such Underground Facility.
- D. *Owner's Statement to Contractor Regarding Underground Facility:* After receipt of Engineer's written findings, conclusions, and recommendations, Owner shall issue a written statement to Contractor (with a copy to Engineer) regarding the Underground Facility in question, addressing the resumption of Work in connection with such Underground Facility, indicating whether any change in the Drawings or Specifications will be made, and adopting or rejecting Engineer's written findings, conclusions, and recommendations in whole or in part.
- E. *Possible Price and Times Adjustments:*
 - 1. Contractor shall be entitled to an equitable adjustment in the Contract Price or Contract Times, or both, to the extent that any existing Underground Facility at the Site that was not shown or indicated in the Contract Documents, or was not shown or indicated with reasonable accuracy, or any related delay, disruption, or interference, causes an increase or decrease in Contractor's cost of, or time required for, performance of the Work; subject, however, to the following:
 - a. Contractor did not know of and could not reasonably have been expected to be aware of or to have anticipated the existence or actual location of the Underground Facility in question;
 - b. With respect to Work that is paid for on a unit price basis, any adjustment in Contract Price will be subject to the provisions of Paragraph 13.03;
 - c. Contractor's entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor's ability to complete the Work within the Contract Times; and
 - d. Contractor gave the notice required in Paragraph 5.05.B.
 - 2. If Owner and Contractor agree regarding Contractor's entitlement to and the amount or extent of any adjustment in the Contract Price or Contract Times, or both, then any such adjustment shall be set forth in a Change Order.
 - 3. Contractor may submit a Change Proposal regarding its entitlement to or the amount or extent of any adjustment in the Contract Price or Contract Times, or both, no later than 30 days after Owner's issuance of the Owner's written statement to Contractor regarding the Underground Facility in question.

5.06 *Hazardous Environmental Conditions at Site*

- A. *Reports and Drawings*: The Supplementary Conditions identify:
1. those reports and drawings known to Owner relating to Hazardous Environmental Conditions that have been identified at or adjacent to the Site; and
 2. Technical Data contained in such reports and drawings.
- B. *Reliance by Contractor on Technical Data Authorized*: Contractor may rely upon the accuracy of the Technical Data expressly identified in the Supplementary Conditions with respect to such reports and drawings, but such reports and drawings are not Contract Documents. If no such express identification has been made, then Contractor may rely on the accuracy of the Technical Data (as defined in Article 1) contained in any geotechnical or environmental report prepared for the Project and made available to Contractor. Except for such reliance on Technical Data, Contractor may not rely upon or make any claim against Owner or Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors with respect to:
1. the completeness of such reports and drawings for Contractor's purposes, including, but not limited to, any aspects of the means, methods, techniques, sequences and procedures of construction to be employed by Contractor and safety precautions and programs incident thereto; or
 2. other data, interpretations, opinions and information contained in such reports or shown or indicated in such drawings; or
 3. any Contractor interpretation of or conclusion drawn from any Technical Data or any such other data, interpretations, opinions or information.
- C. Contractor shall not be responsible for removing or remediating any Hazardous Environmental Condition encountered, uncovered, or revealed at the Site unless such removal or remediation is expressly identified in the Contract Documents to be within the scope of the Work.
- D. Contractor shall be responsible for controlling, containing, and duly removing all Constituents of Concern brought to the Site by Contractor, Subcontractors, Suppliers, or anyone else for whom Contractor is responsible, and for any associated costs; and for the costs of removing and remediating any Hazardous Environmental Condition created by the presence of any such Constituents of Concern.
- E. If Contractor encounters, uncovers, or reveals a Hazardous Environmental Condition whose removal or remediation is not expressly identified in the Contract Documents as being within the scope of the Work, or if Contractor or anyone for whom Contractor is responsible creates a Hazardous Environmental Condition, then Contractor shall immediately: (1) secure or otherwise isolate such condition; (2) stop all Work in connection with such condition and in any area affected thereby (except in an emergency as required by Paragraph 7.15); and (3) notify Owner and Engineer (and promptly thereafter confirm such notice in writing). Owner shall promptly consult with Engineer concerning the necessity for Owner to retain a qualified expert to evaluate such condition or take corrective action, if any. Promptly after consulting with Engineer, Owner shall take such actions as are necessary to permit Owner to timely obtain required permits and provide Contractor the written notice required by Paragraph 5.06.F. If Contractor or anyone for whom Contractor is responsible created the Hazardous Environmental Condition in question, then Owner may remove and remediate the Hazardous Environmental Condition, and impose a set-off against payments to account for the associated costs.

- F. Contractor shall not resume Work in connection with such Hazardous Environmental Condition or in any affected area until after Owner has obtained any required permits related thereto, and delivered written notice to Contractor either (1) specifying that such condition and any affected area is or has been rendered safe for the resumption of Work, or (2) specifying any special conditions under which such Work may be resumed safely.
- G. If Owner and Contractor cannot agree as to entitlement to or on the amount or extent, if any, of any adjustment in Contract Price or Contract Times, or both, as a result of such Work stoppage or such special conditions under which Work is agreed to be resumed by Contractor, then within 30 days of Owner's written notice regarding the resumption of Work, Contractor may submit a Change Proposal, or Owner may impose a set-off.
- H. If after receipt of such written notice Contractor does not agree to resume such Work based on a reasonable belief it is unsafe, or does not agree to resume such Work under such special conditions, then Owner may order the portion of the Work that is in the area affected by such condition to be deleted from the Work, following the contractual change procedures in Article 11. Owner may have such deleted portion of the Work performed by Owner's own forces or others in accordance with Article 8.
- I. To the fullest extent permitted by Laws and Regulations, Owner shall indemnify and hold harmless Contractor, Subcontractors, and Engineer, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to a Hazardous Environmental Condition, provided that such Hazardous Environmental Condition (1) was not shown or indicated in the Drawings, Specifications, or other Contract Documents, identified as Technical Data entitled to limited reliance pursuant to Paragraph 5.06.B, or identified in the Contract Documents to be included within the scope of the Work, and (2) was not created by Contractor or by anyone for whom Contractor is responsible. Nothing in this Paragraph 5.06.I shall obligate Owner to indemnify any individual or entity from and against the consequences of that individual's or entity's own negligence.
- J. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to the failure to control, contain, or remove a Constituent of Concern brought to the Site by Contractor or by anyone for whom Contractor is responsible, or to a Hazardous Environmental Condition created by Contractor or by anyone for whom Contractor is responsible. Nothing in this Paragraph 5.06.J shall obligate Contractor to indemnify any individual or entity from and against the consequences of that individual's or entity's own negligence.
- K. The provisions of Paragraphs 5.03, 5.04, and 5.05 do not apply to the presence of Constituents of Concern or to a Hazardous Environmental Condition uncovered or revealed at the Site.

ARTICLE 6 – BONDS AND INSURANCE

6.01 *Performance, Payment, and Other Bonds*

- A. Contractor shall furnish a performance bond and a payment bond, each in an amount at least equal to the Contract Price, as security for the faithful performance and payment of all of Contractor's obligations under the Contract. These bonds shall remain in effect until one year after the date when final payment becomes due or until completion of the correction period specified in Paragraph 15.08, whichever is later, except as provided otherwise by Laws or Regulations, the Supplementary Conditions, or other specific provisions of the Contract. Contractor shall also furnish such other bonds as are required by the Supplementary Conditions or other specific provisions of the Contract.
- B. All bonds shall be in the form prescribed by the Contract except as provided otherwise by Laws or Regulations, and shall be executed by such sureties as are named in "Companies Holding Certificates of Authority as Acceptable Sureties on Federal Bonds and as Acceptable Reinsuring Companies" as published in Circular 570 (as amended and supplemented) by the Financial Management Service, Surety Bond Branch, U.S. Department of the Treasury. A bond signed by an agent or attorney-in-fact must be accompanied by a certified copy of that individual's authority to bind the surety. The evidence of authority shall show that it is effective on the date the agent or attorney-in-fact signed the accompanying bond.
- C. Contractor shall obtain the required bonds from surety companies that are duly licensed or authorized in the jurisdiction in which the Project is located to issue bonds in the required amounts.
- D. If the surety on a bond furnished by Contractor is declared bankrupt or becomes insolvent, or its right to do business is terminated in any state or jurisdiction where any part of the Project is located, or the surety ceases to meet the requirements above, then Contractor shall promptly notify Owner and Engineer and shall, within 20 days after the event giving rise to such notification, provide another bond and surety, both of which shall comply with the bond and surety requirements above.
- E. If Contractor has failed to obtain a required bond, Owner may exclude the Contractor from the Site and exercise Owner's termination rights under Article 16.
- F. Upon request, Owner shall provide a copy of the payment bond to any Subcontractor, Supplier, or other person or entity claiming to have furnished labor or materials used in the performance of the Work.

6.02 *Insurance—General Provisions*

- A. Owner and Contractor shall obtain and maintain insurance as required in this Article and in the Supplementary Conditions.
- B. All insurance required by the Contract to be purchased and maintained by Owner or Contractor shall be obtained from insurance companies that are duly licensed or authorized, in the state or jurisdiction in which the Project is located, to issue insurance policies for the required limits and coverages. Unless a different standard is indicated in the Supplementary Conditions, all companies that provide insurance policies required under this Contract shall have an A.M. Best rating of A-VII or better.
- C. Contractor shall deliver to Owner, with copies to each named insured and additional insured (as identified in this Article, in the Supplementary Conditions, or elsewhere in the Contract), certificates of insurance establishing that Contractor has obtained and is

maintaining the policies, coverages, and endorsements required by the Contract. Upon request by Owner or any other insured, Contractor shall also furnish other evidence of such required insurance, including but not limited to copies of policies and endorsements, and documentation of applicable self-insured retentions and deductibles. Contractor may block out (redact) any confidential premium or pricing information contained in any policy or endorsement furnished under this provision.

- D. Owner shall deliver to Contractor, with copies to each named insured and additional insured (as identified in this Article, the Supplementary Conditions, or elsewhere in the Contract), certificates of insurance establishing that Owner has obtained and is maintaining the policies, coverages, and endorsements required of Owner by the Contract (if any). Upon request by Contractor or any other insured, Owner shall also provide other evidence of such required insurance (if any), including but not limited to copies of policies and endorsements, and documentation of applicable self-insured retentions and deductibles. Owner may block out (redact) any confidential premium or pricing information contained in any policy or endorsement furnished under this provision.
- E. Failure of Owner or Contractor to demand such certificates or other evidence of the other party's full compliance with these insurance requirements, or failure of Owner or Contractor to identify a deficiency in compliance from the evidence provided, shall not be construed as a waiver of the other party's obligation to obtain and maintain such insurance.
- F. If either party does not purchase or maintain all of the insurance required of such party by the Contract, such party shall notify the other party in writing of such failure to purchase prior to the start of the Work, or of such failure to maintain prior to any change in the required coverage.
- G. If Contractor has failed to obtain and maintain required insurance, Owner may exclude the Contractor from the Site, impose an appropriate set-off against payment, and exercise Owner's termination rights under Article 16.
- H. Without prejudice to any other right or remedy, if a party has failed to obtain required insurance, the other party may elect to obtain equivalent insurance to protect such other party's interests at the expense of the party who was required to provide such coverage, and the Contract Price shall be adjusted accordingly.
- I. Owner does not represent that insurance coverage and limits established in this Contract necessarily will be adequate to protect Contractor or Contractor's interests.
- J. The insurance and insurance limits required herein shall not be deemed as a limitation on Contractor's liability under the indemnities granted to Owner and other individuals and entities in the Contract.

6.03 *Contractor's Insurance*

- A. *Workers' Compensation:* Contractor shall purchase and maintain workers' compensation and employer's liability insurance for:
 - 1. claims under workers' compensation, disability benefits, and other similar employee benefit acts.
 - 2. United States Longshoreman and Harbor Workers' Compensation Act and Jones Act coverage (if applicable).
 - 3. claims for damages because of bodily injury, occupational sickness or disease, or death of Contractor's employees (by stop-gap endorsement in monopolist worker's compensation states).

4. Foreign voluntary worker compensation (if applicable).
- B. *Commercial General Liability—Claims Covered:* Contractor shall purchase and maintain commercial general liability insurance, covering all operations by or on behalf of Contractor, on an occurrence basis, against:
1. claims for damages because of bodily injury, sickness or disease, or death of any person other than Contractor's employees.
 2. claims for damages insured by reasonably available personal injury liability coverage.
 3. claims for damages, other than to the Work itself, because of injury to or destruction of tangible property wherever located, including loss of use resulting therefrom.
- C. *Commercial General Liability—Form and Content:* Contractor's commercial liability policy shall be written on a 1996 (or later) ISO commercial general liability form (occurrence form) and include the following coverages and endorsements:
1. Products and completed operations coverage:
 - a. Such insurance shall be maintained for three years after final payment.
 - b. Contractor shall furnish Owner and each other additional insured (as identified in the Supplementary Conditions or elsewhere in the Contract) evidence of continuation of such insurance at final payment and three years thereafter.
 2. Blanket contractual liability coverage, to the extent permitted by law, including but not limited to coverage of Contractor's contractual indemnity obligations in Paragraph 7.18.
 3. Broad form property damage coverage.
 4. Severability of interest.
 5. Underground, explosion, and collapse coverage.
 6. Personal injury coverage.
 7. Additional insured endorsements that include both ongoing operations and products and completed operations coverage through ISO Endorsements CG 20 10 10 01 and CG 20 37 10 01 (together); or CG 20 10 07 04 and CG 20 37 07 04 (together); or their equivalent.
 8. For design professional additional insureds, ISO Endorsement CG 20 32 07 04, "Additional Insured—Engineers, Architects or Surveyors Not Engaged by the Named Insured" or its equivalent.
- D. *Automobile liability:* Contractor shall purchase and maintain automobile liability insurance against claims for damages because of bodily injury or death of any person or property damage arising out of the ownership, maintenance, or use of any motor vehicle. The automobile liability policy shall be written on an occurrence basis.
- E. *Umbrella or excess liability:* Contractor shall purchase and maintain umbrella or excess liability insurance written over the underlying employer's liability, commercial general liability, and automobile liability insurance described in the paragraphs above. Subject to industry-standard exclusions, the coverage afforded shall follow form as to each and every one of the underlying policies.
- F. *Contractor's pollution liability insurance:* Contractor shall purchase and maintain a policy covering third-party injury and property damage claims, including clean-up costs, as a result

of pollution conditions arising from Contractor's operations and completed operations. This insurance shall be maintained for no less than three years after final completion.

- G. *Additional insureds*: The Contractor's commercial general liability, automobile liability, umbrella or excess, and pollution liability policies shall include and list as additional insureds Owner and Engineer, and any individuals or entities identified in the Supplementary Conditions; include coverage for the respective officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of all such additional insureds; and the insurance afforded to these additional insureds shall provide primary coverage for all claims covered thereby (including as applicable those arising from both ongoing and completed operations) on a non-contributory basis. Contractor shall obtain all necessary endorsements to support these requirements.
- H. *Contractor's professional liability insurance*: If Contractor will provide or furnish professional services under this Contract, through a delegation of professional design services or otherwise, then Contractor shall be responsible for purchasing and maintaining applicable professional liability insurance. This insurance shall provide protection against claims arising out of performance of professional design or related services, and caused by a negligent error, omission, or act for which the insured party is legally liable. It shall be maintained throughout the duration of the Contract and for a minimum of two years after Substantial Completion. If such professional design services are performed by a Subcontractor, and not by Contractor itself, then the requirements of this paragraph may be satisfied through the purchasing and maintenance of such insurance by such Subcontractor.
- I. *General provisions*: The policies of insurance required by this Paragraph 6.03 shall:
 - 1. include at least the specific coverages provided in this Article.
 - 2. be written for not less than the limits of liability provided in this Article and in the Supplementary Conditions, or required by Laws or Regulations, whichever is greater.
 - 3. contain a provision or endorsement that the coverage afforded will not be canceled, materially changed, or renewal refused until at least 10 days prior written notice has been given to Contractor. Within three days of receipt of any such written notice, Contractor shall provide a copy of the notice to Owner, Engineer, and each other insured under the policy.
 - 4. remain in effect at least until final payment (and longer if expressly required in this Article) and at all times thereafter when Contractor may be correcting, removing, or replacing defective Work as a warranty or correction obligation, or otherwise, or returning to the Site to conduct other tasks arising from the Contract Documents.
 - 5. be appropriate for the Work being performed and provide protection from claims that may arise out of or result from Contractor's performance of the Work and Contractor's other obligations under the Contract Documents, whether it is to be performed by Contractor, any Subcontractor or Supplier, or by anyone directly or indirectly employed by any of them to perform any of the Work, or by anyone for whose acts any of them may be liable.
- J. The coverage requirements for specific policies of insurance must be met by such policies, and not by reference to excess or umbrella insurance provided in other policies.

6.04 *Owner's Liability Insurance*

- A. In addition to the insurance required to be provided by Contractor under Paragraph 6.03, Owner, at Owner's option, may purchase and maintain at Owner's expense Owner's own liability insurance as will protect Owner against claims which may arise from operations under the Contract Documents.
- B. Owner's liability policies, if any, operate separately and independently from policies required to be provided by Contractor, and Contractor cannot rely upon Owner's liability policies for any of Contractor's obligations to the Owner, Engineer, or third parties.

6.05 *Property Insurance*

- A. *Builder's Risk*: Unless otherwise provided in the Supplementary Conditions, Contractor shall purchase and maintain builder's risk insurance upon the Work on a completed value basis, in the amount of the full insurable replacement cost thereof (subject to such deductible amounts as may be provided in the Supplementary Conditions or required by Laws and Regulations). This insurance shall:
 - 1. include the Owner and Contractor as named insureds, and all Subcontractors, and any individuals or entities required by the Supplementary Conditions to be insured under such builder's risk policy, as insureds or named insureds. For purposes of the remainder of this Paragraph 6.05, Paragraphs 6.06 and 6.07, and any corresponding Supplementary Conditions, the parties required to be insured shall collectively be referred to as "insureds."
 - 2. be written on a builder's risk "all risk" policy form that shall at least include insurance for physical loss or damage to the Work, temporary buildings, falsework, and materials and equipment in transit, and shall insure against at least the following perils or causes of loss: fire; lightning; windstorm; riot; civil commotion; terrorism; vehicle impact; aircraft; smoke; theft; vandalism and malicious mischief; mechanical breakdown, boiler explosion, and artificially generated electric current; earthquake; volcanic activity, and other earth movement; flood; collapse; explosion; debris removal; demolition occasioned by enforcement of Laws and Regulations; water damage (other than that caused by flood); and such other perils or causes of loss as may be specifically required by the Supplementary Conditions. If insurance against mechanical breakdown, boiler explosion, and artificially generated electric current; earthquake; volcanic activity, and other earth movement; or flood, are not commercially available under builder's risk policies, by endorsement or otherwise, such insurance may be provided through other insurance policies acceptable to Owner and Contractor.
 - 3. cover, as insured property, at least the following: (a) the Work and all materials, supplies, machinery, apparatus, equipment, fixtures, and other property of a similar nature that are to be incorporated into or used in the preparation, fabrication, construction, erection, or completion of the Work, including Owner-furnished or assigned property; (b) spare parts inventory required within the scope of the Contract; and (c) temporary works which are not intended to form part of the permanent constructed Work but which are intended to provide working access to the Site, or to the Work under construction, or which are intended to provide temporary support for the Work under construction, including scaffolding, form work, fences, shoring, falsework, and temporary structures.
 - 4. cover expenses incurred in the repair or replacement of any insured property (including but not limited to fees and charges of engineers and architects).

5. extend to cover damage or loss to insured property while in temporary storage at the Site or in a storage location outside the Site (but not including property stored at the premises of a manufacturer or Supplier).
 6. extend to cover damage or loss to insured property while in transit.
 7. allow for partial occupation or use of the Work by Owner, such that those portions of the Work that are not yet occupied or used by Owner shall remain covered by the builder's risk insurance.
 8. allow for the waiver of the insurer's subrogation rights, as set forth below.
 9. provide primary coverage for all losses and damages caused by the perils or causes of loss covered.
 10. not include a co-insurance clause.
 11. include an exception for ensuing losses from physical damage or loss with respect to any defective workmanship, design, or materials exclusions.
 12. include performance/hot testing and start-up.
 13. be maintained in effect, subject to the provisions herein regarding Substantial Completion and partial occupancy or use of the Work by Owner, until the Work is complete.
- B. *Notice of Cancellation or Change:* All the policies of insurance (and the certificates or other evidence thereof) required to be purchased and maintained in accordance with this Paragraph 6.05 will contain a provision or endorsement that the coverage afforded will not be canceled or materially changed or renewal refused until at least 10 days prior written notice has been given to the purchasing policyholder. Within three days of receipt of any such written notice, the purchasing policyholder shall provide a copy of the notice to each other insured.
- C. *Deductibles:* The purchaser of any required builder's risk or property insurance shall pay for costs not covered because of the application of a policy deductible.
- D. *Partial Occupancy or Use by Owner:* If Owner will occupy or use a portion or portions of the Work prior to Substantial Completion of all the Work as provided in Paragraph 15.04, then Owner (directly, if it is the purchaser of the builder's risk policy, or through Contractor) will provide notice of such occupancy or use to the builder's risk insurer. The builder's risk insurance shall not be canceled or permitted to lapse on account of any such partial use or occupancy; rather, those portions of the Work that are occupied or used by Owner may come off the builder's risk policy, while those portions of the Work not yet occupied or used by Owner shall remain covered by the builder's risk insurance.
- E. *Additional Insurance:* If Contractor elects to obtain other special insurance to be included in or supplement the builder's risk or property insurance policies provided under this Paragraph 6.05, it may do so at Contractor's expense.
- F. *Insurance of Other Property:* If the express insurance provisions of the Contract do not require or address the insurance of a property item or interest, such as tools, construction equipment, or other personal property owned by Contractor, a Subcontractor, or an employee of Contractor or a Subcontractor, then the entity or individual owning such property item will be responsible for deciding whether to insure it, and if so in what amount.

6.06 *Waiver of Rights*

- A. All policies purchased in accordance with Paragraph 6.05, expressly including the builder's risk policy, shall contain provisions to the effect that in the event of payment of any loss or damage the insurers will have no rights of recovery against any insureds thereunder, or against Engineer or its consultants, or their officers, directors, members, partners, employees, agents, consultants, or subcontractors. Owner and Contractor waive all rights against each other and the respective officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, for all losses and damages caused by, arising out of, or resulting from any of the perils or causes of loss covered by such policies and any other property insurance applicable to the Work; and, in addition, waive all such rights against Engineer, its consultants, all Subcontractors, all individuals or entities identified in the Supplementary Conditions as insureds, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, under such policies for losses and damages so caused. None of the above waivers shall extend to the rights that any party making such waiver may have to the proceeds of insurance held by Owner or Contractor as trustee or fiduciary, or otherwise payable under any policy so issued.
- B. Owner waives all rights against Contractor, Subcontractors, and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them, for:
 - 1. loss due to business interruption, loss of use, or other consequential loss extending beyond direct physical loss or damage to Owner's property or the Work caused by, arising out of, or resulting from fire or other perils whether or not insured by Owner; and
 - 2. loss or damage to the completed Project or part thereof caused by, arising out of, or resulting from fire or other insured peril or cause of loss covered by any property insurance maintained on the completed Project or part thereof by Owner during partial occupancy or use pursuant to Paragraph 15.04, after Substantial Completion pursuant to Paragraph 15.03, or after final payment pursuant to Paragraph 15.06.
- C. Any insurance policy maintained by Owner covering any loss, damage or consequential loss referred to in Paragraph 6.06.B shall contain provisions to the effect that in the event of payment of any such loss, damage, or consequential loss, the insurers will have no rights of recovery against Contractor, Subcontractors, or Engineer, or the officers, directors, members, partners, employees, agents, consultants, or subcontractors of each and any of them.
- D. Contractor shall be responsible for assuring that the agreement under which a Subcontractor performs a portion of the Work contains provisions whereby the Subcontractor waives all rights against Owner, Contractor, all individuals or entities identified in the Supplementary Conditions as insureds, the Engineer and its consultants, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, for all losses and damages caused by, arising out of, relating to, or resulting from any of the perils or causes of loss covered by builder's risk insurance and any other property insurance applicable to the Work.

6.07 *Receipt and Application of Property Insurance Proceeds*

- A. Any insured loss under the builder's risk and other policies of insurance required by Paragraph 6.05 will be adjusted and settled with the named insured that purchased the

policy. Such named insured shall act as fiduciary for the other insureds, and give notice to such other insureds that adjustment and settlement of a claim is in progress. Any other insured may state its position regarding a claim for insured loss in writing within 15 days after notice of such claim.

- B. Proceeds for such insured losses may be made payable by the insurer either jointly to multiple insureds, or to the named insured that purchased the policy in its own right and as fiduciary for other insureds, subject to the requirements of any applicable mortgage clause. A named insured receiving insurance proceeds under the builder's risk and other policies of insurance required by Paragraph 6.05 shall distribute such proceeds in accordance with such agreement as the parties in interest may reach, or as otherwise required under the dispute resolution provisions of this Contract or applicable Laws and Regulations.
- C. If no other special agreement is reached, the damaged Work shall be repaired or replaced, the money so received applied on account thereof, and the Work and the cost thereof covered by Change Order, if needed.

ARTICLE 7 – CONTRACTOR'S RESPONSIBILITIES

7.01 Supervision and Superintendence

- A. Contractor shall supervise, inspect, and direct the Work competently and efficiently, devoting such attention thereto and applying such skills and expertise as may be necessary to perform the Work in accordance with the Contract Documents. Contractor shall be solely responsible for the means, methods, techniques, sequences, and procedures of construction.
- B. At all times during the progress of the Work, Contractor shall assign a competent resident superintendent who shall not be replaced without written notice to Owner and Engineer except under extraordinary circumstances.

7.02 Labor; Working Hours

- A. Contractor shall provide competent, suitably qualified personnel to survey and lay out the Work and perform construction as required by the Contract Documents. Contractor shall at all times maintain good discipline and order at the Site.
- B. Except as otherwise required for the safety or protection of persons or the Work or property at the Site or adjacent thereto, and except as otherwise stated in the Contract Documents, all Work at the Site shall be performed during regular working hours, Monday through Friday. Contractor will not perform Work on a Saturday, Sunday, or any legal holiday. Contractor may perform Work outside regular working hours or on Saturdays, Sundays, or legal holidays only with Owner's written consent, which will not be unreasonably withheld.

7.03 Services, Materials, and Equipment

- A. Unless otherwise specified in the Contract Documents, Contractor shall provide and assume full responsibility for all services, materials, equipment, labor, transportation, construction equipment and machinery, tools, appliances, fuel, power, light, heat, telephone, water, sanitary facilities, temporary facilities, and all other facilities and incidentals necessary for the performance, testing, start up, and completion of the Work, whether or not such items are specifically called for in the Contract Documents.
- B. All materials and equipment incorporated into the Work shall be of good quality and new, except as otherwise provided in the Contract Documents. All special warranties and

guarantees required by the Specifications shall expressly run to the benefit of Owner. If required by Engineer, Contractor shall furnish satisfactory evidence (including reports of required tests) as to the source, kind, and quality of materials and equipment.

- C. All materials and equipment shall be stored, applied, installed, connected, erected, protected, used, cleaned, and conditioned in accordance with instructions of the applicable Supplier, except as otherwise may be provided in the Contract Documents.

7.04 "Or Equals"

- A. Whenever an item of material or equipment is specified or described in the Contract Documents by using the name of a proprietary item or the name of a particular Supplier, the Contract Price has been based upon Contractor furnishing such item as specified. The specification or description of such an item is intended to establish the type, function, appearance, and quality required. Unless the specification or description contains or is followed by words reading that no like, equivalent, or "or equal" item is permitted, Contractor may request that Engineer authorize the use of other items of material or equipment, or items from other proposed suppliers under the circumstances described below.
 - 1. If Engineer in its sole discretion determines that an item of material or equipment proposed by Contractor is functionally equal to that named and sufficiently similar so that no change in related Work will be required, Engineer shall deem it an "or equal" item. For the purposes of this paragraph, a proposed item of material or equipment will be considered functionally equal to an item so named if:
 - a. in the exercise of reasonable judgment Engineer determines that:
 - 1) it is at least equal in materials of construction, quality, durability, appearance, strength, and design characteristics;
 - 2) it will reliably perform at least equally well the function and achieve the results imposed by the design concept of the completed Project as a functioning whole;
 - 3) it has a proven record of performance and availability of responsive service; and
 - 4) it is not objectionable to Owner.
 - b. Contractor certifies that, if approved and incorporated into the Work:
 - 1) there will be no increase in cost to the Owner or increase in Contract Times; and
 - 2) it will conform substantially to the detailed requirements of the item named in the Contract Documents.
- B. *Contractor's Expense:* Contractor shall provide all data in support of any proposed "or equal" item at Contractor's expense.
- C. *Engineer's Evaluation and Determination:* Engineer will be allowed a reasonable time to evaluate each "or-equal" request. Engineer may require Contractor to furnish additional data about the proposed "or-equal" item. Engineer will be the sole judge of acceptability. No "or-equal" item will be ordered, furnished, installed, or utilized until Engineer's review is complete and Engineer determines that the proposed item is an "or-equal", which will be evidenced by an approved Shop Drawing or other written communication. Engineer will advise Contractor in writing of any negative determination.

- D. *Effect of Engineer's Determination:* Neither approval nor denial of an "or-equal" request shall result in any change in Contract Price. The Engineer's denial of an "or-equal" request shall be final and binding, and may not be reversed through an appeal under any provision of the Contract Documents.
- E. *Treatment as a Substitution Request:* If Engineer determines that an item of material or equipment proposed by Contractor does not qualify as an "or-equal" item, Contractor may request that Engineer considered the proposed item as a substitute pursuant to Paragraph 7.05.

7.05 *Substitutes*

- A. Unless the specification or description of an item of material or equipment required to be furnished under the Contract Documents contains or is followed by words reading that no substitution is permitted, Contractor may request that Engineer authorize the use of other items of material or equipment under the circumstances described below. To the extent possible such requests shall be made before commencement of related construction at the Site.
 - 1. Contractor shall submit sufficient information as provided below to allow Engineer to determine if the item of material or equipment proposed is functionally equivalent to that named and an acceptable substitute therefor. Engineer will not accept requests for review of proposed substitute items of material or equipment from anyone other than Contractor.
 - 2. The requirements for review by Engineer will be as set forth in Paragraph 7.05.B, as supplemented by the Specifications, and as Engineer may decide is appropriate under the circumstances.
 - 3. Contractor shall make written application to Engineer for review of a proposed substitute item of material or equipment that Contractor seeks to furnish or use. The application:
 - a. shall certify that the proposed substitute item will:
 - 1) perform adequately the functions and achieve the results called for by the general design,
 - 2) be similar in substance to that specified, and
 - 3) be suited to the same use as that specified.
 - b. will state:
 - 1) the extent, if any, to which the use of the proposed substitute item will necessitate a change in Contract Times,
 - 2) whether use of the proposed substitute item in the Work will require a change in any of the Contract Documents (or in the provisions of any other direct contract with Owner for other work on the Project) to adapt the design to the proposed substitute item, and
 - 3) whether incorporation or use of the proposed substitute item in connection with the Work is subject to payment of any license fee or royalty.
 - c. will identify:
 - 1) all variations of the proposed substitute item from that specified, and

- 2) available engineering, sales, maintenance, repair, and replacement services.
 - d. shall contain an itemized estimate of all costs or credits that will result directly or indirectly from use of such substitute item, including but not limited to changes in Contract Price, shared savings, costs of redesign, and claims of other contractors affected by any resulting change.
- B. *Engineer's Evaluation and Determination:* Engineer will be allowed a reasonable time to evaluate each substitute request, and to obtain comments and direction from Owner. Engineer may require Contractor to furnish additional data about the proposed substitute item. Engineer will be the sole judge of acceptability. No substitute will be ordered, furnished, installed, or utilized until Engineer's review is complete and Engineer determines that the proposed item is an acceptable substitute. Engineer's determination will be evidenced by a Field Order or a proposed Change Order accounting for the substitution itself and all related impacts, including changes in Contract Price or Contract Times. Engineer will advise Contractor in writing of any negative determination.
 - C. *Special Guarantee:* Owner may require Contractor to furnish at Contractor's expense a special performance guarantee or other surety with respect to any substitute.
 - D. *Reimbursement of Engineer's Cost:* Engineer will record Engineer's costs in evaluating a substitute proposed or submitted by Contractor. Whether or not Engineer approves a substitute so proposed or submitted by Contractor, Contractor shall reimburse Owner for the reasonable charges of Engineer for evaluating each such proposed substitute. Contractor shall also reimburse Owner for the reasonable charges of Engineer for making changes in the Contract Documents (or in the provisions of any other direct contract with Owner) resulting from the acceptance of each proposed substitute.
 - E. *Contractor's Expense:* Contractor shall provide all data in support of any proposed substitute at Contractor's expense.
 - F. *Effect of Engineer's Determination:* If Engineer approves the substitution request, Contractor shall execute the proposed Change Order and proceed with the substitution. The Engineer's denial of a substitution request shall be final and binding, and may not be reversed through an appeal under any provision of the Contract Documents. Contractor may challenge the scope of reimbursement costs imposed under Paragraph 7.05.D, by timely submittal of a Change Proposal.

7.06 *Concerning Subcontractors, Suppliers, and Others*

- A. Contractor may retain Subcontractors and Suppliers for the performance of parts of the Work. Such Subcontractors and Suppliers must be acceptable to Owner.
- B. Contractor shall retain specific Subcontractors, Suppliers, or other individuals or entities for the performance of designated parts of the Work if required by the Contract to do so.
- C. Subsequent to the submittal of Contractor's Bid or final negotiation of the terms of the Contract, Owner may not require Contractor to retain any Subcontractor, Supplier, or other individual or entity to furnish or perform any of the Work against which Contractor has reasonable objection.
- D. Prior to entry into any binding subcontract or purchase order, Contractor shall submit to Owner the identity of the proposed Subcontractor or Supplier (unless Owner has already deemed such proposed Subcontractor or Supplier acceptable, during the bidding process or otherwise). Such proposed Subcontractor or Supplier shall be deemed acceptable to Owner unless Owner raises a substantive, reasonable objection within five days.

- E. Owner may require the replacement of any Subcontractor, Supplier, or other individual or entity retained by Contractor to perform any part of the Work. Owner also may require Contractor to retain specific replacements; provided, however, that Owner may not require a replacement to which Contractor has a reasonable objection. If Contractor has submitted the identity of certain Subcontractors, Suppliers, or other individuals or entities for acceptance by Owner, and Owner has accepted it (either in writing or by failing to make written objection thereto), then Owner may subsequently revoke the acceptance of any such Subcontractor, Supplier, or other individual or entity so identified solely on the basis of substantive, reasonable objection after due investigation. Contractor shall submit an acceptable replacement for the rejected Subcontractor, Supplier, or other individual or entity.
- F. If Owner requires the replacement of any Subcontractor, Supplier, or other individual or entity retained by Contractor to perform any part of the Work, then Contractor shall be entitled to an adjustment in Contract Price or Contract Times, or both, with respect to the replacement; and Contractor shall initiate a Change Proposal for such adjustment within 30 days of Owner's requirement of replacement.
- G. No acceptance by Owner of any such Subcontractor, Supplier, or other individual or entity, whether initially or as a replacement, shall constitute a waiver of the right of Owner to the completion of the Work in accordance with the Contract Documents.
- H. On a monthly basis Contractor shall submit to Engineer a complete list of all Subcontractors and Suppliers having a direct contract with Contractor, and of all other Subcontractors and Suppliers known to Contractor at the time of submittal.
- I. Contractor shall be fully responsible to Owner and Engineer for all acts and omissions of the Subcontractors, Suppliers, and other individuals or entities performing or furnishing any of the Work just as Contractor is responsible for Contractor's own acts and omissions.
- J. Contractor shall be solely responsible for scheduling and coordinating the work of Subcontractors, Suppliers, and all other individuals or entities performing or furnishing any of the Work.
- K. Contractor shall restrict all Subcontractors, Suppliers, and such other individuals or entities performing or furnishing any of the Work from communicating with Engineer or Owner, except through Contractor or in case of an emergency, or as otherwise expressly allowed herein.
- L. The divisions and sections of the Specifications and the identifications of any Drawings shall not control Contractor in dividing the Work among Subcontractors or Suppliers or delineating the Work to be performed by any specific trade.
- M. All Work performed for Contractor by a Subcontractor or Supplier shall be pursuant to an appropriate contractual agreement that specifically binds the Subcontractor or Supplier to the applicable terms and conditions of the Contract Documents for the benefit of Owner and Engineer.
- N. Owner may furnish to any Subcontractor or Supplier, to the extent practicable, information about amounts paid to Contractor on account of Work performed for Contractor by the particular Subcontractor or Supplier.

O. Nothing in the Contract Documents:

1. shall create for the benefit of any such Subcontractor, Supplier, or other individual or entity any contractual relationship between Owner or Engineer and any such Subcontractor, Supplier, or other individual or entity; nor
2. shall create any obligation on the part of Owner or Engineer to pay or to see to the payment of any money due any such Subcontractor, Supplier, or other individual or entity except as may otherwise be required by Laws and Regulations.

7.07 *Patent Fees and Royalties*

- A. Contractor shall pay all license fees and royalties and assume all costs incident to the use in the performance of the Work or the incorporation in the Work of any invention, design, process, product, or device which is the subject of patent rights or copyrights held by others. If a particular invention, design, process, product, or device is specified in the Contract Documents for use in the performance of the Work and if, to the actual knowledge of Owner or Engineer, its use is subject to patent rights or copyrights calling for the payment of any license fee or royalty to others, the existence of such rights shall be disclosed by Owner in the Contract Documents.
- B. To the fullest extent permitted by Laws and Regulations, Owner shall indemnify and hold harmless Contractor, and its officers, directors, members, partners, employees, agents, consultants, and subcontractors from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals, and all court or arbitration or other dispute resolution costs) arising out of or relating to any infringement of patent rights or copyrights incident to the use in the performance of the Work or resulting from the incorporation in the Work of any invention, design, process, product, or device specified in the Contract Documents, but not identified as being subject to payment of any license fee or royalty to others required by patent rights or copyrights.
- C. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to any infringement of patent rights or copyrights incident to the use in the performance of the Work or resulting from the incorporation in the Work of any invention, design, process, product, or device not specified in the Contract Documents.

7.08 *Permits*

- A. Unless otherwise provided in the Contract Documents, Contractor shall obtain and pay for all construction permits and licenses. Owner shall assist Contractor, when necessary, in obtaining such permits and licenses. Contractor shall pay all governmental charges and inspection fees necessary for the prosecution of the Work which are applicable at the time of the submission of Contractor's Bid (or when Contractor became bound under a negotiated contract). Owner shall pay all charges of utility owners for connections for providing permanent service to the Work

7.09 *Taxes*

- A. Contractor shall pay all sales, consumer, use, and other similar taxes required to be paid by Contractor in accordance with the Laws and Regulations of the place of the Project which are applicable during the performance of the Work.

7.10 *Laws and Regulations*

- A. Contractor shall give all notices required by and shall comply with all Laws and Regulations applicable to the performance of the Work. Except where otherwise expressly required by applicable Laws and Regulations, neither Owner nor Engineer shall be responsible for monitoring Contractor's compliance with any Laws or Regulations.
- B. If Contractor performs any Work or takes any other action knowing or having reason to know that it is contrary to Laws or Regulations, Contractor shall bear all resulting costs and losses, and shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such Work or other action. It shall not be Contractor's responsibility to make certain that the Work described in the Contract Documents is in accordance with Laws and Regulations, but this shall not relieve Contractor of Contractor's obligations under Paragraph 3.03.
- C. Owner or Contractor may give notice to the other party of any changes after the submission of Contractor's Bid (or after the date when Contractor became bound under a negotiated contract) in Laws or Regulations having an effect on the cost or time of performance of the Work, including but not limited to changes in Laws or Regulations having an effect on procuring permits and on sales, use, value-added, consumption, and other similar taxes. If Owner and Contractor are unable to agree on entitlement to or on the amount or extent, if any, of any adjustment in Contract Price or Contract Times resulting from such changes, then within 30 days of such notice Contractor may submit a Change Proposal, or Owner may initiate a Claim.

7.11 *Record Documents*

- A. Contractor shall maintain in a safe place at the Site one printed record copy of all Drawings, Specifications, Addenda, Change Orders, Work Change Directives, Field Orders, written interpretations and clarifications, and approved Shop Drawings. Contractor shall keep such record documents in good order and annotate them to show changes made during construction. These record documents, together with all approved Samples, will be available to Engineer for reference. Upon completion of the Work, Contractor shall deliver these record documents to Engineer.

7.12 *Safety and Protection*

- A. Contractor shall be solely responsible for initiating, maintaining, and supervising all safety precautions and programs in connection with the Work. Such responsibility does not relieve Subcontractors of their responsibility for the safety of persons or property in the performance of their work, nor for compliance with applicable safety Laws and Regulations. Contractor shall take all necessary precautions for the safety of, and shall provide the necessary protection to prevent damage, injury, or loss to:
 - 1. all persons on the Site or who may be affected by the Work;

2. all the Work and materials and equipment to be incorporated therein, whether in storage on or off the Site; and
 3. other property at the Site or adjacent thereto, including trees, shrubs, lawns, walks, pavements, roadways, structures, other work in progress, utilities, and Underground Facilities not designated for removal, relocation, or replacement in the course of construction.
- B. Contractor shall comply with all applicable Laws and Regulations relating to the safety of persons or property, or to the protection of persons or property from damage, injury, or loss; and shall erect and maintain all necessary safeguards for such safety and protection. Contractor shall notify Owner; the owners of adjacent property, Underground Facilities, and other utilities; and other contractors and utility owners performing work at or adjacent to the Site, when prosecution of the Work may affect them, and shall cooperate with them in the protection, removal, relocation, and replacement of their property or work in progress.
 - C. Contractor shall comply with the applicable requirements of Owner's safety programs, if any. The Supplementary Conditions identify any Owner's safety programs that are applicable to the Work.
 - D. Contractor shall inform Owner and Engineer of the specific requirements of Contractor's safety program with which Owner's and Engineer's employees and representatives must comply while at the Site.
 - E. All damage, injury, or loss to any property referred to in Paragraph 7.12.A.2 or 7.12.A.3 caused, directly or indirectly, in whole or in part, by Contractor, any Subcontractor, Supplier, or any other individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable, shall be remedied by Contractor at its expense (except damage or loss attributable to the fault of Drawings or Specifications or to the acts or omissions of Owner or Engineer or anyone employed by any of them, or anyone for whose acts any of them may be liable, and not attributable, directly or indirectly, in whole or in part, to the fault or negligence of Contractor or any Subcontractor, Supplier, or other individual or entity directly or indirectly employed by any of them).
 - F. Contractor's duties and responsibilities for safety and protection shall continue until such time as all the Work is completed and Engineer has issued a notice to Owner and Contractor in accordance with Paragraph 15.06.B that the Work is acceptable (except as otherwise expressly provided in connection with Substantial Completion).
 - G. Contractor's duties and responsibilities for safety and protection shall resume whenever Contractor or any Subcontractor or Supplier returns to the Site to fulfill warranty or correction obligations, or to conduct other tasks arising from the Contract Documents.

7.13 *Safety Representative*

- A. Contractor shall designate a qualified and experienced safety representative at the Site whose duties and responsibilities shall be the prevention of accidents and the maintaining and supervising of safety precautions and programs.

7.14 *Hazard Communication Programs*

- A. Contractor shall be responsible for coordinating any exchange of material safety data sheets or other hazard communication information required to be made available to or

exchanged between or among employers at the Site in accordance with Laws or Regulations.

7.15 *Emergencies*

- A. In emergencies affecting the safety or protection of persons or the Work or property at the Site or adjacent thereto, Contractor is obligated to act to prevent threatened damage, injury, or loss. Contractor shall give Engineer prompt written notice if Contractor believes that any significant changes in the Work or variations from the Contract Documents have been caused thereby or are required as a result thereof. If Engineer determines that a change in the Contract Documents is required because of the action taken by Contractor in response to such an emergency, a Work Change Directive or Change Order will be issued.

7.16 *Shop Drawings, Samples, and Other Submittals*

A. *Shop Drawing and Sample Submittal Requirements:*

1. Before submitting a Shop Drawing or Sample, Contractor shall have:
 - a. reviewed and coordinated the Shop Drawing or Sample with other Shop Drawings and Samples and with the requirements of the Work and the Contract Documents;
 - b. determined and verified all field measurements, quantities, dimensions, specified performance and design criteria, installation requirements, materials, catalog numbers, and similar information with respect thereto;
 - c. determined and verified the suitability of all materials and equipment offered with respect to the indicated application, fabrication, shipping, handling, storage, assembly, and installation pertaining to the performance of the Work; and
 - d. determined and verified all information relative to Contractor's responsibilities for means, methods, techniques, sequences, and procedures of construction, and safety precautions and programs incident thereto.
2. Each submittal shall bear a stamp or specific written certification that Contractor has satisfied Contractor's obligations under the Contract Documents with respect to Contractor's review of that submittal, and that Contractor approves the submittal.
3. With each submittal, Contractor shall give Engineer specific written notice of any variations that the Shop Drawing or Sample may have from the requirements of the Contract Documents. This notice shall be set forth in a written communication separate from the Shop Drawings or Sample submittal; and, in addition, in the case of Shop Drawings by a specific notation made on each Shop Drawing submitted to Engineer for review and approval of each such variation.

- B. *Submittal Procedures for Shop Drawings and Samples:* Contractor shall submit Shop Drawings and Samples to Engineer for review and approval in accordance with the accepted Schedule of Submittals. Each submittal will be identified as Engineer may require.

1. *Shop Drawings:*

- a. Contractor shall submit the number of copies required in the Specifications.
- b. Data shown on the Shop Drawings will be complete with respect to quantities, dimensions, specified performance and design criteria, materials, and similar data to show Engineer the services, materials, and equipment Contractor proposes to

provide and to enable Engineer to review the information for the limited purposes required by Paragraph 7.16.D.

2. *Samples:*
 - a. Contractor shall submit the number of Samples required in the Specifications.
 - b. Contractor shall clearly identify each Sample as to material, Supplier, pertinent data such as catalog numbers, the use for which intended and other data as Engineer may require to enable Engineer to review the submittal for the limited purposes required by Paragraph 7.16.D.
3. Where a Shop Drawing or Sample is required by the Contract Documents or the Schedule of Submittals, any related Work performed prior to Engineer's review and approval of the pertinent submittal will be at the sole expense and responsibility of Contractor.
- C. *Other Submittals:* Contractor shall submit other submittals to Engineer in accordance with the accepted Schedule of Submittals, and pursuant to the applicable terms of the Specifications.
- D. *Engineer's Review:*
 1. Engineer will provide timely review of Shop Drawings and Samples in accordance with the Schedule of Submittals acceptable to Engineer. Engineer's review and approval will be only to determine if the items covered by the submittals will, after installation or incorporation in the Work, conform to the information given in the Contract Documents and be compatible with the design concept of the completed Project as a functioning whole as indicated by the Contract Documents.
 2. Engineer's review and approval will not extend to means, methods, techniques, sequences, or procedures of construction or to safety precautions or programs incident thereto.
 3. Engineer's review and approval of a separate item as such will not indicate approval of the assembly in which the item functions.
 4. Engineer's review and approval of a Shop Drawing or Sample shall not relieve Contractor from responsibility for any variation from the requirements of the Contract Documents unless Contractor has complied with the requirements of Paragraph 7.16.A.3 and Engineer has given written approval of each such variation by specific written notation thereof incorporated in or accompanying the Shop Drawing or Sample. Engineer will document any such approved variation from the requirements of the Contract Documents in a Field Order.
 5. Engineer's review and approval of a Shop Drawing or Sample shall not relieve Contractor from responsibility for complying with the requirements of Paragraph 7.16.A and B.
 6. Engineer's review and approval of a Shop Drawing or Sample, or of a variation from the requirements of the Contract Documents, shall not, under any circumstances, change the Contract Times or Contract Price, unless such changes are included in a Change Order.
 7. Neither Engineer's receipt, review, acceptance or approval of a Shop Drawing, Sample, or other submittal shall result in such item becoming a Contract Document.

8. Contractor shall perform the Work in compliance with the requirements and commitments set forth in approved Shop Drawings and Samples, subject to the provisions of Paragraph 7.16.D.4.

E. *Resubmittal Procedures:*

1. Contractor shall make corrections required by Engineer and shall return the required number of corrected copies of Shop Drawings and submit, as required, new Samples for review and approval. Contractor shall direct specific attention in writing to revisions other than the corrections called for by Engineer on previous submittals.
2. Contractor shall furnish required submittals with sufficient information and accuracy to obtain required approval of an item with no more than three submittals. Engineer will record Engineer's time for reviewing a fourth or subsequent submittal of a Shop Drawings, sample, or other item requiring approval, and Contractor shall be responsible for Engineer's charges to Owner for such time. Owner may impose a set-off against payments due to Contractor to secure reimbursement for such charges.
3. If Contractor requests a change of a previously approved submittal item, Contractor shall be responsible for Engineer's charges to Owner for its review time, and Owner may impose a set-off against payments due to Contractor to secure reimbursement for such charges, unless the need for such change is beyond the control of Contractor.

7.17 *Contractor's General Warranty and Guarantee*

- A. Contractor warrants and guarantees to Owner that all Work will be in accordance with the Contract Documents and will not be defective. Engineer and its officers, directors, members, partners, employees, agents, consultants, and subcontractors shall be entitled to rely on Contractor's warranty and guarantee.
- B. Contractor's warranty and guarantee hereunder excludes defects or damage caused by:
 1. abuse, modification, or improper maintenance or operation by persons other than Contractor, Subcontractors, Suppliers, or any other individual or entity for whom Contractor is responsible; or
 2. normal wear and tear under normal usage.
- C. Contractor's obligation to perform and complete the Work in accordance with the Contract Documents shall be absolute. None of the following will constitute an acceptance of Work that is not in accordance with the Contract Documents or a release of Contractor's obligation to perform the Work in accordance with the Contract Documents:
 1. observations by Engineer;
 2. recommendation by Engineer or payment by Owner of any progress or final payment;
 3. the issuance of a certificate of Substantial Completion by Engineer or any payment related thereto by Owner;
 4. use or occupancy of the Work or any part thereof by Owner;
 5. any review and approval of a Shop Drawing or Sample submittal;
 6. the issuance of a notice of acceptability by Engineer;
 7. any inspection, test, or approval by others; or
 8. any correction of defective Work by Owner.

- D. If the Contract requires the Contractor to accept the assignment of a contract entered into by Owner, then the specific warranties, guarantees, and correction obligations contained in the assigned contract shall govern with respect to Contractor's performance obligations to Owner for the Work described in the assigned contract.

7.18 *Indemnification*

- A. To the fullest extent permitted by Laws and Regulations, and in addition to any other obligations of Contractor under the Contract or otherwise, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to the performance of the Work, provided that any such claim, cost, loss, or damage is attributable to bodily injury, sickness, disease, or death, or to injury to or destruction of tangible property (other than the Work itself), including the loss of use resulting therefrom but only to the extent caused by any negligent act or omission of Contractor, any Subcontractor, any Supplier, or any individual or entity directly or indirectly employed by any of them to perform any of the Work or anyone for whose acts any of them may be liable.
- B. In any and all claims against Owner or Engineer or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors by any employee (or the survivor or personal representative of such employee) of Contractor, any Subcontractor, any Supplier, or any individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable, the indemnification obligation under Paragraph 7.18.A shall not be limited in any way by any limitation on the amount or type of damages, compensation, or benefits payable by or for Contractor or any such Subcontractor, Supplier, or other individual or entity under workers' compensation acts, disability benefit acts, or other employee benefit acts.
- C. The indemnification obligations of Contractor under Paragraph 7.18.A shall not extend to the liability of Engineer and Engineer's officers, directors, members, partners, employees, agents, consultants and subcontractors arising out of:
 - 1. the preparation or approval of, or the failure to prepare or approve maps, Drawings, opinions, reports, surveys, Change Orders, designs, or Specifications; or
 - 2. giving directions or instructions, or failing to give them, if that is the primary cause of the injury or damage.

7.19 *Delegation of Professional Design Services*

- A. Contractor will not be required to provide professional design services unless such services are specifically required by the Contract Documents for a portion of the Work or unless such services are required to carry out Contractor's responsibilities for construction means, methods, techniques, sequences and procedures. Contractor shall not be required to provide professional services in violation of applicable Laws and Regulations.
- B. If professional design services or certifications by a design professional related to systems, materials, or equipment are specifically required of Contractor by the Contract Documents, Owner and Engineer will specify all performance and design criteria that such services must satisfy. Contractor shall cause such services or certifications to be provided by a properly licensed professional, whose signature and seal shall appear on all drawings, calculations, specifications, certifications, and other submittals prepared by such professional. Shop

Drawings and other submittals related to the Work designed or certified by such professional, if prepared by others, shall bear such professional's written approval when submitted to Engineer.

- C. Owner and Engineer shall be entitled to rely upon the adequacy, accuracy, and completeness of the services, certifications, or approvals performed by such design professionals, provided Owner and Engineer have specified to Contractor all performance and design criteria that such services must satisfy.
- D. Pursuant to this paragraph, Engineer's review and approval of design calculations and design drawings will be only for the limited purpose of checking for conformance with performance and design criteria given and the design concept expressed in the Contract Documents. Engineer's review and approval of Shop Drawings and other submittals (except design calculations and design drawings) will be only for the purpose stated in Paragraph 7.16.D.1.
- E. Contractor shall not be responsible for the adequacy of the performance or design criteria specified by Owner or Engineer.

ARTICLE 8 – OTHER WORK AT THE SITE

8.01 *Other Work*

- A. In addition to and apart from the Work under the Contract Documents, the Owner may perform other work at or adjacent to the Site. Such other work may be performed by Owner's employees, or through contracts between the Owner and third parties. Owner may also arrange to have third-party utility owners perform work on their utilities and facilities at or adjacent to the Site.
- B. If Owner performs other work at or adjacent to the Site with Owner's employees, or through contracts for such other work, then Owner shall give Contractor written notice thereof prior to starting any such other work. If Owner has advance information regarding the start of any utility work at or adjacent to the Site, Owner shall provide such information to Contractor.
- C. Contractor shall afford each other contractor that performs such other work, each utility owner performing other work, and Owner, if Owner is performing other work with Owner's employees, proper and safe access to the Site, and provide a reasonable opportunity for the introduction and storage of materials and equipment and the execution of such other work. Contractor shall do all cutting, fitting, and patching of the Work that may be required to properly connect or otherwise make its several parts come together and properly integrate with such other work. Contractor shall not endanger any work of others by cutting, excavating, or otherwise altering such work; provided, however, that Contractor may cut or alter others' work with the written consent of Engineer and the others whose work will be affected.
- D. If the proper execution or results of any part of Contractor's Work depends upon work performed by others under this Article 8, Contractor shall inspect such other work and promptly report to Engineer in writing any delays, defects, or deficiencies in such other work that render it unavailable or unsuitable for the proper execution and results of Contractor's Work. Contractor's failure to so report will constitute an acceptance of such other work as fit and proper for integration with Contractor's Work except for latent defects and deficiencies in such other work.

8.02 *Coordination*

- A. If Owner intends to contract with others for the performance of other work at or adjacent to the Site, to perform other work at or adjacent to the Site with Owner's employees, or to arrange to have utility owners perform work at or adjacent to the Site, the following will be set forth in the Supplementary Conditions or provided to Contractor prior to the start of any such other work:
 - 1. the identity of the individual or entity that will have authority and responsibility for coordination of the activities among the various contractors;
 - 2. an itemization of the specific matters to be covered by such authority and responsibility; and
 - 3. the extent of such authority and responsibilities.
- B. Unless otherwise provided in the Supplementary Conditions, Owner shall have sole authority and responsibility for such coordination.

8.03 *Legal Relationships*

- A. If, in the course of performing other work at or adjacent to the Site for Owner, the Owner's employees, any other contractor working for Owner, or any utility owner for whom the Owner is responsible causes damage to the Work or to the property of Contractor or its Subcontractors, or delays, disrupts, interferes with, or increases the scope or cost of the performance of the Work, through actions or inaction, then Contractor shall be entitled to an equitable adjustment in the Contract Price or the Contract Times, or both. Contractor must submit any Change Proposal seeking an equitable adjustment in the Contract Price or the Contract Times under this paragraph within 30 days of the damaging, delaying, disrupting, or interfering event. The entitlement to, and extent of, any such equitable adjustment shall take into account information (if any) regarding such other work that was provided to Contractor in the Contract Documents prior to the submittal of the Bid or the final negotiation of the terms of the Contract. When applicable, any such equitable adjustment in Contract Price shall be conditioned on Contractor assigning to Owner all Contractor's rights against such other contractor or utility owner with respect to the damage, delay, disruption, or interference that is the subject of the adjustment. Contractor's entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor's ability to complete the Work within the Contract Times.
- B. Contractor shall take reasonable and customary measures to avoid damaging, delaying, disrupting, or interfering with the work of Owner, any other contractor, or any utility owner performing other work at or adjacent to the Site. If Contractor fails to take such measures and as a result damages, delays, disrupts, or interferes with the work of any such other contractor or utility owner, then Owner may impose a set-off against payments due to Contractor, and assign to such other contractor or utility owner the Owner's contractual rights against Contractor with respect to the breach of the obligations set forth in this paragraph.
- C. When Owner is performing other work at or adjacent to the Site with Owner's employees, Contractor shall be liable to Owner for damage to such other work, and for the reasonable direct delay, disruption, and interference costs incurred by Owner as a result of Contractor's failure to take reasonable and customary measures with respect to Owner's other work. In response to such damage, delay, disruption, or interference, Owner may impose a set-off against payments due to Contractor.

- D. If Contractor damages, delays, disrupts, or interferes with the work of any other contractor, or any utility owner performing other work at or adjacent to the Site, through Contractor's failure to take reasonable and customary measures to avoid such impacts, or if any claim arising out of Contractor's actions, inactions, or negligence in performance of the Work at or adjacent to the Site is made by any such other contractor or utility owner against Contractor, Owner, or Engineer, then Contractor shall (1) promptly attempt to settle the claim as to all parties through negotiations with such other contractor or utility owner, or otherwise resolve the claim by arbitration or other dispute resolution proceeding or at law, and (2) indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them from and against any such claims, and against all costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such damage, delay, disruption, or interference.

ARTICLE 9 – OWNER'S RESPONSIBILITIES

9.01 *Communications to Contractor*

- A. Except as otherwise provided in these General Conditions, Owner shall issue all communications to Contractor through Engineer.

9.02 *Replacement of Engineer*

- A. Owner may at its discretion appoint an engineer to replace Engineer, provided Contractor makes no reasonable objection to the replacement engineer. The replacement engineer's status under the Contract Documents shall be that of the former Engineer.

9.03 *Furnish Data*

- A. Owner shall promptly furnish the data required of Owner under the Contract Documents.

9.04 *Pay When Due*

- A. Owner shall make payments to Contractor when they are due as provided in the Agreement.

9.05 *Lands and Easements; Reports, Tests, and Drawings*

- A. Owner's duties with respect to providing lands and easements are set forth in Paragraph 5.01.
- B. Owner's duties with respect to providing engineering surveys to establish reference points are set forth in Paragraph 4.03.
- C. Article 5 refers to Owner's identifying and making available to Contractor copies of reports of explorations and tests of conditions at the Site, and drawings of physical conditions relating to existing surface or subsurface structures at the Site.

9.06 *Insurance*

- A. Owner's responsibilities, if any, with respect to purchasing and maintaining liability and property insurance are set forth in Article 6.

9.07 *Change Orders*

- A. Owner's responsibilities with respect to Change Orders are set forth in Article 11.

9.08 *Inspections, Tests, and Approvals*

- A. Owner's responsibility with respect to certain inspections, tests, and approvals is set forth in Paragraph 14.02.B.

9.09 *Limitations on Owner's Responsibilities*

- A. The Owner shall not supervise, direct, or have control or authority over, nor be responsible for, Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work. Owner will not be responsible for Contractor's failure to perform the Work in accordance with the Contract Documents.

9.10 *Undisclosed Hazardous Environmental Condition*

- A. Owner's responsibility in respect to an undisclosed Hazardous Environmental Condition is set forth in Paragraph 5.06.

9.11 *Evidence of Financial Arrangements*

- A. Upon request of Contractor, Owner shall furnish Contractor reasonable evidence that financial arrangements have been made to satisfy Owner's obligations under the Contract Documents (including obligations under proposed changes in the Work).

9.12 *Safety Programs*

- A. While at the Site, Owner's employees and representatives shall comply with the specific applicable requirements of Contractor's safety programs of which Owner has been informed.
- B. Owner shall furnish copies of any applicable Owner safety programs to Contractor.

ARTICLE 10 – ENGINEER'S STATUS DURING CONSTRUCTION

10.01 *Owner's Representative*

- A. Engineer will be Owner's representative during the construction period. The duties and responsibilities and the limitations of authority of Engineer as Owner's representative during construction are set forth in the Contract.

10.02 *Visits to Site*

- A. Engineer will make visits to the Site at intervals appropriate to the various stages of construction as Engineer deems necessary in order to observe as an experienced and qualified design professional the progress that has been made and the quality of the various aspects of Contractor's executed Work. Based on information obtained during such visits and observations, Engineer, for the benefit of Owner, will determine, in general, if the Work is proceeding in accordance with the Contract Documents. Engineer will not be required to make exhaustive or continuous inspections on the Site to check the quality or quantity of the Work. Engineer's efforts will be directed toward providing for Owner a greater degree of confidence that the completed Work will conform generally to the Contract Documents. On the basis of such visits and observations, Engineer will keep Owner informed of the progress of the Work and will endeavor to guard Owner against defective Work.
- B. Engineer's visits and observations are subject to all the limitations on Engineer's authority and responsibility set forth in Paragraph 10.08. Particularly, but without limitation, during

or as a result of Engineer's visits or observations of Contractor's Work, Engineer will not supervise, direct, control, or have authority over or be responsible for Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work.

10.03 *Project Representative*

- A. If Owner and Engineer have agreed that Engineer will furnish a Resident Project Representative to represent Engineer at the Site and assist Engineer in observing the progress and quality of the Work, then the authority and responsibilities of any such Resident Project Representative will be as provided in the Supplementary Conditions, and limitations on the responsibilities thereof will be as provided in Paragraph 10.08. If Owner designates another representative or agent to represent Owner at the Site who is not Engineer's consultant, agent, or employee, the responsibilities and authority and limitations thereon of such other individual or entity will be as provided in the Supplementary Conditions.

10.04 *Rejecting Defective Work*

- A. Engineer has the authority to reject Work in accordance with Article 14.

10.05 *Shop Drawings, Change Orders and Payments*

- A. Engineer's authority, and limitations thereof, as to Shop Drawings and Samples, are set forth in Paragraph 7.16.
- B. Engineer's authority, and limitations thereof, as to design calculations and design drawings submitted in response to a delegation of professional design services, if any, are set forth in Paragraph 7.19.
- C. Engineer's authority as to Change Orders is set forth in Article 11.
- D. Engineer's authority as to Applications for Payment is set forth in Article 15.

10.06 *Determinations for Unit Price Work*

- A. Engineer will determine the actual quantities and classifications of Unit Price Work performed by Contractor as set forth in Paragraph 13.03.

10.07 *Decisions on Requirements of Contract Documents and Acceptability of Work*

- A. Engineer will render decisions regarding the requirements of the Contract Documents, and judge the acceptability of the Work, pursuant to the specific procedures set forth herein for initial interpretations, Change Proposals, and acceptance of the Work. In rendering such decisions and judgments, Engineer will not show partiality to Owner or Contractor, and will not be liable to Owner, Contractor, or others in connection with any proceedings, interpretations, decisions, or judgments conducted or rendered in good faith.

10.08 *Limitations on Engineer's Authority and Responsibilities*

- A. Neither Engineer's authority or responsibility under this Article 10 or under any other provision of the Contract, nor any decision made by Engineer in good faith either to exercise or not exercise such authority or responsibility or the undertaking, exercise, or performance of any authority or responsibility by Engineer, shall create, impose, or give rise to any duty in contract, tort, or otherwise owed by Engineer to Contractor, any Subcontractor, any Supplier, any other individual or entity, or to any surety for or employee or agent of any of them.

- B. Engineer will not supervise, direct, control, or have authority over or be responsible for Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work. Engineer will not be responsible for Contractor's failure to perform the Work in accordance with the Contract Documents.
- C. Engineer will not be responsible for the acts or omissions of Contractor or of any Subcontractor, any Supplier, or of any other individual or entity performing any of the Work.
- D. Engineer's review of the final Application for Payment and accompanying documentation and all maintenance and operating instructions, schedules, guarantees, bonds, certificates of inspection, tests and approvals, and other documentation required to be delivered by Paragraph 15.06.A will only be to determine generally that their content complies with the requirements of, and in the case of certificates of inspections, tests, and approvals, that the results certified indicate compliance with the Contract Documents.
- E. The limitations upon authority and responsibility set forth in this Paragraph 10.08 shall also apply to the Resident Project Representative, if any.

10.09 *Compliance with Safety Program*

- A. While at the Site, Engineer's employees and representatives will comply with the specific applicable requirements of Owner's and Contractor's safety programs (if any) of which Engineer has been informed.

ARTICLE 11 – AMENDING THE CONTRACT DOCUMENTS; CHANGES IN THE WORK

11.01 *Amending and Supplementing Contract Documents*

- A. The Contract Documents may be amended or supplemented by a Change Order, a Work Change Directive, or a Field Order.
 - 1. *Change Orders:*
 - a. If an amendment or supplement to the Contract Documents includes a change in the Contract Price or the Contract Times, such amendment or supplement must be set forth in a Change Order. A Change Order also may be used to establish amendments and supplements of the Contract Documents that do not affect the Contract Price or Contract Times.
 - b. Owner and Contractor may amend those terms and conditions of the Contract Documents that do not involve (1) the performance or acceptability of the Work, (2) the design (as set forth in the Drawings, Specifications, or otherwise), or (3) other engineering or technical matters, without the recommendation of the Engineer. Such an amendment shall be set forth in a Change Order.
 - 2. *Work Change Directives:* A Work Change Directive will not change the Contract Price or the Contract Times but is evidence that the parties expect that the modification ordered or documented by a Work Change Directive will be incorporated in a subsequently issued Change Order, following negotiations by the parties as to the Work Change Directive's effect, if any, on the Contract Price and Contract Times; or, if negotiations are unsuccessful, by a determination under the terms of the Contract Documents governing adjustments, expressly including Paragraph 11.04 regarding change of Contract Price. Contractor must submit any Change Proposal seeking an

adjustment of the Contract Price or the Contract Times, or both, no later than 30 days after the completion of the Work set out in the Work Change Directive. Owner must submit any Claim seeking an adjustment of the Contract Price or the Contract Times, or both, no later than 60 days after issuance of the Work Change Directive.

3. *Field Orders*: Engineer may authorize minor changes in the Work if the changes do not involve an adjustment in the Contract Price or the Contract Times and are compatible with the design concept of the completed Project as a functioning whole as indicated by the Contract Documents. Such changes will be accomplished by a Field Order and will be binding on Owner and also on Contractor, which shall perform the Work involved promptly. If Contractor believes that a Field Order justifies an adjustment in the Contract Price or Contract Times, or both, then before proceeding with the Work at issue, Contractor shall submit a Change Proposal as provided herein.

11.02 *Owner-Authorized Changes in the Work*

- A. Without invalidating the Contract and without notice to any surety, Owner may, at any time or from time to time, order additions, deletions, or revisions in the Work. Such changes shall be supported by Engineer's recommendation, to the extent the change involves the design (as set forth in the Drawings, Specifications, or otherwise), or other engineering or technical matters. Such changes may be accomplished by a Change Order, if Owner and Contractor have agreed as to the effect, if any, of the changes on Contract Times or Contract Price; or by a Work Change Directive. Upon receipt of any such document, Contractor shall promptly proceed with the Work involved; or, in the case of a deletion in the Work, promptly cease construction activities with respect to such deleted Work. Added or revised Work shall be performed under the applicable conditions of the Contract Documents. Nothing in this paragraph shall obligate Contractor to undertake work that Contractor reasonably concludes cannot be performed in a manner consistent with Contractor's safety obligations under the Contract Documents or Laws and Regulations.

11.03 *Unauthorized Changes in the Work*

- A. Contractor shall not be entitled to an increase in the Contract Price or an extension of the Contract Times with respect to any work performed that is not required by the Contract Documents, as amended, modified, or supplemented, except in the case of an emergency as provided in Paragraph 7.15 or in the case of uncovering Work as provided in Paragraph 14.05.

11.04 *Change of Contract Price*

- A. The Contract Price may only be changed by a Change Order. Any Change Proposal for an adjustment in the Contract Price shall comply with the provisions of Paragraph 11.06. Any Claim for an adjustment of Contract Price shall comply with the provisions of Article 12.
- B. An adjustment in the Contract Price will be determined as follows:
 1. where the Work involved is covered by unit prices contained in the Contract Documents, then by application of such unit prices to the quantities of the items involved (subject to the provisions of Paragraph 13.03); or
 2. where the Work involved is not covered by unit prices contained in the Contract Documents, then by a mutually agreed lump sum (which may include an allowance for overhead and profit not necessarily in accordance with Paragraph 11.04.C.2); or
 3. where the Work involved is not covered by unit prices contained in the Contract Documents and the parties do not reach mutual agreement to a lump sum, then on

the basis of the Cost of the Work (determined as provided in Paragraph 13.01) plus a Contractor's fee for overhead and profit (determined as provided in Paragraph 11.04.C).

- C. *Contractor's Fee*: When applicable, the Contractor's fee for overhead and profit shall be determined as follows:
1. a mutually acceptable fixed fee; or
 2. if a fixed fee is not agreed upon, then a fee based on the following percentages of the various portions of the Cost of the Work:
 - a. for costs incurred under Paragraphs 13.01.B.1 and 13.01.B.2, the Contractor's fee shall be 15 percent;
 - b. for costs incurred under Paragraph 13.01.B.3, the Contractor's fee shall be five percent;
 - c. where one or more tiers of subcontracts are on the basis of Cost of the Work plus a fee and no fixed fee is agreed upon, the intent of Paragraphs 11.04.C.2.a and 11.04.C.2.b is that the Contractor's fee shall be based on: (1) a fee of 15 percent of the costs incurred under Paragraphs 13.01.A.1 and 13.01.A.2 by the Subcontractor that actually performs the Work, at whatever tier, and (2) with respect to Contractor itself and to any Subcontractors of a tier higher than that of the Subcontractor that actually performs the Work, a fee of five percent of the amount (fee plus underlying costs incurred) attributable to the next lower tier Subcontractor; provided, however, that for any such subcontracted work the maximum total fee to be paid by Owner shall be no greater than 27 percent of the costs incurred by the Subcontractor that actually performs the work;
 - d. no fee shall be payable on the basis of costs itemized under Paragraphs 13.01.B.4, 13.01.B.5, and 13.01.C;
 - e. the amount of credit to be allowed by Contractor to Owner for any change which results in a net decrease in cost will be the amount of the actual net decrease in cost plus a deduction in Contractor's fee by an amount equal to five percent of such net decrease; and
 - f. when both additions and credits are involved in any one change, the adjustment in Contractor's fee shall be computed on the basis of the net change in accordance with Paragraphs 11.04.C.2.a through 11.04.C.2.e, inclusive.

11.05 *Change of Contract Times*

- A. The Contract Times may only be changed by a Change Order. Any Change Proposal for an adjustment in the Contract Times shall comply with the provisions of Paragraph 11.06. Any Claim for an adjustment in the Contract Times shall comply with the provisions of Article 12.
- B. An adjustment of the Contract Times shall be subject to the limitations set forth in Paragraph 4.05, concerning delays in Contractor's progress.

11.06 *Change Proposals*

- A. Contractor shall submit a Change Proposal to Engineer to request an adjustment in the Contract Times or Contract Price; appeal an initial decision by Engineer concerning the requirements of the Contract Documents or relating to the acceptability of the Work under the Contract Documents; contest a set-off against payment due; or seek other relief under

the Contract. The Change Proposal shall specify any proposed change in Contract Times or Contract Price, or both, or other proposed relief, and explain the reason for the proposed change, with citations to any governing or applicable provisions of the Contract Documents.

1. *Procedures:* Contractor shall submit each Change Proposal to Engineer promptly (but in no event later than 30 days) after the start of the event giving rise thereto, or after such initial decision. The Contractor shall submit supporting data, including the proposed change in Contract Price or Contract Time (if any), to the Engineer and Owner within 15 days after the submittal of the Change Proposal. The supporting data shall be accompanied by a written statement that the supporting data are accurate and complete, and that any requested time or price adjustment is the entire adjustment to which Contractor believes it is entitled as a result of said event. Engineer will advise Owner regarding the Change Proposal, and consider any comments or response from Owner regarding the Change Proposal.
 2. *Engineer's Action:* Engineer will review each Change Proposal and, within 30 days after receipt of the Contractor's supporting data, either deny the Change Proposal in whole, approve it in whole, or deny it in part and approve it in part. Such actions shall be in writing, with a copy provided to Owner and Contractor. If Engineer does not take action on the Change Proposal within 30 days, then either Owner or Contractor may at any time thereafter submit a letter to the other party indicating that as a result of Engineer's inaction the Change Proposal is deemed denied, thereby commencing the time for appeal of the denial under Article 12.
 3. *Binding Decision:* Engineer's decision will be final and binding upon Owner and Contractor, unless Owner or Contractor appeals the decision by filing a Claim under Article 12.
- B. *Resolution of Certain Change Proposals:* If the Change Proposal does not involve the design (as set forth in the Drawings, Specifications, or otherwise), the acceptability of the Work, or other engineering or technical matters, then Engineer will notify the parties that the Engineer is unable to resolve the Change Proposal. For purposes of further resolution of such a Change Proposal, such notice shall be deemed a denial, and Contractor may choose to seek resolution under the terms of Article 12.

11.07 Execution of Change Orders

- A. Owner and Contractor shall execute appropriate Change Orders covering:
1. changes in the Contract Price or Contract Times which are agreed to by the parties, including any undisputed sum or amount of time for Work actually performed in accordance with a Work Change Directive;
 2. changes in Contract Price resulting from an Owner set-off, unless Contractor has duly contested such set-off;
 3. changes in the Work which are: (a) ordered by Owner pursuant to Paragraph 11.02, (b) required because of Owner's acceptance of defective Work under Paragraph 14.04 or Owner's correction of defective Work under Paragraph 14.07, or (c) agreed to by the parties, subject to the need for Engineer's recommendation if the change in the Work involves the design (as set forth in the Drawings, Specifications, or otherwise), or other engineering or technical matters; and
 4. changes in the Contract Price or Contract Times, or other changes, which embody the substance of any final and binding results under Paragraph 11.06, or Article 12.

- B. If Owner or Contractor refuses to execute a Change Order that is required to be executed under the terms of this Paragraph 11.07, it shall be deemed to be of full force and effect, as if fully executed.

11.08 *Notification to Surety*

- A. If the provisions of any bond require notice to be given to a surety of any change affecting the general scope of the Work or the provisions of the Contract Documents (including, but not limited to, Contract Price or Contract Times), the giving of any such notice will be Contractor's responsibility. The amount of each applicable bond will be adjusted to reflect the effect of any such change.

ARTICLE 12 – CLAIMS

12.01 *Claims*

- A. *Claims Process:* The following disputes between Owner and Contractor shall be submitted to the Claims process set forth in this Article:
 - 1. Appeals by Owner or Contractor of Engineer's decisions regarding Change Proposals;
 - 2. Owner demands for adjustments in the Contract Price or Contract Times, or other relief under the Contract Documents; and
 - 3. Disputes that Engineer has been unable to address because they do not involve the design (as set forth in the Drawings, Specifications, or otherwise), the acceptability of the Work, or other engineering or technical matters.
- B. *Submittal of Claim:* The party submitting a Claim shall deliver it directly to the other party to the Contract promptly (but in no event later than 30 days) after the start of the event giving rise thereto; in the case of appeals regarding Change Proposals within 30 days of the decision under appeal. The party submitting the Claim shall also furnish a copy to the Engineer, for its information only. The responsibility to substantiate a Claim shall rest with the party making the Claim. In the case of a Claim by Contractor seeking an increase in the Contract Times or Contract Price, or both, Contractor shall certify that the Claim is made in good faith, that the supporting data are accurate and complete, and that to the best of Contractor's knowledge and belief the amount of time or money requested accurately reflects the full amount to which Contractor is entitled.
- C. *Review and Resolution:* The party receiving a Claim shall review it thoroughly, giving full consideration to its merits. The two parties shall seek to resolve the Claim through the exchange of information and direct negotiations. The parties may extend the time for resolving the Claim by mutual agreement. All actions taken on a Claim shall be stated in writing and submitted to the other party, with a copy to Engineer.
- D. *Mediation:*
 - 1. At any time after initiation of a Claim, Owner and Contractor may mutually agree to mediation of the underlying dispute. The agreement to mediate shall stay the Claim submittal and response process.
 - 2. If Owner and Contractor agree to mediation, then after 60 days from such agreement, either Owner or Contractor may unilaterally terminate the mediation process, and the Claim submittal and decision process shall resume as of the date of the termination. If the mediation proceeds but is unsuccessful in resolving the dispute, the Claim

submittal and decision process shall resume as of the date of the conclusion of the mediation, as determined by the mediator.

3. Owner and Contractor shall each pay one-half of the mediator's fees and costs.
- E. *Partial Approval*: If the party receiving a Claim approves the Claim in part and denies it in part, such action shall be final and binding unless within 30 days of such action the other party invokes the procedure set forth in Article 17 for final resolution of disputes.
- F. *Denial of Claim*: If efforts to resolve a Claim are not successful, the party receiving the Claim may deny it by giving written notice of denial to the other party. If the receiving party does not take action on the Claim within 90 days, then either Owner or Contractor may at any time thereafter submit a letter to the other party indicating that as a result of the inaction, the Claim is deemed denied, thereby commencing the time for appeal of the denial. A denial of the Claim shall be final and binding unless within 30 days of the denial the other party invokes the procedure set forth in Article 17 for the final resolution of disputes.
- G. *Final and Binding Results*: If the parties reach a mutual agreement regarding a Claim, whether through approval of the Claim, direct negotiations, mediation, or otherwise; or if a Claim is approved in part and denied in part, or denied in full, and such actions become final and binding; then the results of the agreement or action on the Claim shall be incorporated in a Change Order to the extent they affect the Contract, including the Work, the Contract Times, or the Contract Price.

ARTICLE 13 – COST OF THE WORK; ALLOWANCES; UNIT PRICE WORK

13.01 *Cost of the Work*

- A. *Purposes for Determination of Cost of the Work*: The term Cost of the Work means the sum of all costs necessary for the proper performance of the Work at issue, as further defined below. The provisions of this Paragraph 13.01 are used for two distinct purposes:
 1. To determine Cost of the Work when Cost of the Work is a component of the Contract Price, under cost-plus-fee, time-and-materials, or other cost-based terms; or
 2. To determine the value of a Change Order, Change Proposal, Claim, set-off, or other adjustment in Contract Price. When the value of any such adjustment is determined on the basis of Cost of the Work, Contractor is entitled only to those additional or incremental costs required because of the change in the Work or because of the event giving rise to the adjustment.
- B. *Costs Included*: Except as otherwise may be agreed to in writing by Owner, costs included in the Cost of the Work shall be in amounts no higher than those prevailing in the locality of the Project, shall not include any of the costs itemized in Paragraph 13.01.C, and shall include only the following items:
 1. Payroll costs for employees in the direct employ of Contractor in the performance of the Work under schedules of job classifications agreed upon by Owner and Contractor. Such employees shall include, without limitation, superintendents, foremen, and other personnel employed full time on the Work. Payroll costs for employees not employed full time on the Work shall be apportioned on the basis of their time spent on the Work. Payroll costs shall include, but not be limited to, salaries and wages plus the cost of fringe benefits, which shall include social security contributions, unemployment, excise, and payroll taxes, workers' compensation, health and retirement benefits, bonuses, sick leave, and vacation and holiday pay applicable

thereto. The expenses of performing Work outside of regular working hours, on Saturday, Sunday, or legal holidays, shall be included in the above to the extent authorized by Owner.

2. Cost of all materials and equipment furnished and incorporated in the Work, including costs of transportation and storage thereof, and Suppliers' field services required in connection therewith. All cash discounts shall accrue to Contractor unless Owner deposits funds with Contractor with which to make payments, in which case the cash discounts shall accrue to Owner. All trade discounts, rebates, and refunds and returns from sale of surplus materials and equipment shall accrue to Owner, and Contractor shall make provisions so that they may be obtained.
3. Payments made by Contractor to Subcontractors for Work performed by Subcontractors. If required by Owner, Contractor shall obtain competitive bids from subcontractors acceptable to Owner and Contractor and shall deliver such bids to Owner, who will then determine, with the advice of Engineer, which bids, if any, will be acceptable. If any subcontract provides that the Subcontractor is to be paid on the basis of Cost of the Work plus a fee, the Subcontractor's Cost of the Work and fee shall be determined in the same manner as Contractor's Cost of the Work and fee as provided in this Paragraph 13.01.
4. Costs of special consultants (including but not limited to engineers, architects, testing laboratories, surveyors, attorneys, and accountants) employed for services specifically related to the Work.
5. Supplemental costs including the following:
 - a. The proportion of necessary transportation, travel, and subsistence expenses of Contractor's employees incurred in discharge of duties connected with the Work.
 - b. Cost, including transportation and maintenance, of all materials, supplies, equipment, machinery, appliances, office, and temporary facilities at the Site, and hand tools not owned by the workers, which are consumed in the performance of the Work, and cost, less market value, of such items used but not consumed which remain the property of Contractor.
 - c. Rentals of all construction equipment and machinery, and the parts thereof, whether rented from Contractor or others in accordance with rental agreements approved by Owner with the advice of Engineer, and the costs of transportation, loading, unloading, assembly, dismantling, and removal thereof. All such costs shall be in accordance with the terms of said rental agreements. The rental of any such equipment, machinery, or parts shall cease when the use thereof is no longer necessary for the Work.
 - d. Sales, consumer, use, and other similar taxes related to the Work, and for which Contractor is liable, as imposed by Laws and Regulations.
 - e. Deposits lost for causes other than negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, and royalty payments and fees for permits and licenses.
 - f. Losses and damages (and related expenses) caused by damage to the Work, not compensated by insurance or otherwise, sustained by Contractor in connection with the performance of the Work (except losses and damages within the deductible amounts of property insurance established in accordance with Paragraph 6.05), provided such losses and damages have resulted from causes

other than the negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable. Such losses shall include settlements made with the written consent and approval of Owner. No such losses, damages, and expenses shall be included in the Cost of the Work for the purpose of determining Contractor's fee.

- g. The cost of utilities, fuel, and sanitary facilities at the Site.
- h. Minor expenses such as communication service at the Site, express and courier services, and similar petty cash items in connection with the Work.
- i. The costs of premiums for all bonds and insurance that Contractor is required by the Contract Documents to purchase and maintain.

C. *Costs Excluded:* The term Cost of the Work shall not include any of the following items:

- 1. Payroll costs and other compensation of Contractor's officers, executives, principals (of partnerships and sole proprietorships), general managers, safety managers, engineers, architects, estimators, attorneys, auditors, accountants, purchasing and contracting agents, expeditors, timekeepers, clerks, and other personnel employed by Contractor, whether at the Site or in Contractor's principal or branch office for general administration of the Work and not specifically included in the agreed upon schedule of job classifications referred to in Paragraph 13.01.B.1 or specifically covered by Paragraph 13.01.B.4. The payroll costs and other compensation excluded here are to be considered administrative costs covered by the Contractor's fee.
- 2. Expenses of Contractor's principal and branch offices other than Contractor's office at the Site.
- 3. Any part of Contractor's capital expenses, including interest on Contractor's capital employed for the Work and charges against Contractor for delinquent payments.
- 4. Costs due to the negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, including but not limited to, the correction of defective Work, disposal of materials or equipment wrongly supplied, and making good any damage to property.
- 5. Other overhead or general expense costs of any kind and the costs of any item not specifically and expressly included in Paragraph 13.01.B.

D. *Contractor's Fee:* When the Work as a whole is performed on the basis of cost-plus, Contractor's fee shall be determined as set forth in the Agreement. When the value of any Work covered by a Change Order, Change Proposal, Claim, set-off, or other adjustment in Contract Price is determined on the basis of Cost of the Work, Contractor's fee shall be determined as set forth in Paragraph 11.04.C.

E. *Documentation:* Whenever the Cost of the Work for any purpose is to be determined pursuant to this Article 13, Contractor will establish and maintain records thereof in accordance with generally accepted accounting practices and submit in a form acceptable to Engineer an itemized cost breakdown together with supporting data.

13.02 Allowances

- A. It is understood that Contractor has included in the Contract Price all allowances so named in the Contract Documents and shall cause the Work so covered to be performed for such sums and by such persons or entities as may be acceptable to Owner and Engineer.

- B. *Cash Allowances*: Contractor agrees that:
 - 1. the cash allowances include the cost to Contractor (less any applicable trade discounts) of materials and equipment required by the allowances to be delivered at the Site, and all applicable taxes; and
 - 2. Contractor's costs for unloading and handling on the Site, labor, installation, overhead, profit, and other expenses contemplated for the cash allowances have been included in the Contract Price and not in the allowances, and no demand for additional payment on account of any of the foregoing will be valid.
- C. *Contingency Allowance*: Contractor agrees that a contingency allowance, if any, is for the sole use of Owner to cover unanticipated costs.
- D. Prior to final payment, an appropriate Change Order will be issued as recommended by Engineer to reflect actual amounts due Contractor on account of Work covered by allowances, and the Contract Price shall be correspondingly adjusted.

13.03 *Unit Price Work*

- A. Where the Contract Documents provide that all or part of the Work is to be Unit Price Work, initially the Contract Price will be deemed to include for all Unit Price Work an amount equal to the sum of the unit price for each separately identified item of Unit Price Work times the estimated quantity of each item as indicated in the Agreement.
- B. The estimated quantities of items of Unit Price Work are not guaranteed and are solely for the purpose of comparison of Bids and determining an initial Contract Price. Payments to Contractor for Unit Price Work will be based on actual quantities.
- C. Each unit price will be deemed to include an amount considered by Contractor to be adequate to cover Contractor's overhead and profit for each separately identified item.
- D. Engineer will determine the actual quantities and classifications of Unit Price Work performed by Contractor. Engineer will review with Contractor the Engineer's preliminary determinations on such matters before rendering a written decision thereon (by recommendation of an Application for Payment or otherwise). Engineer's written decision thereon will be final and binding (except as modified by Engineer to reflect changed factual conditions or more accurate data) upon Owner and Contractor, subject to the provisions of the following paragraph.
- E. Within 30 days of Engineer's written decision under the preceding paragraph, Contractor may submit a Change Proposal, or Owner may file a Claim, seeking an adjustment in the Contract Price if:
 - 1. the quantity of any item of Unit Price Work performed by Contractor differs materially and significantly from the estimated quantity of such item indicated in the Agreement;
 - 2. there is no corresponding adjustment with respect to any other item of Work; and
 - 3. Contractor believes that it is entitled to an increase in Contract Price as a result of having incurred additional expense or Owner believes that Owner is entitled to a decrease in Contract Price, and the parties are unable to agree as to the amount of any such increase or decrease.

ARTICLE 14 – TESTS AND INSPECTIONS; CORRECTION, REMOVAL OR ACCEPTANCE OF DEFECTIVE WORK

14.01 *Access to Work*

- A. Owner, Engineer, their consultants and other representatives and personnel of Owner, independent testing laboratories, and authorities having jurisdiction will have access to the Site and the Work at reasonable times for their observation, inspection, and testing. Contractor shall provide them proper and safe conditions for such access and advise them of Contractor's safety procedures and programs so that they may comply therewith as applicable.

14.02 *Tests, Inspections, and Approvals*

- A. Contractor shall give Engineer timely notice of readiness of the Work (or specific parts thereof) for all required inspections and tests, and shall cooperate with inspection and testing personnel to facilitate required inspections and tests.
- B. Owner shall retain and pay for the services of an independent inspector, testing laboratory, or other qualified individual or entity to perform all inspections and tests expressly required by the Contract Documents to be furnished and paid for by Owner, except that costs incurred in connection with tests or inspections of covered Work shall be governed by the provisions of Paragraph 14.05.
- C. If Laws or Regulations of any public body having jurisdiction require any Work (or part thereof) specifically to be inspected, tested, or approved by an employee or other representative of such public body, Contractor shall assume full responsibility for arranging and obtaining such inspections, tests, or approvals, pay all costs in connection therewith, and furnish Engineer the required certificates of inspection or approval.
- D. Contractor shall be responsible for arranging, obtaining, and paying for all inspections and tests required:
 - 1. by the Contract Documents, unless the Contract Documents expressly allocate responsibility for a specific inspection or test to Owner;
 - 2. to attain Owner's and Engineer's acceptance of materials or equipment to be incorporated in the Work;
 - 3. by manufacturers of equipment furnished under the Contract Documents;
 - 4. for testing, adjusting, and balancing of mechanical, electrical, and other equipment to be incorporated into the Work; and
 - 5. for acceptance of materials, mix designs, or equipment submitted for approval prior to Contractor's purchase thereof for incorporation in the Work.

Such inspections and tests shall be performed by independent inspectors, testing laboratories, or other qualified individuals or entities acceptable to Owner and Engineer.

- E. If the Contract Documents require the Work (or part thereof) to be approved by Owner, Engineer, or another designated individual or entity, then Contractor shall assume full responsibility for arranging and obtaining such approvals.
- F. If any Work (or the work of others) that is to be inspected, tested, or approved is covered by Contractor without written concurrence of Engineer, Contractor shall, if requested by Engineer, uncover such Work for observation. Such uncovering shall be at Contractor's expense unless Contractor had given Engineer timely notice of Contractor's intention to

cover the same and Engineer had not acted with reasonable promptness in response to such notice.

14.03 *Defective Work*

- A. *Contractor's Obligation:* It is Contractor's obligation to assure that the Work is not defective.
- B. *Engineer's Authority:* Engineer has the authority to determine whether Work is defective, and to reject defective Work.
- C. *Notice of Defects:* Prompt notice of all defective Work of which Owner or Engineer has actual knowledge will be given to Contractor.
- D. *Correction, or Removal and Replacement:* Promptly after receipt of written notice of defective Work, Contractor shall correct all such defective Work, whether or not fabricated, installed, or completed, or, if Engineer has rejected the defective Work, remove it from the Project and replace it with Work that is not defective.
- E. *Preservation of Warranties:* When correcting defective Work, Contractor shall take no action that would void or otherwise impair Owner's special warranty and guarantee, if any, on said Work.
- F. *Costs and Damages:* In addition to its correction, removal, and replacement obligations with respect to defective Work, Contractor shall pay all claims, costs, losses, and damages arising out of or relating to defective Work, including but not limited to the cost of the inspection, testing, correction, removal, replacement, or reconstruction of such defective Work, fines levied against Owner by governmental authorities because the Work is defective, and the costs of repair or replacement of work of others resulting from defective Work. Prior to final payment, if Owner and Contractor are unable to agree as to the measure of such claims, costs, losses, and damages resulting from defective Work, then Owner may impose a reasonable set-off against payments due under Article 15.

14.04 *Acceptance of Defective Work*

- A. If, instead of requiring correction or removal and replacement of defective Work, Owner prefers to accept it, Owner may do so (subject, if such acceptance occurs prior to final payment, to Engineer's confirmation that such acceptance is in general accord with the design intent and applicable engineering principles, and will not endanger public safety). Contractor shall pay all claims, costs, losses, and damages attributable to Owner's evaluation of and determination to accept such defective Work (such costs to be approved by Engineer as to reasonableness), and for the diminished value of the Work to the extent not otherwise paid by Contractor. If any such acceptance occurs prior to final payment, the necessary revisions in the Contract Documents with respect to the Work shall be incorporated in a Change Order. If the parties are unable to agree as to the decrease in the Contract Price, reflecting the diminished value of Work so accepted, then Owner may impose a reasonable set-off against payments due under Article 15. If the acceptance of defective Work occurs after final payment, Contractor shall pay an appropriate amount to Owner.

14.05 *Uncovering Work*

- A. Engineer has the authority to require additional inspection or testing of the Work, whether or not the Work is fabricated, installed, or completed.

- B. If any Work is covered contrary to the written request of Engineer, then Contractor shall, if requested by Engineer, uncover such Work for Engineer's observation, and then replace the covering, all at Contractor's expense.
- C. If Engineer considers it necessary or advisable that covered Work be observed by Engineer or inspected or tested by others, then Contractor, at Engineer's request, shall uncover, expose, or otherwise make available for observation, inspection, or testing as Engineer may require, that portion of the Work in question, and provide all necessary labor, material, and equipment.
 - 1. If it is found that the uncovered Work is defective, Contractor shall be responsible for all claims, costs, losses, and damages arising out of or relating to such uncovering, exposure, observation, inspection, and testing, and of satisfactory replacement or reconstruction (including but not limited to all costs of repair or replacement of work of others); and pending Contractor's full discharge of this responsibility the Owner shall be entitled to impose a reasonable set-off against payments due under Article 15.
 - 2. If the uncovered Work is not found to be defective, Contractor shall be allowed an increase in the Contract Price or an extension of the Contract Times, or both, directly attributable to such uncovering, exposure, observation, inspection, testing, replacement, and reconstruction. If the parties are unable to agree as to the amount or extent thereof, then Contractor may submit a Change Proposal within 30 days of the determination that the Work is not defective.

14.06 *Owner May Stop the Work*

- A. If the Work is defective, or Contractor fails to supply sufficient skilled workers or suitable materials or equipment, or fails to perform the Work in such a way that the completed Work will conform to the Contract Documents, then Owner may order Contractor to stop the Work, or any portion thereof, until the cause for such order has been eliminated; however, this right of Owner to stop the Work shall not give rise to any duty on the part of Owner to exercise this right for the benefit of Contractor, any Subcontractor, any Supplier, any other individual or entity, or any surety for, or employee or agent of any of them.

14.07 *Owner May Correct Defective Work*

- A. If Contractor fails within a reasonable time after written notice from Engineer to correct defective Work, or to remove and replace rejected Work as required by Engineer, or if Contractor fails to perform the Work in accordance with the Contract Documents, or if Contractor fails to comply with any other provision of the Contract Documents, then Owner may, after seven days written notice to Contractor, correct or remedy any such deficiency.
- B. In exercising the rights and remedies under this Paragraph 14.07, Owner shall proceed expeditiously. In connection with such corrective or remedial action, Owner may exclude Contractor from all or part of the Site, take possession of all or part of the Work and suspend Contractor's services related thereto, and incorporate in the Work all materials and equipment stored at the Site or for which Owner has paid Contractor but which are stored elsewhere. Contractor shall allow Owner, Owner's representatives, agents and employees, Owner's other contractors, and Engineer and Engineer's consultants access to the Site to enable Owner to exercise the rights and remedies under this paragraph.
- C. All claims, costs, losses, and damages incurred or sustained by Owner in exercising the rights and remedies under this Paragraph 14.07 will be charged against Contractor as set-offs against payments due under Article 15. Such claims, costs, losses and damages will

include but not be limited to all costs of repair, or replacement of work of others destroyed or damaged by correction, removal, or replacement of Contractor's defective Work.

- D. Contractor shall not be allowed an extension of the Contract Times because of any delay in the performance of the Work attributable to the exercise by Owner of Owner's rights and remedies under this Paragraph 14.07.

ARTICLE 15 – PAYMENTS TO CONTRACTOR; SET-OFFS; COMPLETION; CORRECTION PERIOD

15.01 Progress Payments

- A. *Basis for Progress Payments:* The Schedule of Values established as provided in Article 2 will serve as the basis for progress payments and will be incorporated into a form of Application for Payment acceptable to Engineer. Progress payments on account of Unit Price Work will be based on the number of units completed during the pay period, as determined under the provisions of Paragraph 13.03. Progress payments for cost-based Work will be based on Cost of the Work completed by Contractor during the pay period.
- B. *Applications for Payments:*
1. At least 20 days before the date established in the Agreement for each progress payment (but not more often than once a month), Contractor shall submit to Engineer for review an Application for Payment filled out and signed by Contractor covering the Work completed as of the date of the Application and accompanied by such supporting documentation as is required by the Contract Documents. If payment is requested on the basis of materials and equipment not incorporated in the Work but delivered and suitably stored at the Site or at another location agreed to in writing, the Application for Payment shall also be accompanied by a bill of sale, invoice, or other documentation warranting that Owner has received the materials and equipment free and clear of all Liens, and evidence that the materials and equipment are covered by appropriate property insurance, a warehouse bond, or other arrangements to protect Owner's interest therein, all of which must be satisfactory to Owner.
 2. Beginning with the second Application for Payment, each Application shall include an affidavit of Contractor stating that all previous progress payments received on account of the Work have been applied on account to discharge Contractor's legitimate obligations associated with prior Applications for Payment.
 3. The amount of retainage with respect to progress payments will be as stipulated in the Agreement.
- C. *Review of Applications:*
1. Engineer will, within 10 days after receipt of each Application for Payment, including each resubmittal, either indicate in writing a recommendation of payment and present the Application to Owner, or return the Application to Contractor indicating in writing Engineer's reasons for refusing to recommend payment. In the latter case, Contractor may make the necessary corrections and resubmit the Application.
 2. Engineer's recommendation of any payment requested in an Application for Payment will constitute a representation by Engineer to Owner, based on Engineer's observations of the executed Work as an experienced and qualified design professional, and on Engineer's review of the Application for Payment and the accompanying data and schedules, that to the best of Engineer's knowledge, information and belief:

- a. the Work has progressed to the point indicated;
 - b. the quality of the Work is generally in accordance with the Contract Documents (subject to an evaluation of the Work as a functioning whole prior to or upon Substantial Completion, the results of any subsequent tests called for in the Contract Documents, a final determination of quantities and classifications for Unit Price Work under Paragraph 13.03, and any other qualifications stated in the recommendation); and
 - c. the conditions precedent to Contractor's being entitled to such payment appear to have been fulfilled in so far as it is Engineer's responsibility to observe the Work.
3. By recommending any such payment Engineer will not thereby be deemed to have represented that:
 - a. inspections made to check the quality or the quantity of the Work as it has been performed have been exhaustive, extended to every aspect of the Work in progress, or involved detailed inspections of the Work beyond the responsibilities specifically assigned to Engineer in the Contract; or
 - b. there may not be other matters or issues between the parties that might entitle Contractor to be paid additionally by Owner or entitle Owner to withhold payment to Contractor.
 4. Neither Engineer's review of Contractor's Work for the purposes of recommending payments nor Engineer's recommendation of any payment, including final payment, will impose responsibility on Engineer:
 - a. to supervise, direct, or control the Work, or
 - b. for the means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or
 - c. for Contractor's failure to comply with Laws and Regulations applicable to Contractor's performance of the Work, or
 - d. to make any examination to ascertain how or for what purposes Contractor has used the money paid on account of the Contract Price, or
 - e. to determine that title to any of the Work, materials, or equipment has passed to Owner free and clear of any Liens.
 5. Engineer may refuse to recommend the whole or any part of any payment if, in Engineer's opinion, it would be incorrect to make the representations to Owner stated in Paragraph 15.01.C.2.
 6. Engineer will recommend reductions in payment (set-offs) necessary in Engineer's opinion to protect Owner from loss because:
 - a. the Work is defective, requiring correction or replacement;
 - b. the Contract Price has been reduced by Change Orders;
 - c. Owner has been required to correct defective Work in accordance with Paragraph 14.07, or has accepted defective Work pursuant to Paragraph 14.04;
 - d. Owner has been required to remove or remediate a Hazardous Environmental Condition for which Contractor is responsible; or

- e. Engineer has actual knowledge of the occurrence of any of the events that would constitute a default by Contractor and therefore justify termination for cause under the Contract Documents.

D. *Payment Becomes Due:*

- 1. Ten days after presentation of the Application for Payment to Owner with Engineer's recommendation, the amount recommended (subject to any Owner set-offs) will become due, and when due will be paid by Owner to Contractor.

E. *Reductions in Payment by Owner:*

- 1. In addition to any reductions in payment (set-offs) recommended by Engineer, Owner is entitled to impose a set-off against payment based on any of the following:
 - a. claims have been made against Owner on account of Contractor's conduct in the performance or furnishing of the Work, or Owner has incurred costs, losses, or damages on account of Contractor's conduct in the performance or furnishing of the Work, including but not limited to claims, costs, losses, or damages from workplace injuries, adjacent property damage, non-compliance with Laws and Regulations, and patent infringement;
 - b. Contractor has failed to take reasonable and customary measures to avoid damage, delay, disruption, and interference with other work at or adjacent to the Site;
 - c. Contractor has failed to provide and maintain required bonds or insurance;
 - d. Owner has been required to remove or remediate a Hazardous Environmental Condition for which Contractor is responsible;
 - e. Owner has incurred extra charges or engineering costs related to submittal reviews, evaluations of proposed substitutes, tests and inspections, or return visits to manufacturing or assembly facilities;
 - f. the Work is defective, requiring correction or replacement;
 - g. Owner has been required to correct defective Work in accordance with Paragraph 14.07, or has accepted defective Work pursuant to Paragraph 14.04;
 - h. the Contract Price has been reduced by Change Orders;
 - i. an event that would constitute a default by Contractor and therefore justify a termination for cause has occurred;
 - j. liquidated damages have accrued as a result of Contractor's failure to achieve Milestones, Substantial Completion, or final completion of the Work;
 - k. Liens have been filed in connection with the Work, except where Contractor has delivered a specific bond satisfactory to Owner to secure the satisfaction and discharge of such Liens;
 - l. there are other items entitling Owner to a set off against the amount recommended.
- 2. If Owner imposes any set-off against payment, whether based on its own knowledge or on the written recommendations of Engineer, Owner will give Contractor immediate written notice (with a copy to Engineer) stating the reasons for such action and the specific amount of the reduction, and promptly pay Contractor any amount

remaining after deduction of the amount so withheld. Owner shall promptly pay Contractor the amount so withheld, or any adjustment thereto agreed to by Owner and Contractor, if Contractor remedies the reasons for such action. The reduction imposed shall be binding on Contractor unless it duly submits a Change Proposal contesting the reduction.

3. Upon a subsequent determination that Owner's refusal of payment was not justified, the amount wrongfully withheld shall be treated as an amount due as determined by Paragraph 15.01.C.1 and subject to interest as provided in the Agreement.

15.02 *Contractor's Warranty of Title*

- A. Contractor warrants and guarantees that title to all Work, materials, and equipment furnished under the Contract will pass to Owner free and clear of (1) all Liens and other title defects, and (2) all patent, licensing, copyright, or royalty obligations, no later than seven days after the time of payment by Owner.

15.03 *Substantial Completion*

- A. When Contractor considers the entire Work ready for its intended use Contractor shall notify Owner and Engineer in writing that the entire Work is substantially complete and request that Engineer issue a certificate of Substantial Completion. Contractor shall at the same time submit to Owner and Engineer an initial draft of punch list items to be completed or corrected before final payment.
- B. Promptly after Contractor's notification, Owner, Contractor, and Engineer shall make an inspection of the Work to determine the status of completion. If Engineer does not consider the Work substantially complete, Engineer will notify Contractor in writing giving the reasons therefor.
- C. If Engineer considers the Work substantially complete, Engineer will deliver to Owner a preliminary certificate of Substantial Completion which shall fix the date of Substantial Completion. Engineer shall attach to the certificate a punch list of items to be completed or corrected before final payment. Owner shall have seven days after receipt of the preliminary certificate during which to make written objection to Engineer as to any provisions of the certificate or attached punch list. If, after considering the objections to the provisions of the preliminary certificate, Engineer concludes that the Work is not substantially complete, Engineer will, within 14 days after submission of the preliminary certificate to Owner, notify Contractor in writing that the Work is not substantially complete, stating the reasons therefor. If Owner does not object to the provisions of the certificate, or if despite consideration of Owner's objections Engineer concludes that the Work is substantially complete, then Engineer will, within said 14 days, execute and deliver to Owner and Contractor a final certificate of Substantial Completion (with a revised punch list of items to be completed or corrected) reflecting such changes from the preliminary certificate as Engineer believes justified after consideration of any objections from Owner.
- D. At the time of receipt of the preliminary certificate of Substantial Completion, Owner and Contractor will confer regarding Owner's use or occupancy of the Work following Substantial Completion, review the builder's risk insurance policy with respect to the end of the builder's risk coverage, and confirm the transition to coverage of the Work under a permanent property insurance policy held by Owner. Unless Owner and Contractor agree otherwise in writing, Owner shall bear responsibility for security, operation, protection of the Work, property insurance, maintenance, heat, and utilities upon Owner's use or occupancy of the Work.

- E. After Substantial Completion the Contractor shall promptly begin work on the punch list of items to be completed or corrected prior to final payment. In appropriate cases Contractor may submit monthly Applications for Payment for completed punch list items, following the progress payment procedures set forth above.
- F. Owner shall have the right to exclude Contractor from the Site after the date of Substantial Completion subject to allowing Contractor reasonable access to remove its property and complete or correct items on the punch list.

15.04 *Partial Use or Occupancy*

- A. Prior to Substantial Completion of all the Work, Owner may use or occupy any substantially completed part of the Work which has specifically been identified in the Contract Documents, or which Owner, Engineer, and Contractor agree constitutes a separately functioning and usable part of the Work that can be used by Owner for its intended purpose without significant interference with Contractor's performance of the remainder of the Work, subject to the following conditions:
 - 1. At any time Owner may request in writing that Contractor permit Owner to use or occupy any such part of the Work that Owner believes to be substantially complete. If and when Contractor agrees that such part of the Work is substantially complete, Contractor, Owner, and Engineer will follow the procedures of Paragraph 15.03.A through E for that part of the Work.
 - 2. At any time Contractor may notify Owner and Engineer in writing that Contractor considers any such part of the Work substantially complete and request Engineer to issue a certificate of Substantial Completion for that part of the Work.
 - 3. Within a reasonable time after either such request, Owner, Contractor, and Engineer shall make an inspection of that part of the Work to determine its status of completion. If Engineer does not consider that part of the Work to be substantially complete, Engineer will notify Owner and Contractor in writing giving the reasons therefor. If Engineer considers that part of the Work to be substantially complete, the provisions of Paragraph 15.03 will apply with respect to certification of Substantial Completion of that part of the Work and the division of responsibility in respect thereof and access thereto.
 - 4. No use or occupancy or separate operation of part of the Work may occur prior to compliance with the requirements of Paragraph 6.05 regarding builder's risk or other property insurance.

15.05 *Final Inspection*

- A. Upon written notice from Contractor that the entire Work or an agreed portion thereof is complete, Engineer will promptly make a final inspection with Owner and Contractor and will notify Contractor in writing of all particulars in which this inspection reveals that the Work, or agreed portion thereof, is incomplete or defective. Contractor shall immediately take such measures as are necessary to complete such Work or remedy such deficiencies.

15.06 *Final Payment*

- A. *Application for Payment:*
 - 1. After Contractor has, in the opinion of Engineer, satisfactorily completed all corrections identified during the final inspection and has delivered, in accordance with the Contract Documents, all maintenance and operating instructions, schedules, guarantees, bonds, certificates or other evidence of insurance, certificates of

inspection, annotated record documents (as provided in Paragraph 7.11), and other documents, Contractor may make application for final payment.

2. The final Application for Payment shall be accompanied (except as previously delivered) by:
 - a. all documentation called for in the Contract Documents;
 - b. consent of the surety, if any, to final payment;
 - c. satisfactory evidence that all title issues have been resolved such that title to all Work, materials, and equipment has passed to Owner free and clear of any Liens or other title defects, or will so pass upon final payment.
 - d. a list of all disputes that Contractor believes are unsettled; and
 - e. complete and legally effective releases or waivers (satisfactory to Owner) of all Lien rights arising out of the Work, and of Liens filed in connection with the Work.
3. In lieu of the releases or waivers of Liens specified in Paragraph 15.06.A.2 and as approved by Owner, Contractor may furnish receipts or releases in full and an affidavit of Contractor that: (a) the releases and receipts include all labor, services, material, and equipment for which a Lien could be filed; and (b) all payrolls, material and equipment bills, and other indebtedness connected with the Work for which Owner might in any way be responsible, or which might in any way result in liens or other burdens on Owner's property, have been paid or otherwise satisfied. If any Subcontractor or Supplier fails to furnish such a release or receipt in full, Contractor may furnish a bond or other collateral satisfactory to Owner to indemnify Owner against any Lien, or Owner at its option may issue joint checks payable to Contractor and specified Subcontractors and Suppliers.

B. *Engineer's Review of Application and Acceptance:*

1. If, on the basis of Engineer's observation of the Work during construction and final inspection, and Engineer's review of the final Application for Payment and accompanying documentation as required by the Contract Documents, Engineer is satisfied that the Work has been completed and Contractor's other obligations under the Contract have been fulfilled, Engineer will, within ten days after receipt of the final Application for Payment, indicate in writing Engineer's recommendation of final payment and present the Application for Payment to Owner for payment. Such recommendation shall account for any set-offs against payment that are necessary in Engineer's opinion to protect Owner from loss for the reasons stated above with respect to progress payments. At the same time Engineer will also give written notice to Owner and Contractor that the Work is acceptable, subject to the provisions of Paragraph 15.07. Otherwise, Engineer will return the Application for Payment to Contractor, indicating in writing the reasons for refusing to recommend final payment, in which case Contractor shall make the necessary corrections and resubmit the Application for Payment.

C. *Completion of Work:* The Work is complete (subject to surviving obligations) when it is ready for final payment as established by the Engineer's written recommendation of final payment.

D. *Payment Becomes Due:* Thirty days after the presentation to Owner of the final Application for Payment and accompanying documentation, the amount recommended by Engineer (less any further sum Owner is entitled to set off against Engineer's recommendation,

including but not limited to set-offs for liquidated damages and set-offs allowed under the provisions above with respect to progress payments) will become due and shall be paid by Owner to Contractor.

15.07 *Waiver of Claims*

- A. The making of final payment will not constitute a waiver by Owner of claims or rights against Contractor. Owner expressly reserves claims and rights arising from unsettled Liens, from defective Work appearing after final inspection pursuant to Paragraph 15.05, from Contractor's failure to comply with the Contract Documents or the terms of any special guarantees specified therein, from outstanding Claims by Owner, or from Contractor's continuing obligations under the Contract Documents.
- B. The acceptance of final payment by Contractor will constitute a waiver by Contractor of all claims and rights against Owner other than those pending matters that have been duly submitted or appealed under the provisions of Article 17.

15.08 *Correction Period*

- A. If within one year after the date of Substantial Completion (or such longer period of time as may be prescribed by the terms of any applicable special guarantee required by the Contract Documents, or by any specific provision of the Contract Documents), any Work is found to be defective, or if the repair of any damages to the Site, adjacent areas that Contractor has arranged to use through construction easements or otherwise, and other adjacent areas used by Contractor as permitted by Laws and Regulations, is found to be defective, then Contractor shall promptly, without cost to Owner and in accordance with Owner's written instructions:
 - 1. correct the defective repairs to the Site or such other adjacent areas;
 - 2. correct such defective Work;
 - 3. if the defective Work has been rejected by Owner, remove it from the Project and replace it with Work that is not defective, and
 - 4. satisfactorily correct or repair or remove and replace any damage to other Work, to the work of others, or to other land or areas resulting therefrom.
- B. If Contractor does not promptly comply with the terms of Owner's written instructions, or in an emergency where delay would cause serious risk of loss or damage, Owner may have the defective Work corrected or repaired or may have the rejected Work removed and replaced. Contractor shall pay all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such correction or repair or such removal and replacement (including but not limited to all costs of repair or replacement of work of others).
- C. In special circumstances where a particular item of equipment is placed in continuous service before Substantial Completion of all the Work, the correction period for that item may start to run from an earlier date if so provided in the Specifications.
- D. Where defective Work (and damage to other Work resulting therefrom) has been corrected or removed and replaced under this paragraph, the correction period hereunder with respect to such Work will be extended for an additional period of one year after such correction or removal and replacement has been satisfactorily completed.

- E. Contractor's obligations under this paragraph are in addition to all other obligations and warranties. The provisions of this paragraph shall not be construed as a substitute for, or a waiver of, the provisions of any applicable statute of limitation or repose.

ARTICLE 16 – SUSPENSION OF WORK AND TERMINATION

16.01 *Owner May Suspend Work*

- A. At any time and without cause, Owner may suspend the Work or any portion thereof for a period of not more than 90 consecutive days by written notice to Contractor and Engineer. Such notice will fix the date on which Work will be resumed. Contractor shall resume the Work on the date so fixed. Contractor shall be entitled to an adjustment in the Contract Price or an extension of the Contract Times, or both, directly attributable to any such suspension. Any Change Proposal seeking such adjustments shall be submitted no later than 30 days after the date fixed for resumption of Work.

16.02 *Owner May Terminate for Cause*

- A. The occurrence of any one or more of the following events will constitute a default by Contractor and justify termination for cause:
 - 1. Contractor's persistent failure to perform the Work in accordance with the Contract Documents (including, but not limited to, failure to supply sufficient skilled workers or suitable materials or equipment or failure to adhere to the Progress Schedule);
 - 2. Failure of Contractor to perform or otherwise to comply with a material term of the Contract Documents;
 - 3. Contractor's disregard of Laws or Regulations of any public body having jurisdiction; or
 - 4. Contractor's repeated disregard of the authority of Owner or Engineer.
- B. If one or more of the events identified in Paragraph 16.02.A occurs, then after giving Contractor (and any surety) ten days written notice that Owner is considering a declaration that Contractor is in default and termination of the contract, Owner may proceed to:
 - 1. declare Contractor to be in default, and give Contractor (and any surety) notice that the Contract is terminated; and
 - 2. enforce the rights available to Owner under any applicable performance bond.
- C. Subject to the terms and operation of any applicable performance bond, if Owner has terminated the Contract for cause, Owner may exclude Contractor from the Site, take possession of the Work, incorporate in the Work all materials and equipment stored at the Site or for which Owner has paid Contractor but which are stored elsewhere, and complete the Work as Owner may deem expedient.
- D. Owner may not proceed with termination of the Contract under Paragraph 16.02.B if Contractor within seven days of receipt of notice of intent to terminate begins to correct its failure to perform and proceeds diligently to cure such failure.
- E. If Owner proceeds as provided in Paragraph 16.02.B, Contractor shall not be entitled to receive any further payment until the Work is completed. If the unpaid balance of the Contract Price exceeds the cost to complete the Work, including all related claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals) sustained by Owner, such excess will be paid to Contractor. If the cost to complete the Work including such related claims, costs, losses,

and damages exceeds such unpaid balance, Contractor shall pay the difference to Owner. Such claims, costs, losses, and damages incurred by Owner will be reviewed by Engineer as to their reasonableness and, when so approved by Engineer, incorporated in a Change Order. When exercising any rights or remedies under this paragraph, Owner shall not be required to obtain the lowest price for the Work performed.

- F. Where Contractor's services have been so terminated by Owner, the termination will not affect any rights or remedies of Owner against Contractor then existing or which may thereafter accrue, or any rights or remedies of Owner against Contractor or any surety under any payment bond or performance bond. Any retention or payment of money due Contractor by Owner will not release Contractor from liability.
- G. If and to the extent that Contractor has provided a performance bond under the provisions of Paragraph 6.01.A, the provisions of that bond shall govern over any inconsistent provisions of Paragraphs 16.02.B and 16.02.D.

16.03 *Owner May Terminate For Convenience*

- A. Upon seven days written notice to Contractor and Engineer, Owner may, without cause and without prejudice to any other right or remedy of Owner, terminate the Contract. In such case, Contractor shall be paid for (without duplication of any items):
 - 1. completed and acceptable Work executed in accordance with the Contract Documents prior to the effective date of termination, including fair and reasonable sums for overhead and profit on such Work;
 - 2. expenses sustained prior to the effective date of termination in performing services and furnishing labor, materials, or equipment as required by the Contract Documents in connection with uncompleted Work, plus fair and reasonable sums for overhead and profit on such expenses; and
 - 3. other reasonable expenses directly attributable to termination, including costs incurred to prepare a termination for convenience cost proposal.
- B. Contractor shall not be paid on account of loss of anticipated overhead, profits, or revenue, or other economic loss arising out of or resulting from such termination.

16.04 *Contractor May Stop Work or Terminate*

- A. If, through no act or fault of Contractor, (1) the Work is suspended for more than 90 consecutive days by Owner or under an order of court or other public authority, or (2) Engineer fails to act on any Application for Payment within 30 days after it is submitted, or (3) Owner fails for 30 days to pay Contractor any sum finally determined to be due, then Contractor may, upon seven days written notice to Owner and Engineer, and provided Owner or Engineer do not remedy such suspension or failure within that time, terminate the contract and recover from Owner payment on the same terms as provided in Paragraph 16.03.
- B. In lieu of terminating the Contract and without prejudice to any other right or remedy, if Engineer has failed to act on an Application for Payment within 30 days after it is submitted, or Owner has failed for 30 days to pay Contractor any sum finally determined to be due, Contractor may, seven days after written notice to Owner and Engineer, stop the Work until payment is made of all such amounts due Contractor, including interest thereon. The provisions of this paragraph are not intended to preclude Contractor from submitting a Change Proposal for an adjustment in Contract Price or Contract Times or otherwise for

expenses or damage directly attributable to Contractor's stopping the Work as permitted by this paragraph.

ARTICLE 17 – FINAL RESOLUTION OF DISPUTES

17.01 *Methods and Procedures*

- A. *Disputes Subject to Final Resolution:* The following disputed matters are subject to final resolution under the provisions of this Article:
 - 1. A timely appeal of an approval in part and denial in part of a Claim, or of a denial in full; and
 - 2. Disputes between Owner and Contractor concerning the Work or obligations under the Contract Documents, and arising after final payment has been made.
- B. *Final Resolution of Disputes:* For any dispute subject to resolution under this Article, Owner or Contractor may:
 - 1. elect in writing to invoke the dispute resolution process provided for in the Supplementary Conditions; or
 - 2. agree with the other party to submit the dispute to another dispute resolution process; or
 - 3. if no dispute resolution process is provided for in the Supplementary Conditions or mutually agreed to, give written notice to the other party of the intent to submit the dispute to a court of competent jurisdiction.

ARTICLE 18 – MISCELLANEOUS

18.01 *Giving Notice*

- A. Whenever any provision of the Contract Documents requires the giving of written notice, it will be deemed to have been validly given if:
 - 1. delivered in person, by a commercial courier service or otherwise, to the individual or to a member of the firm or to an officer of the corporation for which it is intended; or
 - 2. delivered at or sent by registered or certified mail, postage prepaid, to the last business address known to the sender of the notice.

18.02 *Computation of Times*

- A. When any period of time is referred to in the Contract by days, it will be computed to exclude the first and include the last day of such period. If the last day of any such period falls on a Saturday or Sunday or on a day made a legal holiday by the law of the applicable jurisdiction, such day will be omitted from the computation.

18.03 *Cumulative Remedies*

- A. The duties and obligations imposed by these General Conditions and the rights and remedies available hereunder to the parties hereto are in addition to, and are not to be construed in any way as a limitation of, any rights and remedies available to any or all of them which are otherwise imposed or available by Laws or Regulations, by special warranty or guarantee, or by other provisions of the Contract. The provisions of this paragraph will be as effective as if repeated specifically in the Contract Documents in connection with each particular duty, obligation, right, and remedy to which they apply.

18.04 *Limitation of Damages*

- A. With respect to any and all Change Proposals, Claims, disputes subject to final resolution, and other matters at issue, neither Owner nor Engineer, nor any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, shall be liable to Contractor for any claims, costs, losses, or damages sustained by Contractor on or in connection with any other project or anticipated project.

18.05 *No Waiver*

- A. A party's non-enforcement of any provision shall not constitute a waiver of that provision, nor shall it affect the enforceability of that provision or of the remainder of this Contract.

18.06 *Survival of Obligations*

- A. All representations, indemnifications, warranties, and guarantees made in, required by, or given in accordance with the Contract, as well as all continuing obligations indicated in the Contract, will survive final payment, completion, and acceptance of the Work or termination or completion of the Contract or termination of the services of Contractor.

18.07 *Controlling Law*

- A. This Contract is to be governed by the law of the state in which the Project is located.

18.08 *Headings*

- A. Article and paragraph headings are inserted for convenience only and do not constitute parts of these General Conditions.

SECTION 00800
SUPPLEMENTARY CONDITIONS
(EJCDC Form C-800, Modified)

These Supplementary Conditions amend or supplement the Standard General Conditions of the Construction Contract, EJCDC® C-700 (2013 Edition). All provisions that are not so amended or supplemented remain in full force and effect.

The terms used in these Supplementary Conditions have the meanings stated in the General Conditions. Additional terms used in these Supplementary Conditions have the meanings stated below, which are applicable to both the singular and plural thereof.

The address system used in these Supplementary Conditions is the same as the address system used in the General Conditions, with the prefix "SC" added thereto.

ARTICLE 2 – PRELIMINARY MATTERS

SC-2.04.A. Amend the second line of paragraph 2.04.A to read as follows:

"....others as appropriate MAY be held to establish a working....."

SC-2.05 Add the following new paragraph immediately after paragraph 2.05 A.3.

B. If the Contractor's submitted Progress Schedule, Schedule of Submittals and Schedule of Values are acceptable to the Engineer a Conference may not be required.

ARTICLE 4 – COMMENCEMENT AND PROGRESS OF THE WORK

SC-4.01.A Delete paragraph 4.01A in its entirety and replace with the following.

A. The contract times will commence to run on the day indicated in the Notice to Proceed. In no event will the Contract Times commence to run later than the 60th day after the date of the bid opening.

ARTICLE 5 – AVAILABILITY OF LANDS; SUBSURFACE AND PHYSICAL CONDITIONS; HAZARDOUS ENVIRONMENTAL CONDITIONS

SC 5.03 Delete Paragraphs 5.03.A and 5.03.B in their entirety and insert the following:

A. No reports of explorations or tests of subsurface conditions at or adjacent to the Site, or drawings of physical conditions relating to existing surface or subsurface structures at the Site, are known to Owner.

SC 5.06 Delete Paragraphs 5.06.A and 5.06.B in their entirety and insert the following:

A. No reports or drawings related to Hazardous Environmental Conditions at the Site are known to Owner.
B. Not Used.

ARTICLE 6 – BONDS AND INSURANCE

SC-6.01 Add the following new paragraph immediately after Paragraph 6.02 J.

K. Should any of the required insurance policies be cancelled before the expiration date thereof, notice will be delivered in accordance with the policy provisions.

SC-6.0¹ Contractor’s Liability Insurance

Add the following new paragraph immediately after Paragraph 6.03.J:

K. The limits of liability for the insurance required by Paragraph 6.03 of the General Conditions shall provide coverage for not less than the following amounts or greater where required by Laws and Regulations:

1. Workers’ Compensation, and related coverages under Paragraphs 6.03.A.1 and A.2 of the General Conditions:

State:	<u>Statutory</u>
Federal, if applicable (e.g., Longshoreman’s):	<u>Statutory</u>

Employer’s Liability:

Bodily injury, each accident	\$ <u>1,000,000</u>
Bodily injury by disease, each employee	\$ <u>1,000,000</u>
Bodily injury/disease aggregate	\$ <u>1,000,000</u>

Foreign voluntary worker compensation	Statutory
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2. Contractor’s Commercial General Liability under Paragraphs 6.03.B and 6.03.C of the General Conditions:

General Aggregate	\$ <u>2,000,000</u>
Products - Completed Operations Aggregate	\$ <u>2,000,000</u>
Personal and Advertising Injury	\$ <u>1,000,000</u>

3. Automobile Liability under Paragraph 6.03.D. of the General Conditions:

Bodily Injury:	
Each person	\$ <u>1,000,000</u>

- | | |
|--------------------------|--------------|
| Each accident | \$ 1,000,000 |
| | <hr/> |
| Property Damage: | |
| Combined Single Limit of | \$ 1,000,000 |
| | <hr/> |
4. Excess or Umbrella Liability:
- | | |
|-------------------|--------------|
| Per Occurrence | \$ 1,000,000 |
| | <hr/> |
| General Aggregate | \$ 1,000,000 |
| | <hr/> |
5. Environmental Impairment Contractor’s Pollution Liability:
- | | |
|-------------------|--------------|
| Each Occurrence | \$ 1,000,000 |
| | <hr/> |
| General Aggregate | \$ 1,000,000 |
| | <hr/> |
6. Owners Liability – Named Insured: The City of Rochester
- The City of Rochester shall be named as an additional insured for ongoing and completed operations on a primary and noncontributory basis by way of certificate of insurance and amendatory endorsement on all liability insurance policies required above.
7. Additional Insureds: In addition to Owner and Engineer, include as additional insureds the following:
- City of Rochester
 - Greenman-Pedersen, Inc. and all subcontractors employed for this project.

SC-6.05 Property Insurance

- SC-6.05.A.1 Add the following new subparagraph after subparagraph 6.05.A.1:
- a. In addition to Owner, Contractor, and all Subcontractors, include as insureds the following:
 - City of Rochester
 - Greenman-Pedersen, Inc. and all subcontractors employed for this project.
- SC-6.05.A.2 Delete “flood” from the first sentence.

SC-6.05.A. Add the following to the list of items in Paragraph 6.05.A, as numbered items:

14. include for the benefit of Owner loss of profits and soft cost coverage including, without limitation, fixed expenses and debt service for a minimum of 12 months with a maximum deductible of 30 days, plus attorney’s fees and engineering or other consultants’ fees, if not otherwise covered, Advertising, Professional

Fees, Financing, Lease Administration, Realty Taxes, General Administration, Lease Expenses, Permit Fees and Insurance premiums.

15. shall include "Extra Expenses" which may arise as a result of a "delay" resulting from direct physical loss or damage to the project that is caused by a covered peril.
16. shall include "Rental Income" payment for loss of rental income that arises out of a "delay" resulting from direct physical loss or damage to the project that is caused by a covered peril.
17. provide coverage for not less than the following:
 - a. Contract Amount: See Agreement.
 - b. Soft Cost, Extra Expenses
and Rental Income Endorsement: \$50,000

ARTICLE 7 – CONTRACTOR’S RESPONSIBILITIES

SC-7.02.B. Add the following new subparagraphs immediately after Paragraph 7.02.B:

1. Regular working hours will be Monday through Friday from 7:00 AM to 7:00 PM. Contractor will not perform work on a Saturday, Sunday or any legal holiday without permission of the Owner.
2. Owner's legal holidays are those listed in Paragraph 101.56 of the NHDOT Standard Specifications for Road and Bridge Construction 2016 Edition, in addition to the following:
 - Day after Thanksgiving
3. If there is a delay in Contractor’s progress as described in Paragraph 4.05, the Contractor shall not include non-regular working days (i.e. Saturdays, Sundays or holidays) in the contract time equitable adjustment proposal.

SC-7.06 Amend the last sentence of Paragraph 7.06.D to read as follows "...objection within thirty days."

SC-7.08 Add the following new paragraphs immediately after paragraph 7.08.A

B. The Owner has applied for the following permits for this project, which are listed below.

1. NH Department of Environmental Services Shoreland Permit By Notification (PBN)

C. If not included herein, a copy of this permit will be provided to the Contractor prior to the beginning of construction. The Contractor is responsible for complying with the project specific conditions outlined in the permit(s). If the CONTRACTOR intends to proceed with construction means and methods that are outside the General Conditions and Specific Conditions of the permit(s), it is the Contractor's

responsibility to obtain new permit(s) for these means and methods and to bear all costs associated with applying for and obtaining new permit(s).

SC-7.09 Add a new paragraph immediately after Paragraph 7.09.A:

- B. Owner is exempt from payment of sales and compensating use taxes of the State of New Hampshire and of cities and counties thereof on all materials to be incorporated into the Work.
 - 1. Owner will furnish the required certificates of tax exemption to Contractor for use in the purchase of supplies and materials to be incorporated into the Work.
 - 2. Owner's exemption does not apply to construction tools, machinery, equipment, or other property purchased by or leased by Contractor, or to supplies or materials not incorporated into the Work.

SC-7.11 Add a new paragraph immediately after Paragraph 7.11.A:

- B. An AutoCAD 2007 DWG or later digital file of the as-built plan georeferenced to NAD 83 that includes all pertinent layers shall be provided to the City at the completion of the project. The digital DWG file shall be free of any Xrefs. Additionally, an Adobe PDF file of the as-built plan shall also be provided to the City.

SC-7.16.A Add the following new paragraph immediately after paragraph 7.16.A:

Contractor shall submit the number of copies which the Contractor requires, plus two copies (which will be retained by the Engineer) of shop drawings and other submittals to Engineer for review. If the submittal is made electronically, no paper copies will be provided to the Contractor.

SC-7.16.E Delete paragraph immediately after paragraph 7.16.E.2 in its entirety and insert the following in its place:

- 2. Engineer shall review a maximum of two submittals of shop drawings or samples for a particular submittal item at no cost to the Contractor. The Contractor shall reimburse the Owner for costs by the Engineer relative to the review of subsequent submittals of shop drawings or samples of the same item.

ARTICLE 9 – OWNER'S RESPONSIBILITIES

- A. Not Used

ARTICLE 10 – ENGINEER'S STATUS DURING CONSTRUCTION

SC-10.03 Add the following new paragraphs immediately after Paragraph 10.03.A:

- B. The Resident Project Representative (RPR) will be Engineer's representative at the Site, will act as directed by and under the supervision of Engineer, and will confer with Engineer regarding RPR's actions. The duties and responsibilities of the RPR are limited to those of Engineer in the Agreement with the Owner and in the Contract Documents, and are further limited and described as follows:

1. General: RPR's dealings in matters pertaining to the Work in general shall be with Engineer and Contractor. RPR's dealings with Subcontractors shall only be through or with the full knowledge and approval of Contractor. RPR shall generally communicate with Owner only with the knowledge of and under the direction of Engineer.
2. Schedules: Review the progress schedule, schedule of Shop Drawing and Sample submittals, and Schedule of Values prepared by Contractor and consult with Engineer concerning acceptability.
3. Conferences and Meetings: Attend meetings with Contractor, such as preconstruction conferences, progress meetings, job conferences, and other Project-related meetings, and prepare and circulate copies of notes thereof.
4. Liaison:
 - a. Serve as Engineer's liaison with Contractor. Working principally through Contractor's authorized representative or designee, assist in providing information regarding the provisions and intent of the Contract Documents.
 - b. Assist Engineer in serving as Owner's liaison with Contractor when Contractor's operations affect Owner's on-Site operations.
 - c. Assist in obtaining from Owner additional details or information, when required for proper execution of the Work.
5. Interpretation of Contract Documents: Report to Engineer when clarifications and interpretations of the Contract Documents are needed and transmit to Contractor clarifications and interpretations as issued by Engineer.
6. Shop Drawings and Samples:
 - a. Record date of receipt of Samples and Contractor-approved Shop Drawings.
 - b. Receive Samples which are furnished at the Site by Contractor and notify Engineer of availability of Samples for examination.
 - c. Advise Engineer and Contractor of the commencement of any portion of the Work requiring a Shop Drawing or Sample submittal for which RPR believes that the submittal has not been approved by Engineer.
7. Modifications: Consider and evaluate Contractor's suggestions for modifications in Drawings or Specifications and report such suggestions, together with RPR's recommendations, if any, to Engineer. Transmit to Contractor in writing decisions as issued by Engineer.
8. Review of Work and Rejection of Defective Work:
 - a. Conduct on-Site observations of Contractor's work in progress to assist Engineer in determining if the Work is in general proceeding in accordance with the Contract Documents.
 - b. Report to Engineer whenever RPR believes that any part of Contractor's work in progress is defective, will not produce a completed Project that

conforms generally to the Contract Documents, or will imperil the integrity of the design concept of the completed Project as a functioning whole as indicated in the Contract Documents, or has been damaged, or does not meet the requirements of any inspection, test or approval required to be made; and advise Engineer of that part of work in progress that RPR believes should be corrected or rejected or should be uncovered for observation, or requires special testing, inspection or approval.

9. Inspections, Tests, and System Start-ups:
 - a. Verify that tests, equipment, and systems start-ups and operating and maintenance training are conducted in the presence of appropriate Owner's personnel, and that Contractor maintains adequate records thereof.
 - b. Observe, record, and report to Engineer appropriate details relative to the test procedures and systems start-ups.
10. Records:
 - a. Prepare a daily report or keep a diary or log book, recording Contractor's hours on the Site, Subcontractors present at the Site, weather conditions, data relative to questions of Change Orders, Field Orders, Work Change Directives, or changed conditions, Site visitors, deliveries of equipment or materials, daily activities, decisions, observations in general, and specific observations in more detail as in the case of observing test procedures; and send copies to Engineer.
 - b. Record names, addresses, fax numbers, e-mail addresses, web site locations, and telephone numbers of all Contractors, Subcontractors, and major Suppliers of materials and equipment.
 - c. Maintain records for use in preparing Project documentation.
11. Reports:
 - a. Furnish to Engineer periodic reports as required of progress of the Work and of Contractor's compliance with the Progress Schedule and schedule of Shop Drawing and Sample submittals.
 - b. Draft and recommend to Engineer proposed Change Orders, Work Change Directives, and Field Orders. Obtain backup material from Contractor.
 - c. Immediately notify Engineer of the occurrence of any Site accidents, emergencies, acts of God endangering the Work, force majeure or delay events, damage to property by fire or other causes, or the discovery of any Constituent of Concern or Hazardous Environmental Condition.
12. Payment Requests: Review applications for payment with Contractor for compliance with the established procedure for their submission and forward with recommendations to Engineer, noting particularly the relationship of the payment requested to the Schedule of Values, Work completed, and materials and equipment delivered at the Site but not incorporated in the Work.

13. Certificates, Operation and Maintenance Manuals: During the course of the Work, verify that materials and equipment certificates, operation and maintenance manuals and other data required by the Contract Documents to be assembled and furnished by Contractor are applicable to the items actually installed and in accordance with the Contract Documents, and have these documents delivered to Engineer for review and forwarding to Owner prior to payment for that part of the Work.
14. Completion:
 - a. Participate in Engineer's visits to the Site to determine Substantial Completion, assist in the determination of Substantial Completion and the preparation of a punch list of items to be completed or corrected.
 - b. Participate in Engineer's final visit to the Site to determine completion of the Work, in the company of Owner and Contractor, and prepare a final punch list of items to be completed and deficiencies to be remedied.
 - c. Observe whether all items on the final list have been completed or corrected and make recommendations to Engineer concerning acceptance and issuance of the notice of acceptability of the work.
 - d. C. The RPR shall not:
 1. Authorize any deviation from the Contract Documents or substitution of materials or equipment (including "or-equal" items).
 2. Exceed limitations of Engineer's authority as set forth in the Contract Documents.
 3. Undertake any of the responsibilities of Contractor, Subcontractors, or Suppliers.
 4. Advise on, issue directions relative to, or assume control over any aspect of the means, methods, techniques, sequences or procedures of Contractor's work.
 5. Advise on, issue directions regarding, or assume control over security or safety practices, precautions, and programs in connection with the activities or operations of Owner or Contractor.
 6. Participate in specialized field or laboratory tests or inspections conducted offsite by others except as specifically authorized by Engineer.
 7. Accept Shop Drawing or Sample submittals from anyone other than Contractor.
 8. Authorize Owner to occupy the Project in whole or in part.

ARTICLE 13 – COST OF THE WORK; ALLOWANCES; UNIT PRICE WORK

SC 13.01.B.5.c Delete Paragraph 13.01.B.5.c in its entirety and insert the following in its place:

- c. Construction Equipment and Machinery:
 - 1) Rentals of all construction equipment and machinery, and the parts thereof, in accordance with rental agreements approved by Owner with the advice of

Engineer, and the costs of transportation, loading, unloading, assembly, dismantling, and removal thereof. All such costs shall be in accordance with the terms of said rental agreements. The rental of any such equipment, machinery, or parts shall cease when the use thereof is no longer necessary for the Work.

- 2) Costs for equipment and machinery owned by Contractor will be paid at a rate shown for such equipment in the *"Rental Rate Blue Book for Construction Equipment" published by Equipment Watch*. An hourly rate will be computed by dividing the monthly rates by 176. These computed rates will include all operating costs. Costs will include the time the equipment or machinery is in use on the changed Work and the costs of transportation, loading, unloading, assembly, dismantling, and removal when directly attributable to the changed Work. The cost of any such equipment or machinery, or parts thereof, shall cease to accrue when the use thereof is no longer necessary for the changed Work. Equipment or machinery with a value of less than \$1,000 will be considered small tools.

SC 13.03.E Delete Paragraph 13.03.E in its entirety and insert the following in its place:

E. The unit price of an item of Unit Price Work shall be subject to reevaluation and adjustment under the following conditions:

1. if the variation in the quantity of that particular item of Unit Price Work actually furnished or performed by Contractor differs by more than 25% percent from the estimated quantity of such item indicated in the Agreement; and
2. if there is no corresponding adjustment with respect to any other item of Work; and
3. if Contractor believes that Contractor has incurred additional expense as a result thereof, Contractor may submit a Change Proposal, or if Owner believes that the quantity variation entitles Owner to an adjustment in the unit price, Owner may make a Claim, seeking an adjustment in the Contract Price. **ARTICLE 14 – TEST AND INSPECTIONS; CORRECTION, REMOVAL OR ACCEPTANCE OF DEFECTIVE WORK**

SC-14.02 Add the following paragraph immediately after paragraph 14.02.F:

- G. Contractor shall pay all costs associated with any re-inspection and/or retesting of materials and equipment required by the Engineer as a result of failure of previous test or rejected work as determined by the Engineer. Contractor shall also pay all costs associated with any additional testing requested by the Contractor.

ARTICLE 15 – PAYMENTS TO CONTRACTOR; SET-OFFS; COMPLETION; CORRECTION PERIOD

SC-15.01 Amend the first line of paragraph 15.01.D.1 to read as follows:

Fifteen days after presentation of the...

SC 15.03.B Add the following new subparagraph to Paragraph 15.03.B:

1. If some or all of the Work has been determined not to be at a point of Substantial Completion and will require re-inspection or re-testing by Engineer, the cost of such re-inspection or re-testing, including the cost of time, travel and living expenses, shall be paid by Contractor to Owner. If Contractor does not pay, or the parties are unable to agree as to the amount owed, then Owner may impose a reasonable setoff against payments due under Article 15.

SC-15.03.E Delete the second sentence of paragraph 15.03.E in its entirety.

ARTICLE 16 – SUSPENSION OF WORK AND TERMINATION

SC-16.02 Add the following paragraph immediately after paragraph 16.02.G:

- H. If it is determined, by litigation, arbitration or otherwise, that termination for cause was unjustified for any reason, the termination shall be deemed a termination of convenience and Contractor's remedies shall be limited to those provided for in the case of a termination of convenience.

END OF SECTION

**SECTION 00850
SPECIAL CONDITIONS**

The following Special Conditions apply and are hereby made part of the Agreement and Contract Documents.

1.01 PERMITS

- A. Permits applied for or obtained by the Owner, if any, are indicated in paragraph SC-7.08 of the Supplementary Conditions.

1.02 CONSTRUCTION SCHEDULE

- A. The Owner anticipates issuing a Notice to Proceed on this project by September 10, 2021 subject to the successful bidder satisfying the requirements indicated in the Notice of Award.

1.03 RESIDENT PROJECT REPRESENTATIVE

- A. The Owner will provide a part-time Resident Project Representative at no cost to the Contractor. The Resident Project Representative is being provided for the benefit of the Owner, not the Contractor. Duties and responsibilities of the Resident Project Representative are indicated in paragraph SC-10.03 of the Supplementary Conditions.
- B. The Contractor shall notify the Engineer a minimum of 24 hours in advance of key construction activities in order to have the Resident Project Representative present and observe the work. The Contractor shall provide this advance notice for the following construction activities:
1. Installation of Best Management Practices.
 2. Recording of existing roadway centerline elevations and existing culvert invert elevations.
 3. Final grading of the roadway.
 4. Installation of pavement.
- C. Failure of the Contractor to provide the proper advanced notice of construction activities as indicated in paragraph 1.03-B may be cause for rejection of the work. Any work rejected shall be removed and replaced by the Contractor at no additional cost to the Owner.

END OF SECTION

SECTION 00940
WORK CHANGE DIRECTIVE
(EJCDC Form C-940)
Betts Road and Cross Road Intersection

Work Change Directive No.

Date of Issuance:	Effective Date:
Owner: City of Rochester	Owner's Contract No.:
Contractor:	Contractor's Project No.:
Engineer: Greenman-Pedersen, Inc	Engineer's Project No.: 2020266.01
Project: Betts Roads and Cross Road Intersection	Contract Name: Betts Roads and Cross Road Intersection

Contractor is directed to proceed promptly with the following change(s):

Description:

Attachments: *[List documents supporting change]*

Purpose for Work Change Directive:

Directive to proceed promptly with the Work described herein, prior to agreeing to changes on Contract Price and Contract Time, is issued due to: *[check one or both of the following]*

- Non-agreement on pricing of proposed change.
- Necessity to proceed for schedule or other Project reasons.

Estimated Change in Contract Price and Contract Times (non-binding, preliminary):

Contract Price	\$	[increase] [decrease].
Contract Time	days	[increase] [decrease].

Basis of estimated change in Contract Price:

- | | |
|---|-------------------------------------|
| <input type="checkbox"/> Lump Sum | <input type="checkbox"/> Unit Price |
| <input type="checkbox"/> Cost of the Work | <input type="checkbox"/> Other |

RECOMMENDED:	AUTHORIZED BY:	RECEIVED:
By: _____ Engineer (Authorized Signature)	By: _____ Owner (Authorized Signature)	By: _____ Contractor (Authorized Signature)
Title: _____	Title: _____	Title: _____
Date: _____	Date: _____	Date: _____

Approved by Funding Agency (if applicable)

By: _____	Date: _____
Title: _____	

END OF SECTION

SECTION 00941
CHANGE ORDER
(EJCDC Form C-941)

Betts Road and Cross Road Intersection

Change Order No. _____

Date of Issuance:	Effective Date:
Owner: City of Rochester	Owner's Contract No.:
Contractor:	Contractor's Project No.:
Engineer: Greenman-Pedersen, Inc.	Engineer's Project No.: 2020266.01
Project: Betts Road and Cross Road Intersection	Contract Name: Betts Road and Cross Road Intersection

The Contract is modified as follows upon execution of this Change Order:

Description:

Attachments: *[List documents supporting change]*

CHANGE IN CONTRACT PRICE	CHANGE IN CONTRACT TIMES <i>[note changes in Milestones if applicable]</i>
<p>Original Contract Price:</p> <p>\$ _____</p>	<p>Original Contract Times: _____</p> <p>Substantial Completion: _____</p> <p>Ready for Final Payment:</p> <p>_____</p> <p style="text-align: right;">days or dates</p>
<p>[Increase] [Decrease] from previously approved Change Orders No. ___ to No. ___:</p> <p>\$ _____</p>	<p>[Increase] [Decrease] from previously approved Change Orders No. ___ to No. ___:</p> <p>Substantial Completion: _____</p> <p>Ready for Final Payment:</p> <p>_____</p> <p style="text-align: right;">days</p>
<p>Contract Price prior to this Change Order:</p> <p>\$ _____</p>	<p>Contract Times prior to this Change Order:</p> <p>Substantial Completion: _____</p> <p>Ready for Final Payment:</p> <p>_____</p> <p style="text-align: right;">days or dates</p>
<p>[Increase] [Decrease] of this Change Order:</p> <p>\$ _____</p>	<p>[Increase] [Decrease] of this Change Order:</p> <p>Substantial Completion: _____</p> <p>Ready for Final Payment:</p> <p>_____</p> <p style="text-align: right;">days or dates</p>

Contract Price incorporating this Change Order: \$ _____	Contract Times with all approved Change Orders: Substantial Completion: _____ Ready for Final Payment: _____ <div style="text-align: right;">days or dates</div>
---	--

RECOMMENDED:	ACCEPTED:	ACCEPTED:
By: _____	By: _____	By: _____
Engineer (if required)	Owner (Authorized Signature)	Contractor (Authorized Signature)
Title: _____	Date: _____	Date: _____

Approved by Funding Agency (if applicable)

By: _____ Date: _____

Title: _____

END OF SECTION

SECTION 00942
FIELD ORDER
(EJCDC Form C-942)

Field Order No. _____

Date of Issuance:	Effective Date:
Owner: City of Rochester	Owner's Contract No.:
Contractor:	Contractor's Project No.:
Engineer: Greenman-Pedersen, Inc.	Engineer's Project No.: 2020266.01
Project: Betts Road and Cross Road Intersection	Contract Name: Betts Road and Cross Road Intersection

Contractor is hereby directed to promptly execute this Field Order, issued in accordance with General Conditions Paragraph 11.01, for minor changes in the Work without changes in Contract Price or Contract Times. If Contractor considers that a change in Contract Price or Contract Times is required, submit a Change Proposal before proceeding with this Work.

Reference: _____

Specification(s)	Drawing(s) / Detail(s)
------------------	------------------------

Description:

Attachments:

ISSUED:	RECEIVED:
By: _____	By: _____
Engineer (Authorized Signature)	Contractor (Authorized Signature)
Title: Title: _____	_____
Date: _____	_____
Date: _____	_____

Copy to: Owner

END OF SECTION

SECTION 00943
CERTIFICATE OF SUBSTANTIAL COMPLETION
(EJCDC Form C-625, Modified)

Owner:	City of Rochester	Owner's Contract No.:	Contractor:	Contractor's Project
No.:				
Engineer:	Greenman-Pedersen, Inc.	Engineer's Project No.:	2020266.01	
Project:	Betts Road and Cross Road Intersection	Contract Name:	Betts Road and Cross Road Intersection	

This [preliminary] [final] Certificate of Substantial Completion applies to:

All Work The following specified portions of the Work:

Date of Substantial Completion

The Work to which this Certificate applies has been inspected by authorized representatives of Owner, Contractor, and Engineer, and found to be substantially complete. The Date of Substantial Completion of the Work or portion thereof designated above is hereby established, subject to the provisions of the Contract pertaining to Substantial Completion. The date of Substantial Completion in the final Certificate of Substantial Completion marks the commencement of the contractual correction period and applicable warranties required by the Contract.

A punch list of items to be completed or corrected is attached to this Certificate. This list may not be all-inclusive, and the failure to include any items on such list does not alter the responsibility of the Contractor to complete all Work in accordance with the Contract.

The responsibilities between Owner and Contractor for security, operation, safety, maintenance, heat, utilities, insurance, and warranties upon Owner's use or occupancy of the Work shall be as provided in the Contract, except as amended as follows:

Amendments to Owner's responsibilities: None

As follows:

Amendments to Contractor's responsibilities: None

As follows:

The following documents are attached to and made a part of this Certificate:

This Certificate does not constitute an acceptance of Work not in accordance with the Contract Documents, nor is it a release of Contractor's obligation to complete the Work in accordance with the Contract.

EXECUTED BY ENGINEER:	RECEIVED:	RECEIVED:
By: _____	By: _____	By: _____
(Authorized signature)	Owner (Authorized Signature)	Contractor (Authorized Signature)
Title: _____	Title: _____	Title: _____
Date: _____	Date: _____	Date: _____

END OF SECTION

**SECTION 00950
CONSENT OF SURETY COMPANY TO FINAL PAYMENT**

OWNER'S CONTRACT NO.: _____

ENGINEER' PROJECT NO.: 2020266.01_____

AGREEMENT DATE: _____

BOND NUMBER: _____

CONTRACT TITLE: Betts Road and Cross Road Intersection

To: City of Rochester_____ (Owner)

45 Old Dover Road_____

Rochester, NH 03867_____

From: _____ (Contractor)

In accordance with the provisions of the Contract between the Owner and the Contractor as indicated above, the ___(Surety) on the bond of _____(Contractor) hereby approves of the final payment to the Contractor and agrees that final payment to the Contractor shall not relieve the Surety Company of any of its obligations to the City of Rochester_(Owner) as set forth in the said Surety Company's Bond. _____

IN WITNESS WHEREOF, the Surety Company has hereunto set its hand this _____ day of _____, 20__.

Surety Company _____

Signature of Authorized Representative _____

Attest: (Seal)

Name & Title _____

Note: Power of Attorney should be attached in instances where same applies.

END OF SECTION

SECTION 00960
CONTRACTOR'S FINAL LIEN WAIVER
(Page 1 of 2)

OWNER'S CONTRACT NO.: _____

ENGINEER' PROJECT NO.: 2020266.01

AGREEMENT DATE: _____

CONTRACT TITLE: Betts Road and Cross Road Intersection

To: City of Rochester (Owner)
45 Old Dover Road
Rochester, NH 03867

APPLICATION FOR FINAL PAYMENT

The undersigned hereby certifies that the amount owed set forth below constitutes the entire value of all work performed and services rendered by, through or under the undersigned with respect to the project not heretofore paid for up to and including the period covered by the above Application for Final Payment; that all work covered by such Application has been incorporated into the project and title thereto has passed to the Owner free and clear of all liens, claims, security, interests or encumbrances; and that no work covered by such Application has been acquired subject to an agreement under which any interest therein or an encumbrance thereon is retained by the seller or any other person. In consideration of payment of the requisition, the undersigned hereby releases the Owner from all claims of lien which the undersigned has regarding the Project.

The undersigned, in order to induce the Owner to pay the requisition, hereby represents that it has paid or will pay from the proceeds of the requisition all sums due to those parties who have performed work or provided materials to the undersigned in connection with the Project, and that it will on request of the Owner provide written evidence of the discharge by the undersigned of its obligations to such parties.

Executed under seal as of this _____ day of _____, 20____.

Amount Owed to Contractor by Owner as Final Payment:
\$ _____ (total value of project including change orders)

Amount Unpaid from Previous Application for Payment:
\$ _____

CONTRACTOR'S FINAL LIEN WAIVER

(Page 2 of 2)

From: _____ (Contractor)

Authorized Representative Signature

Name and Title (printed)

NOTARY:

Then personally appeared the above named _____ and acknowledged the foregoing to be the free act and deed of the above-named Contractor, before me.

Subscribed and sworn to on the _____ day of _____, 20____.

Notary Public: _____

My Commission Expires: _____

END OF SECTION

SECTION 00970
CERTIFICATE OF FINAL COMPLETION OF WORK
(Page 1 of 2)

OWNER'S CONTRACT NO.: _____

ENGINEER' PROJECT NO.: 2020266.01

AGREEMENT DATE: _____

CONTRACT TITLE: Betts Road and Cross Road Intersection

FINAL COMPLETION DATE PER AGREEMENT AND CHANGE ORDERS: _____

ACTUAL DATE OF FINAL COMPLETION: _____

FINAL CERTIFICATION OF CONTRACTOR

I hereby certify that the Work as identified in the Final Payment Request dated _____ for the above-noted construction Contract represents full compensation for the actual value of work completed. Additionally, all work completed conforms to the terms of the Agreement and authorized changes.

CONTRACTOR

Date

Authorized Representative's Signature

Name & Title

FINAL CERTIFICATION OF ENGINEER

I have reviewed the Contractor's Final Payment Request dated __ and hereby certify that to the best of my knowledge, the cost of the work identified on the Final Payment Request represents full compensation for the actual value of work completed and that the work has been completed in accordance with the terms of the Agreement and authorized changes.

Greenman-Pedersen, Inc.
ENGINEER

Date

Authorized Representative's Signature

Name & Title

CERTIFICATE OF FINAL COMPLETION OF WORK

(Page 2 of 2)

FINAL ACCEPTANCE OF OWNER

I, as representative of the Owner, accept the above Final Certifications and authorize Final Payment in the amount of \$ _____ and direct the Contractor's attention to the General Conditions. The guaranty for all Work completed subsequent to the date of Substantial Completion, expires _____ year from the date of this Final Acceptance.

At a meeting of the _____ (Town Council/ Selectmen/ Alderman), the Owner, _____ (Name of the community) has accepted the constructed project.

City of Rochester
OWNER _____

Date

Authorized Representative's Signature

Name & Title

END OF SECTION

**SECTION 01010
SUMMARY OF WORK**

PART 1 GENERAL

1.1 SECTION INCLUDES

- A. Contract description.
- B. Work by Owner.
- C. Owner supplied Products.
- D. Contractor use of site.
- E. Future work.
- F. Work sequence.
- G. Owner occupancy.
- H. Construction Permits and Easements

1.2 RELATED SECTIONS

- A. Drawings and General Provisions of the Contract, including General Supplementary Conditions and other Division 1 Specifications apply to this section.

1.3 CONTRACT DESCRIPTION

- A. Contract Type: Unit Price as stated in the Agreement.

1.4 WORK BY OWNER

Not Used.

1.5 OWNER SUPPLIED PRODUCTS

Not Used.

1.6 CONTRACTOR USE OF SITE

- A. Limit use of site to allow:
 - 1. Owner access.

2. Allow access to local property owners.
3. Engineer access.
4. Permitting agency access.

- B. Construction Operations: Limited to right-of-way and easement areas as shown on the drawings.
- C. Time Restrictions for Performing Work: The Contractor will be limited to accessing the site and performing the required work between the hours of 7:00am to 7:00pm, Monday through Friday.
- D. Unfavorable Construction Conditions

1. During unfavorable weather (wet ground, extreme temperatures, etc.) or other unsuitable construction conditions, confine operations to work that will not be affected adversely by such conditions. The installation of geopolymer lining system, mixing and placing of concrete or pavement courses, the laying of masonry and the installation of drain systems shall be stopped during rainstorms of any intensity. All freshly placed work shall be protected by canvas or other suitable covering. Placement of select materials under roadways or driveways may be allowed in light rain with the approval of the Engineer.
2. No portion of Work shall be constructed under conditions that adversely affect quality or efficiency thereof, unless special means or precautions are taken to perform Work in manner acceptable to the Engineer.

1.7 FUTURE WORK

Not Used.

1.8 WORK SEQUENCE

- A. Construct Work as shown on the drawings, coordinate construction schedule and operations with Engineer.

1.9 OWNER OCCUPANCY

Not Used.

1.10 CONSTRUCTION PERMITS AND EASEMENTS

- A. The Owner shall be responsible for identifying and obtaining federal, state, and local permits as may be required due to the nature and location of construction as depicted in the drawings except those required to be obtained by the Contractor such as trench permits, building permits, waste disposal permits, etc. Should the Contractor's preferred means and methods benefit from additional impact areas for which the Owner has not obtained permits, it shall be the Contractor's responsibility to coordinate and pay for additional permitting at no cost to the Owner. Failure of the Contractor to obtain additional permits shall not relieve the Contractor from constructing the

project per the Contract Documents. To the extent possible, Owner procured permits shall be obtained prior to the Advertisement for Bids for construction, and copies of all permits so obtained shall be included in the Appendix. The status of the application on each permit, including the conditions thereof, not obtained prior to the Advertisement for Bids shall also be indicated in the Supplementary Conditions.

- B. When construction permits are accompanied by regulations or requirements issued by a particular authority or agency, it shall be the Contractor's responsibility to familiarize himself and comply with such regulations or requirements as they apply to his operations on this project.
- C. The Owner shall be responsible for identifying and obtaining all easements for this contract prior to construction which are necessary for construction as depicted in the drawings. Should the Contractor's means and methods benefit from access to other property for which easements have not been obtained, it shall be the Contractor's responsibility to obtain additional easements. Failure of the Contractor to obtain the additional easements shall not relieve the Contractor from constructing the project per the Contract Documents.
- D. The Owner has obtained the following permits:

- 1. NH Department of Environmental Services Shoreland Permit By Notification (PBN)

PART 2 PRODUCTS

Not Used.

PART 3 EXECUTION

Not Used.

END OF SECTION

**SECTION 01019
CONTRACT CONSIDERATIONS**

PART 1 GENERAL

1.1 SECTION INCLUDES

- A. Cash allowances.
- B. Contingency allowance.
- C. Inspecting and testing allowances.
- D. Schedule of Values.
- E. Applications for Payment.
- F. Change procedures.
- G. Defect Assessment.
- H. Measurement and payment - unit prices.
- I. Alternatives.

1.2 RELATED SECTIONS

- A. Drawings and General Provisions of the Contract, including General Supplementary Conditions and other Division 1 Specifications apply to this section.

1.3 CASH ALLOWANCES

- B. Contract items which may be paid for as an allowance will be identified in the Bid Form. Payment under these items will be identified in the appropriate specification section related to this item.

1.4 CONTINGENCY ALLOWANCE

Not Used.

1.5 INSPECTING AND TESTING ALLOWANCES

Not Used.

1.6 SCHEDULE OF VALUES

- A. Submit a printed schedule of values in accordance with Section 00700, paragraph 2.05-A. for all lump sum bid items of the work. Contractor's standard form or electronic media printout will be considered.
- B. Submit Schedule of Values in duplicate within 10 days after date of Owner-Contractor Agreement established in Notice to Proceed.
- C. Format: Utilize the Table of Contents of this Project Manual. Identify each line item with number and title of the major specification Section.
- D. Include in each line item, the amount of Allowances specified in this section.
- E. Include within each line item, a direct proportional amount of Contractor's overhead and profit.
- F. Revise schedule to list approved Change Orders, with each Application for Payment.

1.7 APPLICATIONS FOR PAYMENT

- A. Submit three copies of each application on Contractor's electronic media driven form or EJDC C-620 (Section 00620).
- B. Content and Format: Utilize Schedule of Values for listing items in Application for Payment.
- C. Payment Period: 30 days
- D. Include any forms required by Owner.
- E. Include an updated construction progress schedule.

1.8 CHANGE PROCEDURES

- A. The Engineer will advise of minor changes in the Work not involving an adjustment to Contract Sum/Price or Contract Time as authorized by the General Conditions.
- B. The Owner may issue a Change Order which includes a detailed description of a proposed change with supplementary or revised Drawings and specifications, a change in Contract Time for executing the change with a stipulation of any overtime work required and the period of time during which the requested price will be considered valid. Contractor will prepare and submit an estimate within 7 days.

- C. The Contractor may propose changes by submitting a request for change to the Engineer, describing the proposed change and its full effect on the Work. Include a statement describing the reason for the change, and the effect on the Contract Sum/Price and Contract Time with full documentation and a statement describing the effect on Work by separate or other Contractors. Document any requested substitutions in accordance with Section 01600.
- D. Field Order: Engineer may issue a directive, on EJCDC Form C-942 Field Order signed by the Engineer and Contractor for minor changes in the Work without changes in Contract Price or Contract Times. Promptly execute the Field Order.
- E. Work Change Directive: Engineer may issue a directive, on EJCDC Form C-940 Work Change Directive signed by the Owner, instructing the Contractor to proceed with a change in the Work, for subsequent inclusion in a Change Order. Document will describe changes in the Work, and designate method of determining any change in Contract Sum/Price or Contract Time. Promptly execute the change.
- F. Unit Price Change Order: For contract unit prices and quantities, the Change Order will be executed on a fixed unit price basis. For unit costs or quantities of units of work which are not pre-determined, execute Work under a Work Change Directive. Changes in Contract Sum/Price or Contract Time will be computed as specified for Time and Material Change Order.
- G. Time and Material Change Order: Submit itemized account and supporting data after completion of change, within time limits indicated in the Conditions of the Contract. Engineer will determine the change allowable in Contract Sum/Price and Contract Time as provided in the Contract Documents.
- H. Maintain detailed records of work done on Time and Material basis. Provide full information required for evaluation of proposed changes, and to substantiate costs for changes in the Work.
- I. Change Order Forms:
 - ✦ Work Change Directive Forms EJCDC C-940 (Section 00940)
 - ✦ Change Order Form EJCDC C-941 (Section 00941)
 - ✦ Field Order Form: EJCDC C-942 (Section 00942)
- J. Execution of Change Orders: Engineer will issue Change Orders for signatures of parties as provided in the Conditions of the Contract.

1.9 DEFECT ASSESSMENT

- A. Replace the Work, or portions of the Work, not conforming to specified requirements.
- B. If, in the opinion of the Engineer, it is not practical to remove and replace the Work, the Engineer will direct an appropriate remedy or adjust payment.

1.10 MEASUREMENT AND PAYMENT - UNIT PRICES

- A. Authority: Measurement methods are delineated in the individual specification sections.
- B. Take measurements and compute quantities. The Engineer will verify measurements and quantities proposed by the Contractor, or the Engineer will take measurements and compute quantities accordingly. Provide and assist in the taking of measurements.
- C. Unit Quantities: Quantities and measurements indicated in the Bid Form are for bidding purposes only. Actual quantities provided shall determine payment.
- D. Payment Includes: Full compensation for required labor (including sales tax), products, tools, equipment, plant and facilities, transportation, services and incidentals; erection, application or installation of an item of the Work; overhead and profit.

1.11 ALTERNATIVES

Not Used.

PART 2 PRODUCTS

Not Used.

PART 3 EXECUTION

Not Used.

END OF SECTION

SECTION 01039
COORDINATION AND MEETINGS

PART 1 GENERAL

1.1 SECTION INCLUDES

- A. Coordination and project conditions.
- B. Field engineering.
- C. Preconstruction meeting.
- D. Site mobilization meeting.
- E. Progress meetings.
- F. Pre-Paving meeting.
- G. Guardrail preinstallation meeting.
- H. Preinstallation meetings.

1.2 RELATED SECTIONS

- A. Drawings and General Provisions of the Contract, including General Supplementary Conditions and other Division 1 Specifications apply to this section.

1.3 COORDINATION AND PROJECT CONDITIONS

- A. Coordinate scheduling, submittals, and Work of the various sections of the Specifications to ensure efficient and orderly sequence of construction elements.
- B. Verify all existing utility locations.
- C. Verify dimensions of existing structures to be maintained or rehabilitated.

1.4 FIELD ENGINEERING

- A. Employ a Land Surveyor or Professional Engineer licensed in the State of New Hampshire and acceptable to Engineer to perform all layout work. Submit Land Surveyor or Professional Engineer credentials to Engineer for review.

- B. Contractor shall locate and protect all survey control and reference points and shall accurately replace and have verified by the Engineer any such point, which is damaged or moved, at his own expense.
- C. Control datum for survey is that shown on Drawings. The Licensed Land Surveyor shall establish certain reference points and benchmarks in the immediate vicinity of the work areas. The Contractor may lay out all additional lines and grades and otherwise do all layout and measurement necessary for the proper completion of the work.
- D. The Contractor shall verify setbacks and easements; confirm drawing dimensions and elevations.
- E. The Contractor shall provide field engineering services including establishing elevations, lines, and levels, utilizing recognized construction survey practices.
- F. Not used.
- G. The Contractor shall furnish assistance to the Engineer as requested to check the layout or otherwise control the work. Such assistance shall be understood to include the provision of suitable manpower to assist the Engineer in taping measurements, holding a survey rod for checking grades and the like.
- H. The Engineer reserves the right to inspect or check any of this work, and the Contractor shall not claim added compensation for any delay occasioned by the Engineer exercising this right, nor for any corrective work which is required as a result of the Engineer's inspections.
- I. Easement and Rights-of-Way (ROW). The Licensed Land Surveyor shall layout the limits of all easements and Town's ROW within the project limits prior to commencement of construction.
 - 1. Confine construction operations within limits indicated on Drawings and/or within limits of easements or public ways.
 - 2. Place construction tools, equipment, excavated materials, and supplies, so as to cause least possible damage to property.
 - 3. Mobilization areas required beyond the Town's ROW and easements obtained for this project shall be coordinated by the contractor with the affected property owners and shall be at the contractor's expense.

1.5 PRECONSTRUCTION MEETING

- A. Engineer will schedule a meeting after Notice of Award.
- B. Attendance Required: Owner, Engineer and Contractor.

C. Sample Agenda:

1. Execution of Owner-Contractor Agreement.
2. Submission of executed bonds and insurance certificates.
3. Distribution of Contract Documents.
4. Submission of list of Subcontractors, schedule of values, and progress schedule.
5. Submission of list of surveyor or person responsible for layout, testing agency and other parties providing services on the project.
6. Designation of personnel representing the parties in Contract, and the Engineer.
7. Procedures and processing of field decisions, submittals, substitutions, applications for payments, proposal request, Change Orders, and Contract closeout procedures.
8. Procedures for layout of the project, establishing controls, limits of right-of-way and easements.
9. Scheduling.

- D. Engineer will record notes and distribute copies to participants and those affected by decisions made.

1.6 SITE MOBILIZATION MEETING

- A. Engineer may schedule a meeting at the Project site prior to Construction start-up.

- B. Attendance Required: Engineer, Contractor's Superintendent, and major Subcontractors.

C. Sample Agenda:

1. Use of site by Owner and Contractor.
2. Owner's requirements.
3. Construction facilities provided by Contractor.
4. Temporary utilities provided by Contractor.
5. Survey layout.
6. Security and housekeeping procedures.
7. Schedules.
8. Application for payment procedures.
9. Procedures for testing.
10. Procedures for maintaining record documents.

- D. Engineer will record notes and distribute copies to participants and those affected by decisions made.

1.7 PROGRESS MEETINGS

- A. Schedule and administer meetings throughout progress of the Work at weekly intervals or intervals agreed to by Owner/Engineer/Contractor.
- B. Engineer will make arrangements for meetings, prepare agenda with copies for participants, and preside at meetings.
- C. Attendance Required: Contractor's superintendent, major Subcontractors and suppliers, Engineer, as appropriate to agenda topics for each meeting.
- D. Sample Agenda:
 - 1. Review notes of previous meetings.
 - 2. Review of Work progress.
 - 3. Field observations, problems, and decisions.
 - 4. Identification of problems which impede planned progress.
 - 5. Review of submittals schedule and status of submittals.
 - 6. Review of off-site fabrication and delivery schedules.
 - 7. Maintenance of progress schedule.
 - 8. Corrective measures to regain projected schedules.
 - 9. Planned progress during succeeding work period.
 - 10. Coordination of projected progress.
 - 11. Maintenance of quality and work standards.
 - 12. Effect of proposed changes on progress schedule and coordination.
 - 13. Other business relating to Work.
- E. Engineer will record notes and distribute copies to participants and those affected by decisions made.

1.8 PRE-PAVING MEETING

Not Used.

1.9 GUARDRAIL PREINSTALLATION MEETING

Not Used.

2.0 PREINSTALLATION MEETINGS

- A. Preinstallation meetings will be required for the following construction items:
 - None

PART 2 PRODUCTS

Not Used.

PART 3 EXECUTION

Not Used.

END OF SECTION

**SECTION 01300
SUBMITTALS**

PART 1 GENERAL

1.1 SECTION INCLUDES

- A. Submittal procedures.
- B. Construction progress schedules.
- C. Proposed Products list.
- D. Product Data.
- E. Shop Drawings.
- F. Samples.
- G. Design data.
- H. Test reports.
- I. Certificates.
- J. Manufacturer's instructions.
- K. Manufacturer's field reports.
- L. Erection drawings.
- M. Construction photographs.

1.2 RELATED SECTIONS

- A. Section 01400 - Quality Control: Manufacturers' field services and reports.
- B. Section 01700 - Contract Closeout: Contract warranties, bonds, manufacturers' certificates, and closeout submittals.

1.3 SUBMITTAL PROCEDURES

- A. Transmit each submittal with Engineer-accepted form.
- B. Sequentially number the transmittal form. Revise submittals with original number and a sequential alphabetic suffix.
- C. Identify Project, Contractor, Subcontractor or supplier, pertinent drawing and detail number, and specification section number, as appropriate.
- D. Apply Contractor's stamp, signed or initialed certifying that review, approval, verification of Products required, field dimensions, adjacent construction Work, and coordination of information is in accordance with the requirements of the Work and Contract Documents.
- E. Schedule submittals to expedite the Project, and deliver to Engineer at Greenman-Pedersen, Inc. (GPI), Inc. 100 International Drive, Suite 360, Portsmouth, NH 03801. Coordinate submission of related items.
- F. For each submittal for review, allow 21 days excluding delivery time to and from the Contractor.
- G. Identify variations from Contract Documents which may be detrimental to successful performance of the completed Work.
- H. Provide space for Contractor and Engineer review stamps.
- I. When revised for resubmission, identify all changes made since previous submission.
- J. Distribute copies of reviewed submittals as appropriate. Instruct parties to promptly report any inability to comply with requirements.
- K. Submittals not requested will not be recognized or processed.
- L. The cost of furnishing drawings and details, calculations, product data, samples, test reports and certificates shall be included in the Contract unit price for the item involved.

1.4 CONSTRUCTION PROGRESS SCHEDULES

- A. Submit initial schedule in duplicate within ten days after date of Owner-Contractor Agreement established in Notice to Proceed.
- B. Revise and resubmit as required.
- C. Submit revised schedules with each Application for Payment, identifying changes since previous version.
- D. Submit a computer-generated horizontal bar chart with separate line for each major portion of Work or operation, identifying first workday of each week.

- E. Show complete sequence of construction by activity, identifying Work of separate stages and other logically grouped activities. Indicate the early and late start, early and late finish, float dates, and duration.
- F. Indicate estimated percentage of completion for each item of Work at each submission.
- G. Indicate submittal dates required for shop drawings, product data, samples, and product delivery dates, including those furnished by Owner.

1.5 PROPOSED PRODUCTS LIST

- A. Within fifteen days after date of Owner-Contractor Agreement, submit list of major products proposed for use, with name of manufacturer, trade name, and model number of each product.
- B. For products specified only by reference standards, give manufacturer, trade name, model or catalog designation, and reference standards.

1.6 PRODUCT DATA

- A. Product Data for Review:
 - 1. Submitted to Engineer for review for the limited purpose of checking for conformance with information given and the design concept expressed in the contract documents.
 - 2. After review, provide copies and distribute in accordance with SUBMITTAL PROCEDURES article above and for record documents purposes described in Section 01700 - CONTRACT CLOSEOUT.
- B. Submit the number of copies as indicated in the General Conditions.
- C. Mark each copy to identify applicable products, models, options, and other data. Supplement manufacturers' standard data to provide information specific to this Project.
- D. After review distribute in accordance with the Submittal Procedures article above and provide copies for record documents described in Section 01700 - CONTRACT CLOSEOUT.

1.7 SHOP DRAWINGS

- A. Shop Drawings for Review and Approval:

1. Submitted to Engineer for review for the limited purpose of checking for conformance with information given and the design concept expressed in the contract documents.
2. The Contractor or fabricator shall not begin work on the activity or fabrication involved without approval of the details and procedures. Engineer's approval of drawings and procedures does not relieve the Contractor or fabricator of compliance with all specifications and code requirements. The Engineer assumes no responsibility for error(s) and/or omission(s) of details.
3. Drawings and procedures identified as "approved as noted" indicate that specific clarification or conditional changes have been identified and take precedence over submitted information. Withholding of approval by the Engineer for selected details, calculations or procedures shall not constitute a basis for delay.
4. After approval or approval as noted of the drawings, details and procedures, no changes shall be made without written approval of the Engineer. The Contractor or fabricator shall assume risk for materials ordered or work performed prior to the approval of the Engineer.
5. After review, produce copies and distribute in accordance with SUBMITTAL PROCEDURES article above and for record documents purposes described in Section 01700 - CONTRACT CLOSEOUT.

B. Shop drawings to be submitted are classified in two categories:

1. Fabrication Drawings: Drawings required for work performed by or in conjunction with materials furnished by a fabricator or supplier. Drawings provided to the Engineer for review and approval shall consist of complete details developed from information in the Plans and these Specifications to define dimensions, sizes, procedures, and materials necessary to complete fabrication and installation or erection of the work specified.
2. Working Drawings: Drawings submitted for review and approval shall include, but not be limited to; the following: temporary bridge plans, removal of existing bridge structure plans, cofferdam plans, water diversion structure plans, plans of precast elements to be designed by the Contractor, erection plans, temporary support systems, falsework plans, scaffolding plans and bridge analysis, detour plans, sign structure plans, traffic signal poles and mast arm plans, or any other working drawings for review and approval required by the Contract. If not specifically noted in the applicable Technical Specifications, all items listed above must be designed and sealed by a Professional Engineer licensed in the State of New Hampshire.

Shop drawings that must be submitted for review and approval are listed in Section 01300, Paragraph 3.1.C.

C. Submit the number and type as indicated in the General Conditions.

1.8 SAMPLES

A. Samples for Review:

1. Submitted to Engineer for review for the limited purpose of checking for conformance with information given and the design concept expressed in the contract documents.
2. After review, produce duplicates and distribute in accordance with SUBMITTAL PROCEDURES article above and for record documents purposes described in Section 01700 - CONTRACT CLOSEOUT.

B. Submit samples to illustrate functional and aesthetic characteristics of the Product, with integral parts and attachment devices. Coordinate sample submittals for interfacing work.

C. Include identification on each sample, with full Project information.

D. Submit the number of samples as indicated in the General Conditions.

E. Reviewed samples which may be used in the Work are indicated in individual specification sections.

F. Samples will not be used for testing purposes unless specifically stated in the specification section.

1.9 DESIGN DATA

A. Submit for the Engineer's review and approval.

B. Submit information for the purpose of assessing conformance with information given and the design concept expressed in the contract documents.

C. For an item or element of work which includes optional design data and calculations that affect structural capacity, safety, and/or the results of work, the Contractor shall prepare for the Engineer's review and approval detailed design data and calculations of how the work is proposed to be performed and adequately controlled.

1.10 TEST REPORTS

A. Submit test reports for information for the purpose of assessing conformance with information given and the design concept expressed in the contract documents.

1.11 CERTIFICATES

A. When specified in individual specification sections, submit certification by the manufacturer, installation/application subcontractor, or the Contractor using the Certificate of Compliance form at the end of this section or an approved equal, to Engineer, in quantities specified for Product Data.

B. Indicate material or Product conforms to or exceeds specified requirements. Submit supporting reference data, affidavits, and certifications as appropriate.

- C. Certificates may be recent or previous test results on material or Product but must be acceptable to Engineer.

1.12 MANUFACTURER'S INSTRUCTIONS

- A. When specified in individual specification sections, submit printed instructions for delivery, storage, assembly, installation, adjusting, and finishing, to Engineer for delivery to the site in quantities specified for Product Data.
- B. Indicate special procedures, perimeter conditions requiring special attention, and special environmental criteria required for application or installation.
- C. Refer to Section 01400 - Quality Control, Manufacturers' Field Services article.

1.13 MANUFACTURER'S FIELD REPORTS

Not Used.

1.14 ERECTION DRAWINGS

Not Used.

1.15 CONSTRUCTION PHOTOGRAPHS

Not Used.

PART 2 PRODUCTS

Not Used.

PART 3 EXECUTION

3.1 SUBMITTALS

- A. The Contractor shall provide to the Engineer product data, shop drawings, samples, Certificates of Compliance and other submittals to the Engineer as indicated in the submittal summary provided in this section. The summary provided is not all inclusive and does not relieve the Contractor from providing all submittals identified or call for in the Contract Documents (plans and individual section specifications).
- B. Product Data

Submit the following product data:

Specification Section

Item

01300, 1.6 A, B	Products List
01700, 1.6 B	Warranties
403	Pavement Mix Design
403.6	Pavement Joint Adhesive
410.22	Asphalt Emulsion for Tack Coat
593	Geotextile
625	Lighting
632	Retroreflective Paint Markings
645.0002	Sedimentation Basin
645.44	Temporary Slope Matting Type D (Wildlife Friendly)
645.512	Compost Sock for Perimeter Berm
645.512	Silt Fence
651	Evergreen Trees

C. Shop Drawings

Submit fabrication drawings for review and approval for the following:

None.

Submit working drawings for review and approval for the following:

Specification Section

Item

None.

D. Samples

Submit samples of the following:

None.

E. Design Data

Specification Section

Item

F. Test Reports

None.

G. Certificates

Submit Certificates of Compliance using the Certificate of Compliance form at the end of this section or an approved equal for the following:

<u>Specification Section</u>	<u>Item</u>
209	Granular Backfill
304	Aggregate Base Course
403	Pavement
403.6	Pavement Joint Adhesive
410.22	Asphalt Emulsion for Tack Coat
615	Traffic Signs
632	Retroreflective Paint Markings
645.44	Temporary Slope Matting Type D (Wildlife Friendly)
645.512	Compost Sock for Perimeter Berm
645.512	Silt Fence
646	Turf Establishment

H. Manufacturer's Instructions

<u>Specification Section</u>	<u>Item</u>
01300, 1.12 - A&B	Manufacturer's Instructions.

I. Submissions Requiring Professional Engineer or Other Certifications

Professional Engineer

<u>Specification Section</u>	<u>Item</u>
645.7	Storm Water Pollution Prevention Plan

Other Certifications

<u>Specification Section</u>	<u>Item</u>
619	Traffic Control Plan

Please note that the above list if provide for the Contractor's convenience and may not be all-inclusive. The Contractor remains responsible for compliance with all applicable Technical Specifications.

J. Other Submittals

Specification Section

Item

00700, 2.03 – A: 01300, 1.4 A-G
00700, 2.03 A
00700, 2.03 A
00700, 7.13
01039, 1.4 –A
01039, 1.5 – C. 4
01700, 1.3 A-C
01700, 1.6 A-G

Progress Schedule
Schedule of Value
List of Submittals
Safety Representative
Land Surveyor Credentials
List of Subcontractors
Closeout Procedures
Record Documents

3.2 ENGINEER'S REVIEW

A. Reference Section 00800-SC-7.16 E. 2 for information regarding the Engineer's review of Contractor submittals.

CERTIFICATE OF COMPLIANCE

Date _____ 20____

WE, _____

(Manufacturer, Supplier, or Contractor)

Address: _____

HEREBY CERTIFY THAT _____

(Type of Product)

(Product Trade Name)

Manufactured by _____

Supplied by: _____

Furnished to _____

Contractor (Prime or Sub.)

Delivered and Used on:

_____ Project Name

_____ State No.

_____ Federal No.

Used for Item No. _____

Name of Item

MEETS THE REQUIREMENTS OF THE PERTINENT PROJECT PLANS, SPECIAL PROVISIONS AND SPECIFICATIONS OF THE NEW HAMPSHIRE DEPARTMENT OF TRANSPORTATION (NHDOT) IN ALL RESPECTS. PROCESSING, PRODUCT TESTING AND INSPECTION CONTROL OF RAW MATERIALS ARE IN CONFORMANCE WITH ALL APPLICABLE SPECIFICATIONS, DRAWINGS AND STANDARDS OF ALL ARTICLES FURNISHED.

All records and documents pertinent to this certificate and not submitted herewith will be maintained available by the undersigned for a period of not less than three years from the date the Project has been completed and accepted.

Signed by _____ Title _____

Subscribed and sworn to before me this ____ day of _____,

Notary Public/Justice of the Peace

My Commission Expires: _____

TO BE COMPLETED BY CONTRACTOR. Location information the QPL	
Bridge Items	Roadway Items
Bridge No.: _____	Station: _____

END OF SECTION

SECTION 01400
QUALITY CONTROL

PART 1 GENERAL

1.1 SECTION INCLUDES

- A. Quality assurance - control of installation.
- B. Tolerances.
- C. References and standards.
- D. Mock-up.
- E. Material testing and laboratory services.
- F. Observation Services.
- G. Manufacturers' field services.

1.2 RELATED SECTIONS

- A. Section 01300 - Submittals: Submission of manufacturers' instructions and certificates.
- B. Section 01600 - Material and Equipment: Requirements for material and product quality.

1.3 QUALITY ASSURANCE - CONTROL OF INSTALLATION

- A. Monitor quality control over suppliers, manufacturers, Products, services, site conditions, and workmanship, to produce Work of specified quality.
- B. Comply with manufacturers' instructions, including each step-in sequence.
- C. Should manufacturers' instructions conflict with Contract Documents, request clarification from Engineer before proceeding.
- D. Comply with specified standards as minimum quality for the Work except where more stringent tolerances, codes, or specified requirements indicate higher standards or more precise workmanship.
- E. Perform Work by persons qualified to produce required and specified quality.

- F. Verify that field measurements are as indicated on shop drawings or as instructed by the manufacturer.
- G. Secure Products in place with positive anchorage devices designed and sized to withstand stresses, vibration, physical distortion, or disfigurement.

1.4 TOLERANCES

- A. Monitor fabrication and installation tolerance control of Products to produce acceptable Work. Do not permit tolerances to accumulate.
- B. Comply with manufacturers' tolerances. Should manufacturers' tolerances conflict with Contract Documents, request clarification from Engineer before proceeding.
- C. Adjust Products to appropriate dimensions; position before securing Products in place.

1.5 REFERENCES AND STANDARDS

- A. For Products or workmanship specified by association, trade, or other consensus standards, comply with requirements of the standard, except when more rigid requirements are specified or are required by applicable codes.
- B. Conform to reference standard by date of issue current on date of Contract Documents, except where a specific date is established by code.
- C. Obtain copies of standards where required by product specification sections.
- D. Neither the contractual relationships, duties, or responsibilities of the parties in Contract nor those of the Engineer shall be altered from the Contract Documents by mention or inference otherwise in any reference document.

1.6 MOCK-UP

Not Used.

1.7 MATERIAL TESTING AND LABORATORY SERVICES

- A. Owner or Engineer may appoint, employ, and pay for specified services of an independent firm to perform construction material testing services.
- B. The independent firm will perform testing and other services specified in individual sections and as required by the Owner or Engineer.

- C. Testing reports will be submitted by the independent firm to the Owner or Engineer indicating services and indicating compliance or non-compliance with the contract documents.
- D. Cooperate with independent firm; furnish safe access and assistance by incidental labor as requested.
 - 1. Notify Engineer and/or independent firm 48 hours prior to expected time for operations requiring services.
- E. All additional testing or re-testing necessitated by the failure of initial tests as determined by the Engineer shall be conducted and paid for by the Contractor as directed by the Engineer.
 - 1. The Contractor shall take immediate corrective measures as suggested by the testing laboratory and/or directed by the Engineer to make the materials meet or exceed the specifications.
 - 2. Payment for additional testing or re-testing will be charged to the Contractor by deducting charges from the total contract sum/price.

1.8 OBSERVATION SERVICES

- A. Owner may appoint, employ, and pay for specified services of an independent firm to perform construction observation.
- B. The independent firm will perform observations and other services specified in individual specification sections and as required by the Owner.
- C. Reports will be submitted by the independent firm to the Owner, in duplicate, indicating observations and indicating compliance or non-compliance with Contract Documents.
- D. Contractor shall cooperate with independent firm; furnish safe access and assistance by incidental labor as requested.
 - 1. Notify Engineer and/or independent firm 48 hours prior to expected time for operations requiring services.
- E. Observations do not relieve Contractor to perform Work to contract requirements.

1.9 MANUFACTURERS' FIELD SERVICES

- A. When specified in individual specification sections, require material or Product suppliers or manufacturers to provide qualified staff personnel to observe site conditions, conditions of surfaces and installation, quality of workmanship, as applicable, and to initiate instructions when necessary.

- B. Submit qualifications of observer to Engineer 30 days in advance of required observations. Observer subject to approval of Engineer.
- C. Report observations and site decisions or instructions given to applicators or installers that are supplemental or contrary to manufacturers' written instructions.
- D. Refer to Section 01300 - SUBMITTALS, MANUFACTURERS' FIELD REPORTS article.

PART 2 PRODUCTS

Not Used.

PART 3 EXECUTION

Not Used.

END OF SECTION

SECTION 01500
CONSTRUCTION FACILITIES AND TEMPORARY CONTROLS

PART 1 GENERAL

1.1 SECTION INCLUDES

- A. Temporary Utilities: telephone/fax service, water, and sanitary facilities.
- B. Temporary Controls: Barriers, enclosures and fencing, protection of the Work, water control and erosion controls.
- C. Construction Facilities: Access roads, parking, progress cleaning and project signage.

1.2 RELATED SECTIONS

- A. Section 01700 - Contract Closeout: Final cleaning.

1.3 TEMPORARY ELECTRICITY

- A. Contractor shall coordinate with the local electrical supplier to arrange for temporary electrical service as required for the project. Costs included in Item 692., Mobilization.

1.4 TEMPORARY LIGHTING FOR CONSTRUCTION PURPOSES

Not Used.

1.5 TEMPORARY HEATING

Not Used.

1.6 TEMPORARY COOLING

Not Used.

1.7 TEMPORARY VENTILATION

Not Used.

1.8 TELEPHONE SERVICE

- A. Provide, maintain, and pay for cell phone service with voicemail assigned to the Contractor's foreman at the time of project mobilization.

1.9 FACSIMILE SERVICE

Not Used.

1.10 TEMPORARY WATER SERVICE

- A. Provide, maintain, and pay for suitable quality water service required for construction operations at time of project mobilization.
- B. Contractor will pay cost of water used. Exercise measures to conserve water. Provide separate metering if obtaining from local water utility and reimburse Owner for cost of water used.
- C. Extend branch piping with outlets located so water is available by hoses with threaded connections. Provide temporary pipe insulation to prevent freezing.

1.11 TEMPORARY SANITARY FACILITIES

- A. Provide and maintain required facilities and enclosures. Provide at time of project mobilization.
- B. At end of construction, return facilities to same or better condition as originally found.

1.12 BARRIERS

- A. Provide barriers to prevent unauthorized entry to construction areas and to protect existing facilities and adjacent properties from damage from construction operations and demolition.

1.13 WATER CONTROL

- B. Grade site to drain. Maintain excavations free of water. Provide, operate, and maintain pumping equipment.
- C. Protect site from puddling or running water. Provide erosion control barriers as required to protect site from soil erosion.

1.14 PROTECTION OF INSTALLED WORK

- D. Protect installed Work and provide special protection where specified in individual specification sections.
- E. Provide temporary and removable protection for installed Products. Control activity in immediate work area to prevent damage.
- F. Prohibit traffic or storage upon waterproofed or roofed surfaces. If traffic or activity is necessary, obtain recommendations for protection from waterproofing or roofing material manufacturer.

- G. Prohibit traffic from landscaped areas.

1.15 SECURITY

- A. Provide security and facilities to protect Work, and existing facilities, and Owner's operations from unauthorized entry, vandalism, or theft.

1.16 ACCESS ROADS

- A. Construct and maintain temporary roads accessing public thoroughfares to serve construction area.
- B. Provide and maintain access to fire hydrants, free of obstructions.
- C. Provide means of removing mud from vehicle wheels before entering streets.
- D. Designated existing on-site roads may be used for construction traffic at the discretion of the Engineer.

1.17 PARKING

- A. Construction personnel shall park within the project limits so as not to obstruct local traffic and construction activities.

1.18 PROGRESS CLEANING AND WASTE REMOVAL

- A. Maintain areas free of waste materials, debris, and rubbish. Maintain site in a clean and orderly condition.
- B. Collect and remove waste materials, debris, and rubbish from site daily and dispose off-site.

1.19 FIELD OFFICES AND SHEDS

Not Used.

1.20 REMOVAL OF UTILITIES, FACILITIES, AND CONTROLS

- A. Remove temporary utilities, equipment, facilities and materials, prior to Final Application for Payment.
- B. Clean and repair damage caused by installation or use of temporary work.
- C. Restore existing and permanent land and facilities used during construction to original condition. Restore permanent facilities used during construction to specified condition.

1.21 DUST CONTROL

A. GENERAL

1. The Contractor shall furnish all labor, materials, tools and equipment necessary to apply water on roads or traveled surfaces within the construction site when directed by the Engineer and/or as necessary to control dust. Calcium chloride will not be allowed to be used on this project due to the potential for contaminating nearby surface water or groundwater.
2. When dust control is not included as a separate item in the Contract, the work shall be considered incidental to the appropriate items of the Contract.

B. PRODUCTS

1. Water for sprinkling shall be clean, free of salt, oil and other injurious materials.

C. EXECUTION

1. Water shall be applied by equipment approved by the Engineer. As a minimum it shall consist of a tank, a spray bar and a gauge equipped pump. Water shall be dispersed through nozzles at a minimum pressure of 20 psi.

1.22 ADVERSE CONDITIONS

A. NIGHT WORK

1. Work after dark will not be permitted except under extreme emergency, or only under special directions, and only if permitted by the Engineer.
2. Whenever the Contractor finds it necessary or expedient to do work at night, such night work shall be performed by the Contractor without additional or extra cost to the Owner, and only with the Owner's approval. The Contractor shall provide all lights required for the proper and expeditious carrying on of any work.
3. The placing of concrete shall be started early enough in the daylight hours to insure completion of the section under construction before dark.

B. WEATHER CONDITIONS

1. No work shall be done when the weather is unsuitable. The Contractor shall take necessary precautions (in the event of impending storms) to protect all work, materials or equipment from damage or deterioration due to floods, driving rain, or wind and snowstorms. The Owner reserves the right, through the opinion of the Engineer, to order that additional protective measures over and beyond those proposed by the Contractor, be taken to safeguard all components of the project.

The Contractor shall not claim any compensation for such precautionary measures so ordered, nor claim any compensation from the Owner for damage to the work from weather elements.

2. The mixing and placing of concrete or pavement courses, the laying of masonry, and installation of sewers and water mains shall be stopped during rain storms; all freshly placed work shall be protected by canvas or other suitable covering in such manner as
to prevent running water from coming in contact with it. Sufficient coverings shall be provided and kept ready at hand for this purpose. The limitations and requirements for mixing and placing concrete, or laying of masonry, in cold weather shall be as described elsewhere in these specifications.

1.23 POLICING

A. GENERAL

1. When, in the opinion of the Owner, or the Engineer, public safety or convenience requires the services of police, the Engineer may direct the Contractor to request the local police department to assign uniformed officers to direct traffic within the location of work under the Contract.
2. When so directed, the Contractor shall make all arrangements in obtaining police assistance and shall pay all police officers. The police shall, at all times, be subject to the direction and control of the Contractor.
3. The intent is to ensure public safety by police direction of traffic. Police are not to serve as watchmen to protect the Contractor's equipment and materials, or to warn pedestrians of such hazards as open trenches.
4. Nothing contained herein shall be construed as relieving the Contractor of any of his responsibilities for protection of persons and property under the terms of the Contract, or for providing necessary traffic control including signs, barricades, or flagmen as required in Section 01500 of these specifications.

1.24 FENCING

Not Used.

1.25 EXTERIOR ENCLOSURES

Not Used.

1.26 INTERIOR ENCLOSURES

Not Used.

END OF SECTION

**SECTION 01600
MATERIAL AND EQUIPMENT**

PART 1 GENERAL

1.1 SECTION INCLUDES

- A. Products.
- B. Transportation and handling.
- C. Storage and protection.
- D. Product options.
- E. Substitutions.

1.2 RELATED SECTIONS

- A. Document 00200 - Instructions to Bidders: Substitute and "or equal" items procedures.
- B. Section 01400 - Quality Control: Quality Assurance - Control of Installation.

1.3 PRODUCTS

- A. Do not use materials and equipment removed from existing premises, except as specifically permitted by the Contract Documents.
- B. Provide interchangeable components of the same manufacture for components being replaced.

1.4 TRANSPORTATION AND HANDLING

- A. Transport and handle Products in accordance with manufacturer's instructions.
- B. Promptly inspect shipments to ensure that Products comply with requirements, quantities are correct, and Products are undamaged.
- C. Provide equipment and personnel to handle Products by methods to prevent soiling, disfigurement, or damage.

1.5 STORAGE AND PROTECTION

- A. Store and protect Products in accordance with manufacturers' instructions.
- B. Store with seals and labels intact and legible.

- C. Store sensitive Products in weather tight, climate controlled, enclosures in an environment favorable to Product.
- D. For exterior storage of fabricated Products, place on sloped supports above ground.
- E. Provide bonded off-site storage and protection when site does not permit on-site storage or protection.
- F. Cover Products subject to deterioration with impervious sheet covering. Provide ventilation to prevent condensation and degradation of Products.
- G. Store loose granular materials on solid flat surfaces in a well-drained area. Prevent mixing with foreign matter.
- H. Provide equipment and personnel to store Products by methods to prevent soiling, disfigurement, or damage.
- I. Arrange storage of Products to permit access for inspection. Periodically inspect to verify Products are undamaged and are maintained in acceptable condition.

1.6 PRODUCT OPTIONS

- A. Products Specified by Reference Standards or by Description Only: Any Product meeting those standards or description.
- B. Products Specified by Naming One or More Manufacturers: Products of manufacturers named and meeting specifications, no options or substitutions allowed.
- C. Products Specified by Naming One or More Manufacturers with a Provision for Substitutions: Submit a request for substitution for any manufacturer not named in accordance with the following article.

1.7 SUBSTITUTIONS

- A. Engineer will consider requests for Substitutions only within thirty (30) days after date of Owner-Contractor Agreement.
- B. Substitutions may be considered when a Product becomes unavailable through no fault of the Contractor.
- C. Document each request with complete data substantiating compliance of proposed Substitution with Contract Documents.
- D. A request constitutes a representation that the Contractor:

1. Has investigated proposed Product and determined that it meets or exceeds the quality level of the specified Product.
2. Will provide the same warranty for the Substitution as for the specified Product.
3. Will coordinate installation and make changes to other Work which may be required for the Work to be complete with no additional cost to Owner.
4. Waives claims for additional costs or time extension which may subsequently become apparent.
5. Will reimburse Owner and Engineer for review or redesign services associated with reapproval by authorities.

E. Substitutions will not be considered when they are indicated or implied on shop drawing or product data submittals, without separate written request, or when acceptance will require revision to the Contract Documents.

F. Substitution Submittal Procedure:

1. Submit three (3) copies of request for Substitution for consideration. Limit each request to one proposed Substitution.
2. Submit shop drawings, product data, and certified test results attesting to the proposed Product equivalence. Burden of proof is on proposer.
3. The Engineer will notify Contractor in writing of decision to accept or reject request.

PART 2 PRODUCTS

Not Used.

PART 3 EXECUTION

Not Used.

END OF SECTION

**SECTION 01700
CONTRACT CLOSEOUT**

PART 1 GENERAL

1.1 SECTION INCLUDES

- A. Closeout procedures.
- B. Final cleaning.
- C. Adjusting.
- D. Project record documents.
- E. Operation and maintenance data.
- F. Spare parts and maintenance Products.
- G. Warranties and bonds.
- H. Maintenance service.

1.2 RELATED SECTIONS

- A. Section 01500 - Construction Facilities and Temporary Controls: Progress cleaning.

1.3 CLOSEOUT PROCEDURES

- A. Submit written certification that Contract Documents have been reviewed, Work has been inspected, and that Work is complete in accordance with Contract Documents and ready for Engineer's review.
- B. Provide submittals to Engineer that are required by governing or other authorities.
- C. Submit final Application for Payment identifying total adjusted Contract Sum, previous payments, and sum remaining due.

1.4 FINAL CLEANING

- A. Execute final clean up and restoration of all disturbed areas prior to final project assessment.
- B. Clean site; sweep paved areas, rake clean landscaped surfaces.
- C. Remove waste and surplus materials, rubbish, and construction facilities from the site.

1.5 ADJUSTING

Not Used.

1.6 PROJECT RECORD DOCUMENTS

A. Maintain on site one set of the following record documents; record actual revisions to the Work:

1. Drawings.
2. Specifications.
3. Addenda.
4. Change Orders and other modifications to the Contract.
5. Reviewed Shop Drawings, Product Data, and Samples.
6. Manufacturer's instruction for assembly, installation, and adjusting.

B. Ensure entries are complete and accurate, enabling future reference by Owner.

C. Store record documents separate from documents used for construction.

D. Record information concurrent with construction progress.

E. Specifications: Legibly mark and record at each Product section description of actual Products installed, including the following:

1. Manufacturer's name and product model and number.
2. Product substitutions or alternates utilized.
3. Changes made by Addenda and modifications.

F. Record Drawings and Shop Drawings: Legibly mark each item to record actual construction including:

1. Measured depths of footing in relation to finish ground or brook elevation.
2. Measured horizontal and vertical locations of underground utilities and appurtenances, referenced to permanent surface improvements.
3. Field changes of dimension and detail.
4. Details not on original Contract drawings.

G. Submit documents to Engineer with claim for final Application for Payment.

1.7 OPERATION AND MAINTENANCE DATA

Not Used.

1.8 SPARE PARTS AND MAINTENANCE PRODUCTS

Not Used.

1.9 WARRANTIES AND BONDS

- A. Provide duplicate notarized copies.
- B. Execute and assemble transferable warranty documents from Subcontractors, suppliers, and manufacturers.
- C. Provide Table of Contents and assemble in three D side ring binder with durable plastic cover.
- D. Submit prior to final Application for Payment.
- E. For items of Work delayed beyond date of Substantial Completion, provide updated submittal within ten (10) days after acceptance, listing date of acceptance as start of warranty period.

1.10 MAINTENANCE SERVICE

- A. Furnish service and maintenance of all work items indicated in the Contract Documents for one year from date of Substantial Completion.

PART 2 PRODUCTS

Not Used.

PART 3 EXECUTION

Not Used.

END OF SECTION

TECHNICAL SPECIFICATIONS

All work shall be in accordance with the City of Rochester Standards of Infrastructure Design last revised August 2018 and the State of New Hampshire, Department of Transportation NHDOT Standard Specifications for Road and Bridge Construction, approved and adopted in March 2016 (Standard Specifications). The City of Rochester Standards shall govern when standards are provided in both documents.

The NHDOT specifications are hereby amended as follows:

1. Delete Division 100-General Provisions in its entirety, with the exception of the following changes:
 - Section 101-Definitions and Terms ○ **Retain** subsections 101.01,101.02, 101.06, 101.09, 101.10, 101.14 through 101.18, 101.21, 101.23, 101.35 through 101.37, 101.44, 101.46, 101.47, 101.51, 101.52, 101.54 through 101.56, 101.58, 101.59, 101.61, 101.66 through 101.69, 101.71 through 101.73, 101.77, 101.79, 101.82 through 101.88, 101.90 through 101.102, 101.104, 101.106 through 101.112, 101.115 through 101.117.
 - Section 104-Scope of Work ○ **Retain** subsection 104.03 only and:
 - ✦ **Replace** the reference to “105.02” with Standard General Condition Article 7.16 in the fourth sentence of the first paragraph.
 - ✦ **Delete** the third to last sentence and last sentence of the first paragraph.
 - ✦ **Delete** subsections B, C, and D.
 - ✦ **Replace** “Department” with “Owner” in subsection A.
 - Section 105-Control of the Work ○ **Retain** subsections 105.03 through 105.07 and 105.10 through 105.15 only. ○ **Delete** the second sentence in the second paragraph of 105.04 which references Section 104.02 in its entirety.
 - **Delete** “...104.03...” from the last sentence in 105.14. ○ **Replace** “...as provided for in 109.04.” at the end of the second sentence of the second paragraph of 105.10 with “...in accordance with Article 13 of the Standard General Conditions of the of the Construction Contract.” ○ **Replace** 105.02 with Section 01300 Submittals.
 - Section 106-Control of Material ○ **Retain** subsections 106.01, 106.02, 106.04 through 106.07, 106.09 through 106.10.
 - **Delete** the second sentence in 106.06.
 - Section 107-Legal Relations and Responsibility to Public ○ **Retain** subsections 107.01 through 107.10 and 107.15 through 107.17.
 - Section 108-Prosecution and Progress ○ **Replace** 108.07 with Standard General Conditions Articles 4.05 and 11.05.
 - Section 109-Measurement and Payment ○ **Retain** subsections 109.01 and 109.11 only. ○ **Delete** the 25th paragraph referencing “rental of equipment....” of 109.01.
 - **Replace** 109.04 with Standard General Conditions Article 11.

2. Substitute "Greenman-Pedersen, Inc." for "Engineer", "Department", "State", "Bureau of Bridge Design", "Bureau of Materials and Research" or "NHDOT Compliance Review Officer" throughout the specifications.

All applicable portions of Sections 201 through 699 from the NHDOT Standard Specifications (English Units) apply to this Project, unless modified by Supplemental Specifications or Special Provisions in this document.

The NHDOT Specifications are periodically supplemented with updates posted on the NHDOT website at: <https://www.nh.gov/dot/org/projectdevelopment/highwaydesign/specifications/supplementals/index2016.htm>.

All applicable supplemental specifications for sections 201 through 699 available at the time that the bid is due will be considered part of this contract specification.

The NHDOT Standard Plans for Road and Bridge Construction are also considered a part of this contract.

These lists are not all inclusive and do not relieve the Contractor from complying with any or all NHDOT specifications or plans referred to by the contract documents or referred to by sections of the NHDOT specifications that apply. It is the contractor's responsibility to obtain copies of these specifications and plans. These plans may also be downloaded, free of charge, from the NHDOT website at <http://www.nh.gov./dot/org/projectdevelopment/highwaydesign/standardplans/index.htm>

NHDOT Standard Specifications for Road and Bridge Construction and NHDOT Standard Plans for Road and Bridge Construction may be purchased from NHDOT, Records Section, 1 Hazen Drive, P.O. Box 483, Concord, NH 03302-0483, Phone No. 603-271-3514. These specifications may also be downloaded, free of charge, from the NHDOT website at <http://www.nh.gov./dot/org/projectdevelopment/highwaydesign/specifications/index.htm>

END OF SECTION

SPECIAL ATTENTIONS

The following Special Attentions are to be used in conjunction with the NHDOT Standard Specifications and are herein made a part of the Contract Documents and apply to this project:

Special Attentions

Description

Notice of Supplemental Specifications	SA-2
Errata Sheet	SA-6
New Hampshire Department of Employment Security Employment of New Hires	SA-10
Invasive Species	SA-11
Qualified Products List	SA-12
Standard Specifications for Road and Bridge Construction, Standard Plans for Road Construction & Bridge Detail Sheets	SA-13

07/27/20

SSD: 04/14/16, 05/11/16, 06/02/16, 09/15/16, 01/04/17, 02/01/17, 04/06/17, 06/09/17, 04/02/18, 05/21/18,
07/06/18, 11/07/18

SPECIAL ATTENTION

THIS PROJECT IS TO BE BID AND CONSTRUCTED UNDER THE 2016 STANDARD SPECIFICATIONS FOR ROAD AND BRIDGE CONSTRUCTION

NOTICE OF SUPPLEMENTAL SPECIFICATIONS

The following table is a list of all of the Supplemental Specifications that have been adopted as additions or revisions to the *Standard Specifications for Road and Bridge Construction*, **March 2016** Edition as of the date of this Proposal. The Bidder is responsible to examine each item to determine its effect, if any, upon the Contract.

Note: Due to the limited scope of some projects, not all Supplemental Specifications will be included in all Proposals. All Supplemental Specifications are available on-line: www.nh.gov/dot/org/projectdevelopment/highwaydesign/specifications/.

Section	Description	Revision	Previous Revision Date	Current Revision Date
<i>DIVISION 100</i>				
101	Definitions and Terms	101.79 – Revises Frequency of QPL Updates (06/06/17) 101.116-119 – Revises Definitions of Weather Days and Working Days (04/02/18)	06/06/17	04/02/18
106.04	Qualified Products List	Revises Frequency of Updates		06/06/17
107.01	Legal Relations and Responsibility to Public	107.01 – Revises References to DES Rules and Regulations		07/06/18
108.09	Prosecution and Progress	108.09 – Amends the Requirements for Liquidated Damages		07/06/18
109.04	Differing Site Conditions, Changes and Extra Work	Revises Rental Rate Blue Book Online Requirements (04/02/18)	01/06/12	04/02/18
<i>DIVISION 200</i>				
211.3.4	Vibration Monitoring	Adds reference to pre- and post-construction survey requirements		04/05/17
<i>DIVISION 300</i>				
<i>DIVISION 400</i>				
401	Plant Mix Pavements - General	2.5.1 - Adds winter binder to the design control points (04/05/17) 2.10 – No greater than 1% TRB (06/06/17) 3.4.1 – Revises Cold Feeder Requirements (07/06/18) SA-2	07/06/18	11/07/18

		<p>3.4.7.1 – Revises Recycled Materials Weighing Procedures (07/06/18)</p> <p>3.4.11 - 3.4.15 – Describes Introduction of Recycled Materials at a Batch Plant and Controls Minimum Dry Time for Recycled Aggregates (07/06/18)</p> <p>3.5.2 & 3.5.2.1 – Revises Recycled Materials Requirements (07/06/18)</p> <p>3.10.10.1 – Removes penalty for failing tack (06/06/17)</p> <p>3.12 – Allows a reduction in use of pneumatic-tired rollers (06/06/17)</p> <p>3.17.1.3 - Revise NETTCP QA Technologist requirements (11/07/18)</p> <p>3.17.3.1.1 – Revises HMA gradation specification limits, completes addition of winter binder, removes allowance for Aim change after two sub-lots (06/06/17)</p> <p>4.1.1 – Removes reference to Night Items (06/06/17)</p>		
403.1.3	Pavement Item Numbers	<p>Removes all references to Night Items and removes “percent wear” items. (06/06/17)</p> <p>Total overhaul of Item Numbers and Descriptions to allow for type of lift in item description. (07/27/20)</p>	06/06/17	07/27/20
410	Bituminous Surface Treatment	<p>2.1- Adopts new AASHTO Specifications for Emulsions (04/13/16)</p> <p>3.4.1.1 – Revises pavement conditions, application rate for tack (01/04/17)</p> <p>2.1.1, 2.1.2, 3.2, 3.3, 3.4 – Identifies tack sampling and penalties for non-conformance (06/06/17)</p> <p>3.2 & 3.5.2 – Amends Distribution Equipment and Initiates an Annual Tack Truck Inspection Program (07/06/18)</p>	06/06/17	07/06/18
411.3.5.5	Pneumatic Tired Roller	<p>3.5.5 – Requires the use of pneumatic tired rollers on all Section 411 paving (06/06/17).</p> <p>5.1.1 – Ensures Tack Used for PMST and Leveling Course is a Pay Item (07/06/18)</p>	04/02/18	07/06/18

417	Rumble Strip Inlay	2.1 & 3.7 – Specifies PMST as the asphalt inlay to fill in rumble strips		04/02/18
<i>DIVISION 500</i>				
520	Portland Cement Concrete	3.8.1.1 – Revises the acceptable concrete delivery temp to 90° F (04/02/18) 3.1.6.2.1.2 A - Revise NETTCP QA Technologist requirements (11/07/18)	04/02/18	11/07/18
530	Waterproofing Concrete Surfaces	Deletes Section 530		05/21/18
538	Barrier Membrane	3.3.5 – Updates the laydown temperature range.		09/15/16
563	Bridge Fence	2.8 – Allows aluminum ties for attaching bridge fence		09/15/16
568	Structural Timber	2.2, 3.4.4 & 3.4.5 – Adds specific references to AWWA Standards & wooden piles		04/02/18
582	Preformed Joint Filler	2.4 – Revises Preformed Joint Filler Requirements		04/02/18
<i>DIVISION 600</i>				
603	Plastic Pipe	2.3, 2.6 & 2.7 – Updated to include Polypropylene Pipe as well as associated UV Requirements (04/13/16) 2.13 – Adds Contractor's Option (06/02/16)	04/13/16	06/02/16
605	Plastic Pipe	2.1 & 2.2 – Updated to include Polypropylene Pipe		04/13/16
606	Guardrail	2.2 – Adds specific references to AWWA Standards & wooden piles		04/02/18
608	Detectable Warning Devices	2.6 – Updates Detectable Warning Device Requirements		04/02/18
609	Curbs	2.4.1.1 – Allows the substitution of PG 76-28 binder in lieu of fibers		04/02/18
615	Cofferdam for Sign Installation	5.1.5 – Revises payment for sheeting and shoring for sign structures		04/02/18

645	Erosion Control	<p>1.1 – Matting Section Revised and Pay Items Revised (04/02/18)</p> <p>1.1 – ‘Stabilization’ changed to ‘matting’ (02/01/17)</p> <p>Incorporates BFM, FRM and SMM into the Standard Specs (07/06/18)</p> <p>1.2.1 – Add Erosion Control Plans to furnish for SWPPP (11/07/18)</p> <p>3.1.5 – Update construction dates for allowable area of exposed, unstabilized soil (11/07/18)</p>	07/06/18	11/07/18
<i>DIVISION 700</i>				
702	Bituminous Materials	<p>Amends Table 702-1 & 702-2 (04/13/16)</p> <p>Amends Tables, and Adds test method (05/11/16)</p>	04/13/16	05/11/16

03/21/18

SSD: 03/01/16, 06/10/16, 6/11/16, 06/27/16, 08/03/16, 10/31/16, 11/28/16, 06/19/17, 11/30/17

SPECIAL ATTENTION

ERRATA SHEET

The following table is a list of corrections to the 2016 *Standard Specifications for Road and Bridge Construction*, as of the date of this Proposal.

Section	Description	Correction	Date
<i>DIVISION 100</i>			
104.03	Maintenance of Traffic	Amend 'winter work suspensions' in 104.03 to read 'Winter Suspension'.	06/07/07
<i>DIVISION 200</i>			
<i>DIVISION 300</i>			
<i>DIVISION 400</i>			
<i>DIVISION 500</i>			

Insert the following footnotes under Table 520-1A:

¹

See 3.1.6 TESTING

²

For mixes containing fly-ash, silica fume, slag, or any other pozzolanic or cementitious material, the water/cement ratio of the concrete mix shall be based on the water cementitious (cement + pozzolanic or cementitious material) ratio of the mix. This water to cementitious ratio shall not exceed those listed in Table 1A. The maximum water/cement ratios listed for Concrete Class B and T are for design purposes only.

³ Deck

Overlays.

⁴

Maximum 84 day Compressive Strength for Flowable Fill, Excavatable shall not exceed 200 psi. ⁵

These are recommended values that may be used as a starting point for a mix design that has shown ability to meet the requirements. The amount of cement shall be adjusted and fly-ash or ground granulated blast furnace slag shall be used provided the mix design meets the minimum and does not exceed the maximum compressive strength in accordance with 2.11.1.

⁶

Target values shown are for mix design approval only and are not intended for use as quality control or quality assurance requirements.

520 Classes of Concrete

06/11/16

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Page 2 of 3

Section	Description	Correction	Date
520	Classes of Concrete – Performance Requirements (QC/QA)	Amend the title of <i>Table 420-1B - Class of Concrete – Performance Requirements (QC/QA)</i> to <i>Table 520-1B - Class of Concrete – Performance Requirements (QC/QA)</i>	11/28/16
		Amend 528.2.9.1 to read: <i>Grout for shear keys shall be an approved grout as listed in Section 528A of the Qualified Products List.</i>	
528	Shear Key Grout for Butted Beams	Amend 528.2.9.2 to read: <i>For testing, 3 neat 2” cubes shall be molded and cured in accordance with AASHTO T 106 (ASTM C 109). The average compressive strength of the 3 cubes at 7 days shall be a minimum of 6000 psi.</i>	06/10/16

528	Installation of Deck Panels	Replace last sentence of 528.3.22.6.4 to read: <i>If leveling screws are used, they shall be completely removed and the holes filled with grout listed in Section 528A of the Qualified Products List prior to placement of deck concrete.</i>	06/10/16
550	PTFE Surfaces for Bearings	Amend the first sentence of 550.2.10 to read: <i>PTFE for use in expansion bearing assemblies shall be 100 percent virgin (unfilled) polytetrafluoroethylene polymer...</i>	08/03/16
550	Anchor Rods	Amend 550.3.15.4.1 to read: <i>Anchor rods shall be set in one of the following materials:</i> <i>(a) Non-shrinking, non-ferrous, cement-base grout listed in Section 550A of the Qualified Products List. This grout shall be used only when both the temperature of the masonry and the ambient temperature are kept at 40 °F or above until the grout has cured.</i> <i>(b) Sulfur.</i>	06/10/16
		Amend the first sentence of 550.3.15.4.2 to read: <i>Non-shrinking, non-ferrous, cement base grout shall be a product as included in Section 550A of the Qualified Products List.</i>	
563	Bridge Rail	Amend 4.1 to read: <i>Bridge rail, of the type specified, will be measured by the linear foot to the nearest tenth of a foot.</i>	06/27/16

DIVISION 600

606	Handrail	Amend 606.2.8.2 to read: <i>Grout for anchoring the pipe posts shall be High Strength, Impact Resistant, Non-shrink Grout as included in Section 528A of the Qualified Products List.</i>	06/10/16
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Section	Description	Correction	Date
606	Temporary Impact Attenuators	Amend in 606.2.10.2 the reference to 2.12.4 to 2.10.4.	11/28/16
	Repair of Hardened Concrete	Amend in 606.3.7.12.A the reference to <i>Fast Set Nonshrink Patching Mortar</i> to <i>Rapid-Hardening Patching Material</i> .	03/21/18

609	Curbing	Amend the 2 nd sentence of 609.2.5 to read: <i>The non-shrink, non-metallic grout shall be a product as included in Section 550A of the Qualified Products List.</i>	06/10/16
609	Curb anchors	Amend 609.3.1.5.1 to read: <i>Curb anchors shall be set and grouted using non-shrink, non-metallic grout as shown on the plans.</i>	06/10/16
621	Delineators	Add the following to the end of 621.3.1.3: <i>Grout shall be as listed in Section 550A of the Qualified Products List or as directed by the Engineer.</i>	06/10/16
632	Pavement Markings	Amend the AASHTO reference in 3.2.3.1 to read: <i>AASHTO M248 Type F</i>	
<i>DIVISION 700</i>			
707	Cement Mortar	Amend 2.3 to read: <i>Testing for impurities shall comply with AASHTO T 21. Results that are darker than the standard shall be cause for rejection, except as provided in 2.3.1.</i> Amend 2.3.1 to read: <i>Sand for mortar not conforming to 2.3 shall be tested in accordance with AASHTO T 71 and shall meet the requirements of 5.2.3 of AASHTO M 45.</i>	10/31/16

01/02/14

**NEW HAMPSHIRE DEPARTMENT OF EMPLOYMENT SECURITY
EMPLOYMENT OF NEW HIRES**

The following is a list of the local State Employment Security Offices from which the Contractor may secure the unskilled labor for this project:

Department of Employment Security
151 Pleasant Street, PO Box 159
Berlin, NH 03570-2006
Telephone: (603) 752-5500

Department of Employment Security
404 Washington Street, PO Box 180
Claremont, NH 03743-0180
Telephone: (603) 543-3111

Department of Employment Security
10 West Street, PO Box 1140
Concord, NH 03302-1140
Telephone: (603) 228-4100

Department of Employment Security
518 White Mountain Hwy.
Conway, NH 03818-4205
Telephone: (603) 447-5924

Department of Employment Security
109 Key Road
Keene, NH 03431-3926
Telephone: (603) 352-1904

Department of Employment Security
426 Union Avenue, Suite 3
Laconia, NH 03246-2894
Telephone: (603) 524-3960

Department of Employment Security
646 Union Street, Suite 100
Littleton, NH 03561-5314
Telephone: (603) 444-2971

Department of Employment Security
300 Hanover Street
Manchester, NH 03104-4957
Telephone: (603) 627-7841

Department of Employment Security
6 Townsend West
Nashua, NH 03063-1217
Telephone: (603) 882-5177

Department of Employment Security
2000 Lafayette Road
Portsmouth, NH 03801-5605
Telephone: (603) 436-3702

Department of Employment Security
29 South Broadway
Salem, NH 03079-3026
Telephone: (603) 893-9185

Department of Employment Security
6 Marsh Brook Road
Somersworth, NH 03878
Telephone: (603) 742-3600

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06/27/13

SA

SSD: 04/09/08, 3/11/11, 04/25/11

SPECIAL ATTENTION INVASIVE SPECIES

The statutory authority of NH Department of Agriculture RSA 430:55 and NH Department of Environmental Services RSA 487:16-a prohibits the spread of invasive plants listed on the NH Prohibited Species list. Construction activities should avoid impacting areas containing invasive plant species in order to avoid spreading these plants to new sites. If invasive plants cannot be avoided, then the following suggested best management practices (BMPs) should be incorporated into all projects. These BMPs have been summarized from the NHDOT manual “*Best Management Practices for Roadside Invasive Plants.*”

Earthwork:

- Minimize soil disturbance whenever possible outside the limits of excavation.
- Stabilize disturbed soils by seeding and/or using mulch, hay, rip-rap, or gravel that is free of invasive plant material.
- Materials such as fill, loam, mulch, hay, rip-rap, and gravel should not be brought into project areas from sites where invasive plants are known to occur.

Movement of equipment:

- Equipment movement should be from areas not infested by invasive plants to areas infested by invasive plants whenever possible.
- Staging areas should be free of invasive plants to avoid spreading seeds and other viable plant parts.

Removing vegetation:

- In areas where invasive plants will be impacted by construction activities, vegetation should be cut or removed prior to seed maturation (approximately August 1st).
- These invasive plants have the ability to sprout from stem and root fragments: purple loosestrife, phragmites, and Japanese knotweed. Mowing these plants should be avoided. When these plants are cut by other means, all plant material must be destroyed and extra care should be taken to avoid spreading plant fragments.
- Equipment used to cut or remove invasive plants should be cleaned at least daily, as well as prior to transport.

The NHDOT manual “*Best Management Practices for Roadside Invasive Plants*” and supporting fact sheet documents are available on line at www.nh.gov/dot/org/projectdevelopment/environment/documents.htm or through the NHDOT Records Section (603-271- 1601).

Items will be included in the contract under Sections 201 or 697 for projects that will require these control methods.

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04/27/17

SSD: 1/7/00, 3/22/00, 6/14/00, 2/8/01, 4/2/01, 1/25/02, 4/1/02, 04/15/03, 04/20/04, 05/06/05, 05/19/06,
09/17/07, 06/12/08, 03/04/09, 08/26/09, 06/28/10, 06/10/11, 04/12/12, 04/18/13, 01/02/14, 10/22/14,
01/16/15, 01/15/16, 09/12/16, 02/09/17

SPECIAL ATTENTION

QUALIFIED PRODUCTS LIST

The Qualified Products List is available online at www.nhdot.com on the *Doing Business with DOT>Contractors* webpage. A link to the Qualified Product List (QPL) is shown under the *Products and Materials* heading in the *Engineering/Technical Information* section of this webpage. The QPL is now considered a live document and periodic updates will occur. The QPL in effect on the date of project advertisement shall apply to this contract.

Products added to the QPL can be used under this contract upon issuance of the updated QPL. The Contractor shall not use the anticipated addition of a product to the QPL as a basis for use of a product. A product removed from an updated QPL can still be used under this contract unless specifically directed by the Department that the removed product shall not be used.

05/22/19

SSD: 01/02/2017, 04/20/17

SPECIAL ATTENTION

THIS PROJECT IS TO BE BID AND CONSTRUCTED UNDER THE 2010 STANDARD PLANS FOR ROAD CONSTRUCTION

NOTICE OF STANDARD PLANS

The following table is a list of all of the Standard Plans that have been adopted as additions or revisions to the *Standard Plans for Road Construction*, June 2010 Edition as of the date of this Proposal. The Bidder is responsible to examine each standard to determine its effect, if any, upon the Contract.

Note: All Standard Plans are available on-line:

www.nh.gov/dot/org/projectdevelopment/highwaydesign/standardplans/index.htm.

Note: See also Standard Plans for Road Construction List of Revisions on-line:

www.nh.gov/dot/org/projectdevelopment/highwaydesign/standardplans/documents/errata_2010_standards.pdf.

Note: See also Highway Design Detail Sheets on-line:

www.nh.gov/dot/org/projectdevelopment/highwaydesign/detailsheets/index.htm

2010 Highway Standard Plans

Standard Plan	Description	Previous Revision Date	Current Revision Date
CR-1	Granite Curb Details		06/16/10
CR-2	Curb Details		06/16/10
DL-1	Roadside Delineation	06/16/10	03/05/15
DL-2	Interchange Delineation	06/16/10	03/05/15
DL-3	Milled Rumble Strips (Shoulders)		Under Revision
DL-4	Milled Rumble Strips (Shoulders)		Under Revision

DL-5	Milled Rumble Strips (Shoulders)		Under Revision
DL-6	Milled Rumble Strips (Centerline)	06/16/10	1/25/16
DL-7	Milled Rumble Strips (Centerline)	06/16/10	1/25/16
DL-8	Milled Rumble Strips (Centerline)	06/16/10	1/25/16
DP-1	Drainage Pipe Details		06/16/10
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DR-1	Grate and Frame Details	06/16/10	8/14/15
DR-2	Grate and Frame, M.H.Cover and Pavement Depression Details	11/5/10	8/14/15
DR-3	Precast Concrete Median Barrier Drainage Details		06/16/10
DR-4	DI-DB, Underdrain Flushing Basin and Polyethylene Liner Details	06/16/10	8/14/15
DR-5	Precast Reinforced Concrete C.B., D.I. and M.H.		06/16/10
ES-1	End Sections for Corrugated Steel and Reinforced Concrete Pipes		06/16/10
EW-1	Earthwork - Muck Excavation		06/16/10
FN-1	Woven Wire Fence		06/16/10
FN-2	Chain Link Fence		06/16/10
GR-1	31" Mid-Splice Beam Guardrail Standard Section - Steel Posts and Hardware Details	06/16/10	8/19/15
GR-2	Beam Guardrail Standard Section - Steel Posts and Hardware Details	05/03/11	8/19/15
GR-2A	Beam Guardrail Standard Section - Wood Posts and Hardware Details	06/16/10	8/19/15
GR-3	Preferred Platform for Energy Absorbing Guardrail Terminal (EAGRT)		Superseded (08/19/15) - See Detail Sheets
GR-4	Alternative Platform for Energy Absorbing Guardrail Terminal (EAGRT)		Superseded (08/19/15) - See Detail Sheets

GR-5	Beam Guardrail Terminal Section Type E-2		06/16/10
GR-6	Beam Guardrail - Terminal Section Type E-2 Hardware Details		06/16/10
GR-7	Beam Guardrail - Terminal Section Type E-2 Modified 30		06/16/10
GR-8	Beam Guardrail - Terminal Section Type E-2 Modified 40		06/16/10
GR-9	Beam Guardrail - Terminal Section Type E-2 Modified 45		06/16/10
GR-10	Beam Guardrail - Terminal Unit Type G-2		06/16/10
GR-11	Beam Guardrail - Thrie Beam Double Faced (Wood Posts)	06/16/10	11/05/10
GR-12	Beam Guardrail - Thrie Beam Double Faced (Steel Posts)	11/05/10	05/03/11
GR-13	Beam Guardrail - Thrie Beam Single Faced (Wood Posts)	06/16/10	11/05/10
GR-14	Beam Guardrail - Thrie Beam Single Faced (Steel Posts)	11/5/10	05/03/11
GR-15	Precast Concrete Barrier 42" F-Shape (Double-Faced)	06/16/10	11/05/10
GR-16	Transition F-Shape Barrier	06/16/10	11/05/10
GR-17	Transition F-Shape Barrier and Guardrail (Wood Posts)	11/05/10	10/30/12
GR-18	Transition F-Shape Barrier and Guardrail (Steel Posts)	11/05/10	10/30/12
GR-19	Single Slope Barrier	11/05/10	04/03/14
GR-20	Transition Single Slope Concrete Barrier, Precast	10/30/12	04/03/14
GR-21	Transition Single Slope Concrete Barrier and Guardrail (Wood Posts)	11/05/10	10/30/12
GR-22	Transition Single Slope Concrete Barrier and Guardrail (Steel Posts)	11/05/10	10/30/12
GR-23	Portable Concrete Barrier 10 foot	06/16/10	10/03/13
HR-1	Handrail Details		06/16/10
HR-2	Concrete Bound and Steps		06/16/10
HW-1	Headwall Details		06/16/10
HW-2	Headwall Details (45° Wings)		06/16/10
HW-3	Headwall Details (2 Pipes 45° Wings)		06/16/10
MB-1	Mailbox Details	06/16/10	02/25/16

PL-1	Planting Details	02/26/10
PL-2	Planting Details	06/16/10
SL-1	Pull Boxes and Conduit Trench Detail	06/16/10
SL-2	Concrete Foundations and Light Pole Base, Type B	06/16/10

2010 Traffic Standard Plans

Standard No.	Description	Previous Revision Date	Current Revision Date
PM-1	Layout Details		02/26/10
PM-2	Tolerances for Pavement Marking Lines		02/26/10
PM-3	Divided Roadway Multiple Lanes with Entrance and Exit Ramps Striping Layout	02/26/10	11/05/10
PM-4	Divided Roadway Multiple Lanes with Entrance and Exit Ramps Striping Layout		Superseded See Detail Sheets (3/21/17)
PM-5	Divided Roadway Multiple Lanes with Entrance and Exit Ramps Striping Layout		02/26/10
PM-6	Painted Island Details		02/26/10
PM-7	Intersection Details	02/26/10	11/05/10
PM-8	Word and Symbol Lane Layout		Superseded See Detail Sheets (3/21/17)
PM-9	Pavement Marking at Minor Intersections		02/26/10
PM-10	Turning Lane Extension Details		02/26/10
PM-11	Accessible Parking Details		02/26/10
PM-12	Words and Symbols		02/26/10
PM-13	Words and Symbols		02/26/10
PM-14	Speed Zone Pavement Markings (Divided Highway)		02/26/10
PS-1	Aluminum Plank Details		02/26/10
PS-2	Aluminum Plank Details		02/26/10

PS-3	Aluminum Sheet Details	02/26/10
PS-4	Tubular/ U-Channel Post Detail	02/26/10
PS-5	Steel Beam Details (Non-Breakaway)	02/26/10
PS-6	Steel Beam Details (Non-Breakaway)	02/26/10
PS-7	Steel Beam Details (Breakaway)	02/26/10
PS-8	Steel Beam Details (Breakaway)	02/26/10
PS-9	Breakaway Mounts	02/26/10
PS-10	Breakaway Mounts	02/26/10
SG-1	Route Marker Details	02/26/10
SG-2	Regulatory Signs	02/26/10
SG-3	Regulatory Signs	02/26/10
SG-4	Regulatory Signs	02/26/10
SG-5	Regulatory Signs	02/26/10
SG-6	Regulatory Signs	02/26/10
SG-7	Warning Signs	02/26/10
SG-8	Warning Signs	02/26/10
SG-9	Warning Signs	02/26/10
SG-10	Warning Signs	02/26/10
SG-11	Warning Signs	02/26/10
SG-12	Miscellaneous Signs	02/26/10
SG-13	Informational Signs	02/26/10
SG-14	Informational Signs	02/26/10
TS-1	Traffic Signal Mast Arm Foundation - Type 1A	Superseded (03/05/18) See Detail Sheets

TS-2	Traffic Signal Mast Arm Foundation - Type 1B and 1C	Superseded (03/05/18) See Detail Sheets
TS-3	Traffic Signal Mast Arm Foundation - Type 2	Superseded (03/05/18) See Detail Sheets
TS-4	Quadrupole Loop Detector 2-4-2 Turns	02/26/10
TS-5	Rectangular Loop Detector 3 Turns	02/26/10

Work Zone Traffic Control Standard Plans

Standard	Description No.	Previous Revision Date	Current Revision Date
TC-1	Text Amendment Note Sheet	11/28/18	05/17/19
TC-2	Permanent Construction Signing	08/03/04	03/16/17
TC-3	Two-Way Traffic Lane Shift	08/03/04	03/16/17
TC-4	Bridge Rehabilitation: Stop/Yield Control	11/28/18	05/17/19
TC-5	Single Lane Shift (Divided Highway)	08/03/04	See Detail Sheets
TC-6	Lane Closure with Lane Shift for Speed Reduction (Divided Highway)	03/16/17	11/28/18
TC-7	Multi-Lane Closure (Divided Highway)	11/28/18	05/17/19
TC-8	Construction Signing for Cold-Planed Surfaces	03/16/17	11/28/18

SUPPLEMENTAL SPECIFICATIONS

The following Supplemental Specifications are to be used in conjunction with the NHDOT Standard Specifications and are herein made a part of the Contract Documents and apply to this project:

Supplemental Specifications

<u>Section</u>	<u>Description</u>	
101	Definition of Terms	SS-2
106	Control of Material	SS-3
107	Legal Relations and Responsibility to Public	SS-4
108	Prosecution and Progress	SS-5
109	Measurement and Payment	SS-7
211	Vibration Monitoring	SS-9
401	Plant Mix Pavements – General	SS-10
403	Hot Bituminous Pavement	SS-39
410	Bituminous Surface Treatment	SS-41
411	Plant Mix Surface Treatment	SS-44
417	Cold Planing of Bituminous Surfaces	SS-45
603	Culverts and Storm Drains	SS-46
645	Erosion Control	SS-49
702	Bituminous Materials	SS-52

S U P P L E M E N T A L S P E C I F I C A T I O N

AMENDMENT TO SECTION 101 – DEFINITIONS AND TERMS

The intent of the Supplemental Specification is to revise:

- *the frequency of QPL updates (06/06/17)*
- *the definitions of weather days and working days (04/01/18)*

Amend 101.79 to read:

101.79 Qualified Products List (QPL). A list of products prequalified by the Engineer as meeting the Contract requirements for specified materials to be incorporated into the Work. The list is maintained and updated by the Bureau of Materials and Research.

Amend 101.116-119 to read:

101.116 Wear. The percent of wear of aggregate as determined by the AASHTO T 96 (Los Angeles Abrasion Test). The grading shall be Grading A unless otherwise specified.

101.117 Weather Day. Days on which weather conditions beyond the Contractor’s control would prevent Work on the Controlling Activities for at least five hours with a work force consistent in size and type for the work to be performed. Should the Contractor prepare to begin work on any day on which inclement weather, or the conditions resulting from the weather, prevent the work from beginning at the usual starting time, and the crew is dismissed as a result, the Contractor will not be charged for a working day whether or not conditions change during the day and the rest of the day becomes suitable for construction operations.

101.118 Wetland. “ An area that is inundated or saturated by surface or ground water at a frequency and duration sufficient to support and that under normal conditions does support, a prevalence of vegetation typically adapted for life in saturated soil conditions. Wetlands include, but are not limited to swamps, marshes, bogs, and similar areas.” (NH Code of Administrative Rules, Env-Wt 101.113)

101.119 Winter Suspension. Winter Suspension shall be such time that the Contractor, utilizing conventional means and methods, is unable to proceed in an efficient manner with construction activity due to unfavorable weather conditions and suspends operations until such time that conditions are favorable for sustained construction activity.

101.120 Winter Work. Winter work is any work that is done in December, January, February, and March. The Contract may require winter work on all or portions of the project, in which case time will be determined as specified in 108.07 unless otherwise amended.

101.121 Work. The furnishing of all labor, materials, equipment, and incidentals necessary or convenient to the successful completion of the Project, and the carrying out of the duties and obligations imposed by the Contract.

101.122 Working Day. Any calendar day, except Saturdays, Sundays, Contract designated Holidays and Weather Days. Days in December, January, February, and March are not considered working days even if the Engineer allows the Contractor to work and the Contractor so chooses except when:

- (1) The Contract requires Winter Work;
- (2) The Contract Completion Date gets extended into this period and the weather conditions are favorable for the continuation of the remaining Work; however, should weather or site conditions change during the Winter Work period and the Contractor suspend operations as a result, Working Days will not be charged until April 1 whether or not the conditions become suitable for construction operations during the remainder of the Winter Work period.

101.123 Working Drawings. Working Drawings may be submitted for approval or documentation. See 105.02.

SUPPLEMENTAL SPECIFICATION**AMENDMENT TO SECTION 106 – CONTROL OF MATERIAL**

*The purpose of this Supplemental Specification
is to revise frequency of updates.*

Amend the last paragraph of 106.04 to read:

Products that have been prequalified by Materials and Research and are included on the Qualified Products List (QPL) may be used on projects without further testing, unless otherwise noted on the QPL, but a Certificate of Compliance for the qualified products will be required. The QPL is updated as warranted, and is available online at the Department's Website. A product that is not listed will not be used until qualified through a written request to Materials and Research. Such request should be made with sufficient lead-time to allow necessary testing or research.

SUPPLEMENTAL SPECIFICATION**AMENDMENT TO SECTION 107 – LEGAL RELATIONS AND RESPONSIBILITY TO PUBLIC**

The intent of the Supplemental Specification is to revise references to DES rules and regulations

Amend the last 3 paragraphs in Section 107.01 as follows:

The Contractor shall also protect the atmosphere from particulate and gaseous pollutants in conformance with rules promulgated by the New Hampshire Department of Environmental Services, Air Resources Division.

The Contractor's attention is called to Chapter Env-A 1000 Prevention, Abatement and Control of Open Source Air Pollution, in particular the regulations concerning open burning (Env-A 1001) and the control of fugitive dust (Env-A 1002).

The Air Resources Division may order unauthorized burning to cease and may order authorized burning creating a nuisance to cease. The order may be issued directly to the Contractor or to the Contractor through the Engineer.#

SUPPLEMENTAL SPECIFICATION**AMENDMENT TO SECTION 108 – PROSECUTION AND PROGRESS**

The purpose of this Supplemental Specification is to amend the requirements for liquidated damages.

Amend Section 108.09 to read:

108.09 Failure to Complete on Time.

For each work day that work remains uncompleted after the Contract Time, the sum specified below will be deducted from any money due the Contractor. This sum shall not be considered and treated as a penalty but as liquidated damages to defray the cost to the Department to administer the Contract including but not limited to the cost of engineering, inspection, supervision, inconvenience to the public obstruction of traffic, and interference with business due to the Contractor's failure to complete the Work on time. Any adjustment of the Contract Time for completion of the Work granted under the provisions of [108.07](#) will be considered in the assessment of liquidated damages.

In the case of a date in the Contract being given for the completion of parts, phases, or stages, the liquidated damages will be deducted for the period during which that particular work remains incomplete.

Permission for the Contractor or Surety to continue and finish work after the Contract Time and approved time extensions have elapsed shall not waive the Department's rights under the Contract.

The assessment of all or any of the liquidated damages that accrue may be terminated if the Department has determined that the Work is substantially complete and is in a condition for safe and convenient use by the traveling public.

The Work will be considered substantially complete when all necessary signing, striping, guardrail, and other safety appurtenances have been installed, and when applicable opened to the traveling public. For projects that will not be opened to the traveling public, the Contract will be considered substantially complete when it is ready for the subsequent project. This shall not be construed as a Contractual right and its application will be contingent upon the Contractor's diligence in completing the remaining items of work.

Liquidated damages shall be assessed in accordance with the following schedule:

Original Contract Amount (\$)		Daily Charge(\$)
From more than	To and including	Working Day
0	750,000	850
750,000	2,000,000	1280
2,000,000	5,000,000	1700
5,000,000	10,000,000	2550
10,000,000	20,000,000	3400
20,000,000	20,000,000+	4250

Should the Contractor elect to work on Saturdays, Sundays, holidays, or days from December 1st, to April 1st, inclusive, after the Contract Completion Date, the Contractor will be charged liquidated damages for such days worked.

When the Contract Time is on a calendar date basis, the schedule for calendar date shall be used. When the Contract time is on a working day basis, the schedule for working days shall be used.

When Acceptance has been made by the Engineer as prescribed in [105.17](#), the daily charge will no longer be assessed.

Should the amount of money otherwise due the Contractor be less than the amount of such liquidated damages, the Contractor and the Surety shall be liable to the State for such deficiency.

The Engineer has the right to deduct the amount of anticipated liquidated damages against the Contractor from any estimated payment for Work performed under the Contract; or to claim and recover such sums by process of law. Review of anticipated Contract completion and potential liquidated damages will commence when 80% of the original Contract Time has elapsed.

SUPPLEMENTAL SPECIFICATION

AMENDMENT TO SUBSECTION 109 – MEASUREMENT AND PAYMENT

The purpose of this Supplemental Specification is to amend the Rental Rate Blue Book for Construction Equipment requirements.

Amend 109.04.4.4 to read:

109.04.4.4 Equipment and Plant.

For any Contractor-owned machinery or special equipment (other than small tools), the use of which is approved by the Engineer, the hourly rate will not exceed that determined from the Rental Rate Blue Book online at “equipmentwatch.com” used in the following manner:

- a. The hourly equipment rental rate R will be determined by formula as follows:

$$R = (A \times B \times C) + D$$

Where A = Monthly rate divided by 176. The listed weekly, hourly, and daily rates will not be used.

B = Regional adjustment factor for New Hampshire.

C = Model year adjustment for the year of equipment manufacture.

D = Estimated operating costs per hour.

This formula is equal to the **FHWA Rate** that is shown in the Rental Rate Blue Book at “equipmentwatch.com”.

- b. The number of hours to be paid for will be the number of hours that the equipment or plant is actually used on a specific Force Account activity and, in addition, shall include the time required to move the equipment to the location of such Force Account activity and return it to the original location or to another location requiring no more time than that required to return it to its original location, except that moving time will not be paid for if the equipment is used during the move on work other than the specific Force Account activity.
- c. The “Rate Effective Date” to be selected online will be the actual date that the work was performed.
- d. Overtime shall be charged at the same rate indicated in subparagraph (a) above.
- e. The estimated operating costs per hour will be used for each hour that the equipment or plant is in operation on the Force Account work. Operating costs are not reimbursable for the time the equipment is idle.
- f. The maximum rental period to be paid for per day shall not exceed eight hours unless the equipment operates for eight or more hours.
- g. If equipment is idled solely due to the responsibility of the Department, then the Contractor may be compensated for such idle equipment at 50% of the rate defined in “A” above (monthly rate divided by 176).
- h. The rates established above shall include the cost of fuel, oil, lubrication, supplies, small tools, necessary attachments, repairs, overhauls, and maintenance of any kind, depreciation, storage, field and home office overhead, profits, insurance, and all incidentals.

The Contractor shall provide the Engineer with the following: the manufacturer’s name, equipment type, year of manufacture, model number, type of fuel used, horsepower rating, attachments required, together with their size or capacity, and any further information necessary to ascertain the proper rate. Unless otherwise specified, manufacturer’s ratings and manufacturer approved modifications shall be used to classify equipment for the determination of applicable rental rates. Equipment which has no direct power unit shall be powered by a unit of at least the minimum rating recommended by the manufacturer. The Contractor is not required to purchase an online subscription, as the equipment rental rates will be provided by the Department.

Equipment used by the Contractor shall be in good working condition and shall be of suitable size and suitable capacity required for the work to be performed. The rate for the basic equipment with the appropriate attachments shall include only the rate for the combined equipment necessary to perform the Extra Work. In case the Contractor

elects to use equipment of a higher rental value than that suitable for the work, payment will be made at the rate applicable to the suitable equipment. The equipment actually used and the suitable equipment to be paid for will be recorded as a part of the record for Force Account work. The Engineer will determine the suitability of the equipment. If there is a differential in the rate of pay of the operator of oversize or higher rate equipment, the rate paid for the operator will likewise be that for the suitable equipment.

Payable time periods will not include:

- (1) time elapsed while equipment is inoperative due to breakdowns,
- (2) time spent repairing equipment, or
- (3) time elapsed 24 hours after the Engineer has advised the Contractor that the equipment is no longer needed.

If a piece of equipment is needed that is not listed in the above stated rental rate guide, a rate will be established by the Engineer in writing before the equipment is used. The Contractor may furnish any cost data which might assist the Engineer in the establishment of such rate.

If the Contractor does not own a specific type of equipment or if the Department orders the Contractor to utilize a specific type of equipment and the equipment must be obtained by rental, the Contractor shall inform the Contract Administrator of the need to rent the equipment and of the rental rate for that equipment before using it on the work. Provided that the rate is reasonable, the Contractor will be paid the actual rental cost for the equipment for the time that the equipment is actually used to accomplish the work, plus the cost of moving the equipment onto and away from the job. A 5 percent mark-up will be added to the actual rental cost, provided the total cost does not exceed the *Rental Rate Blue Book for Construction Equipment* rate (in accordance with 109.04.4.4(a)). The Contractor shall provide a copy of the paid receipt or canceled check for the rental expense incurred.

Transportation charges for each piece of equipment, whether owned or rented, moved to and from the site of the work will be paid provided:

- (1) the equipment is obtained from the nearest approved source,
- (2) the return charges do not exceed the delivery charges,
- (3) haul rates do not exceed the established rates of licensed haulers,
- (4) charges are restricted to those units or equipment not already available and not on or near the Project, and
- (5) equipment is not used elsewhere on the project.

SUPPLEMENTAL SPECIFICATION**AMENDMENT TO SECTION 211 – VIBRATION MONITORING**

The purpose of this Supplemental Specification is to add the reference to the requirements for pre-construction and post-construction surveys.

Replace 3.4 to read:

3.4 Pre-construction and post-construction condition surveys. The Contractor shall conduct a pre-construction condition survey on all structures, including swimming pools and mobile homes, within 150 feet of the anticipated sources of construction related vibrations. A pre-construction survey shall also be conducted on structures that may be affected by construction related vibrations beyond the 150 foot distance as directed by the Engineer or as contained in the approved Vibration Monitoring Plan. The pre-construction surveys shall not be initiated until the Vibration Monitoring Plan has been approved. Upon completion of all construction operations that are a source of construction related vibrations, the Contractor shall conduct a post-construction condition survey of all structures for which a complaint of damage has been received or a damage claim has been filed. Notification of the post-construction survey shall be given to all interested parties, so they may be present during the survey. A copy of all pre-construction condition surveys shall be provided to the Engineer prior to the start of work. A copy of all post-construction condition surveys conducted shall be provided to the Engineer upon completion of the survey. Perform the surveys in accordance with of 203.3.3.3.2 and 203.3.3.3.3.

S U P P L E M E N T A L S P E C I F I C A T I O N

AMENDMENT TO SECTION 401 – PLANT MIX PAVEMENTS – GENERAL

This Supplemental Specification is a rewrite of Section 401 to remove redundancies and inconsistencies due to many revisions throughout the years. Previous supplemental specifications thus far have been incorporated.

Description

1.1 These specifications include general requirements that are applicable to all types of plant mix asphalt pavements irrespective of the gradation of aggregate, kind and amount of asphalt binder, or pavement use. Deviations from these general requirements will be indicated in the specific requirements for each type.

1.2 These specifications provide for the use of reclaimed asphalt pavement material in certain specified mixtures.

1.3 This work shall consist of the construction of one or more courses of asphalt pavement constructed on a prepared foundation in accordance with these specifications and the specific requirements of the type under Contract. The work shall be in reasonably close conformance with the lines, grades, thickness, and typical cross-sections shown on the plans, within the tolerances specified or established by the Engineer.

1.4 These specifications provide for both method and quality control/quality assurance (QC/QA) specification work. Sections under the heading Performance Requirements (QC/QA) are applicable on QC/QA items only. Sections marked Method Requirements are applicable for non-QC/QA items and those portions of QC/QA items that are not measured for pay adjustment. All sections under the heading General are for use with all items.

1.5 Performance Requirements (QC/QA).

1.5.1 The work will be accepted under Performance Requirements (QC/QA) provisions in accordance with these Specifications and the applicable requirements of [Section 106](#).

- (a) The QC/QA Tier 1 item is to be used on specified projects that are on new locations, interstate projects, full depth reconstruction projects in rural areas, or on reclamation projects in rural areas.
- (b) The QC/QA Tier 2 item is to be used on specified projects that are inlay type projects, full depth reconstruction projects with maintenance of traffic phasing, projects with intersecting streets, projects with pavement tapers, bridge projects with short approach paving, projects where there are many manhole/drainage structures or driveways (generally in urban and suburban areas).

QUALITY/PAY FACTORS TO BE ASSESSED

	Tier 1	Tier 2
Asphalt Content and Gradation	X	X
Cross Slope	X	
Density	X	X
Ride Quality	X	
Thickness	X	

Materials

2.1 Aggregates – General.

2.1.1 Aggregates shall be uniform quality durable pebbles or fragments of rock, with or without sand or other inert finely divided mineral aggregate. All material shall be free from clay balls, organic matter, deleterious substances, and an excess of flat or elongated pieces as specified in ASTM D 4791. Washing will not be required, except when aggregate plants do not produce clean material by the dry process method. In order to obtain uniformity of color and appearance of the pavement throughout the project, the aggregate for all the surface mixes shall be obtained from the same material source. Sufficient material shall be on hand prior to starting daily operations to ensure uninterrupted processing for the working day.

2.1.2 Fine aggregate shall consist of sound durable particles of sand, crushed stone, or a combination thereof. Stone screening shall be produced from stone at least equal in quality to that specified for coarse aggregate.

2.1.2.1 Fine aggregate may be 100 percent manufactured aggregate.

2.1.3 Mineral filler shall conform to AASHTO M 17 except that 100 percent shall pass the No 16 sieve, waiving the requirement for the No. 30 sieve.

2.1.4 Coarse aggregate shall be crushed stone or crushed gravel and shall have a percentage of wear as determined by AASHTO T 96 of not more than 45 percent unless otherwise specified by Contract item. In each stockpile, not less than 50 percent by weight of the particles retained on the No. 4 sieve shall have at least one fractured face. Stockpiles consisting of a blend of crushed stone and crushed gravel will be permitted so long as the overall consistency of the stockpile is reasonably maintained and the lesser portion of coarse aggregate material does not exceed 10 percent of the total. This percentage shall be determined on the portion of the total sample by weight that is retained on the No. 4 laboratory sieve.

2.2 Bituminous Materials – General.

2.2.1 Bituminous materials used for asphalt cement binder shall meet the properties specified in AASHTO M 320. The grade of asphalt cement binder to be used will be specified in a Special Provision contained in the Proposal. Asphalt cement shall not be air blown or contain any form of used, recycled or re-refined oil.

2.2.1.1 The unit bid price for hot bituminous pavement containing failing asphalt binder shall be assessed a 10% reduction for one temperature grade below the specified high temperature grade or one temperature grade above the specified low temperature grade. The penalty will be applied to all tonnage produced with the non-compliant binder. When the binder failure is non-compliant by two grades or more, as described above, the Contractor shall be required to remove and replace all non-compliant material at the Contractor's expense, or at the Engineer's discretion, may be allowed to leave the tonnage in place at a unit price reduction of 50%.

2.2.2 Liquid binder samples shall be obtained by plant personnel in the presence of the Inspector/Technician. Samples shall be obtained during each day's production.

2.2.3 Producers and suppliers of asphalt binders shall comply with the requirement of AASHTO R 26. Asphalt binder suppliers shall have a quality control plan approved by the Bureau of Materials and Research that complies with AASHTO R 26.

2.2.3.1 All suppliers of PG binder shall certify that the PG binder supplied for use on Department projects does not contain used, recycled or re-refined oil.

2.3 Approval of Materials - Method Requirements.

2.3.1 At least five working days in advance of the date of starting operations, the Bureau of Materials & Research may request that representative samples of all materials proposed for use be submitted for testing.

2.4 Composition of Mixtures - General.

2.4.1 Hot bituminous pavement shall be composed of a mixture of aggregate, filler if required, and asphalt binder. The several aggregate fractions shall be sized, uniformly graded, and combined in such proportions that the resulting mixture meets the grading requirements of the job mix formula. The Contractor shall use the Volumetric Mix Design Method in AASHTO Standard Practice R 35 as modified herein.

2.4.2 The Contractor shall have the option of utilizing asphalt pavement removed under the Contract, if any, or old asphalt pavement from an existing stockpile or supplying all new materials for the production of asphalt pavement or any combination of the foregoing. If the job mix formula uses recycled materials, the mix shall meet the requirements of Reclaimed Asphalt Pavement as specified in 2.9.

2.4.3 The Department allows the use of recycled binder in mix designs, up to 1.0% Total Reused Binder (TRB), without any change in asphalt binder requirements as long as the mix design meets all volumetric mix design criteria. When a design has been completed using the maximum allowable percentage of TRB, one point verifications may be performed using decreasing percentages of TRB. If the design is not validated using a decreased amount of TRB, a new design will be required.

2.5 Job Mix – General.

2.5.1 When a new volumetric mix design is required, the Contractor shall use the Volumetric Mix Design Method in AASHTO Standard Practice R 35 to develop a mix that meets the associated design criteria. The Mix design shall follow the procedure detailed in AASHTO with the following exceptions: Amend Table 1 Superpave Gyrotory Compaction Effort to read as follows:

Design ESALs (Million)	N initial	N design	N max
0 < 5	6	50	75
≥ 5	7	75	115

Add the following:

Minimum Binder Content

50 Gyration		75 Gyration
	3/8"	6.0%
5.8%	1/2"	5.5%
5.5%	Winter Binder 3/4"	5.2%
4.9%	3/4"	4.6%
4.6%	1"	4.3%

This required minimum asphalt content is based on the use of aggregate with a specific gravity of 2.65 to 2.70. The minimum asphalt content requirement may be adjusted when aggregate with a higher specific gravity is used, or the minimum may be adjusted at the discretion of Materials and Research if it is believed to be in the best interest of the Department.

Amend Table 4 in AASHTO M 323, referenced in AASHTO R 35, to read as follows:

Table 401-1 –Design Control Points*

Standard	Nominal Maximum Aggregate Size											
	1"		3/4"		3/4" Winter Binder		1/2"		3/8"		No. 4	
Sieves	Max.	Min.	Max.	Min.	Max.	Min.	Max	Min.	Max.	Min.	Max.	Min.
Inch	Percentage by Weight Passing Criteria (Control Points)											
2												
1-1/2		100.0										
1	100.0	90.0		100.0		100.0						
3/4	90.0		100.0	90.0	100.0	90.0		100.0				
1/2			90.0		90.0		100.0	90.0		100.0		
3/8							90.0		100.0	90.0	100.0	99.0
No. 4									90.0		97.0	90.0
No. 8	45.0	19.0	42.0	32.0	48.0	38.0	52.0	42.0	56.0	46.0	75.0	65.0
No. 16											55.0	45.0
No. 30											36.0	26.0
No. 50											30.0	20.0
No. 100											13.0	8.0
No. 200	7.0	1.0	8.0	2.0	8.0	2.0	10.0	2.0	10.0	2.0	8.0	4.0

All mix designs shall be submitted to the Department for verification and approval.

* Superpave designs will be accepted through the restricted zone, pending verification and approval by the Bureau of Materials & Research. The Contractor shall submit compaction data from trial blends at the optimum asphalt content and at 0.5% below and above the optimum asphalt content. The data shall include the temperature at which the hot bituminous pavement was aged.

2.5.1.1 All 25 mm base course mixes shall be designed using the 50 gyration N_{design} .

2.5.2 The Design Information shall include:

- (a) Asphalt Binder
- (b) PG Test Data
- (c) Specific Gravity
- (d) Laboratory Mix/Compaction Temperature
- (e) Aggregate

- (f) Dry and Washed Gradation
- (g) Bulk and Apparent Specific Gravity
- (h) All appropriate consensus properties
- (i) Blends
- (j) Baghouse material from the plant shall be incorporated into the mix design. The amount of baghouse material should be based on estimated usage or experience.
- (k) Moisture susceptibility according to AASHTO T 283.

Along with the design information, Materials & Research (M&R) requires 2 quarts of the designated asphalt binder, 4 pre-blended aggregate specimens for gyratory and 2 pre-blended aggregate specimens, suitable for AASHTO T-209 when mixed with the appropriate asphalt, in order to verify the design. M&R will accept the mix design based on the submitted information meeting the mix requirements and on verification of the mix volumetrics of the submitted specimen. If the verification samples indicate voids between 3.0 and 5.5 percent, and the Voids in Mineral Aggregate (VMA) and Voids Filled with Asphalt (VFA) fall within the specified limits, then the design will be accepted. Once accepted, the approved mix design is the job mix formula (JMF). If the voids are outside the aforementioned range or the VMA or VFA are outside the specified limits, the design will be rejected. M&R may elect to verify the design again.

2.5.3 The proposed mix designs and materials shall be submitted to the Engineer a minimum of 20 working days before placement for approval. It shall be the responsibility of the Contractor to ensure all approved mix designs have been entered into the plant automation system before production begins. The Contractor will also be required to post a copy of the JMF in the DOT testing laboratory.

2.5.4 Whenever the aggregate properties change enough to negate the project's existing design, a new design shall be submitted.

2.5.5 If it becomes necessary to change the asphalt binder grade or the source of aggregate, a new mix design shall be developed. Up to 14 calendar days will be required to evaluate a change. Approved changes in target values will not be applied retroactively for acceptance or payment. If it becomes necessary to change the source of asphalt binder, the Contractor must submit recent quality test results from the manufacturer for the asphalt binder including a temperature viscosity curve.

2.5.6 The Contractor shall perform a single point verification of an existing project mix design at the beginning of a new construction season to determine if the design remains valid. If the design is validated, the data from the single point verification shall be submitted to the Department. If the design cannot be validated, a new design shall be developed.

2.5.7 The Bureau of Materials and Research may require the use of certain chemical additives.

2.5.8 The laboratory performing the design shall be approved by the Department. To obtain the Department's approval, a laboratory must demonstrate that it is equipped, staffed, and managed so as to be able to produce job mix formulas and test hot asphalt mix in accordance with these Specifications. Approval for each laboratory shall remain in effect for a period of one year.

2.6 Method Requirements.

2.6.1 Stockpiled coarse aggregate shall meet the requirements of Table 401-2.

Table 401-2 -- Percent Passing

Sieve Size	Base Mix 1-1/2"	Binder Mix 3/4"	Surface Mix 1/2"	Surface Mix 3/8"
1-1/2"	100			
1-1/4"	90.0 - 100			
1"	50.0 - 85.0	100		
3/4"	10.0 - 50.0	90.0 - 100	100	
1/2"		15.0 - 55.0	90.0 - 100	100
3/8"			20.0 - 60.0	95.0 - 100
# 4				22.0- 55.0
No. 8	0 - 5.0	0 - 5.0	0 - 10.0	0 - 10.0

2.6.2 After the job mix formula (JMF) is established, all mixtures furnished for the project shall conform within the following ranges of tolerances:

Passing No. 4 and larger sieves	±7.0 percent
Passing No. 8 No. 100 sieves (inclusive)	±4.0 percent
Passing No. 200 sieve	±1.0 percent
Asphalt binder	±0.4 percent
Temperature of mixture	± 20 °F (11 °C)

2.6.3 When Non-compliant test results or other conditions make it necessary, it shall be the responsibility of the Contractor to make all adjustments required to ensure the mix conforms to the JMF.

If two consecutive non-compliant results occur, the Engineer may stop production until satisfactory corrective action has been taken. A 5% reduction in unit price will be assessed to all tonnage represented by consecutive gradation failures and a 10% reduction will be assessed to all tonnage represented by consecutive asphalt binder content failures. At the Engineer's discretion, the Contractor may be required to remove non-compliant material (no payment will be made for this material or its removal).

Contractor quality control personnel will not be required to be on site during production of non-quality control projects, but contract information shall be posted in the testing lab.

2.7 Plant Mix Surface Treatment - General.

2.7.1 The general composition limits given in Table 411-1 indicate target value ranges of mixtures permissible under Section 411. The job mix formula shall lie within the target value ranges indicated for the particular type of hot asphalt mix.

2.8 Bridge Pavement Bases Course – General.

2.8.1 Bridge pavement base course shall be 3/8" surface mix.

2.9 Non-modified Asphalt Binder – General.

2.9.1 Non-modified asphalt binder shall contain silicone additive with the concentration being 3 parts per million plus or minus 1 part per million of silicone to asphalt binder, unless otherwise directed. Silicone additive shall be in liquid form and have a viscosity of 1,000 centipoises (1 Pas) at 77 °F. Asphalt binder containing silicone shall meet the requirements of 401.2.2

2.10 Allowed Recycled Materials – General.

2.10.1 Reclaimed asphalt pavement (RAP) may be used in the production of hot bituminous pavement. The allowed dust to asphalt ratio shall be as identified in AASHTO M 323. The maximum allowable total reused "asphalt" binder (TRB) in hot bituminous mixes shall be 1.0%. Any changes in the combination of recycled materials shall require a new mix design unless otherwise approved by the Bureau of Materials & Research.

2.10.2 Reclaimed Asphalt Pavement (RAP).

2.10.2.1 RAP shall consist of recycled asphalt pavement and shall be processed by crushing, cold milling, or other approved sizing techniques approved by the Bureau of Materials and Research to meet the required gradation specifications. The mixture of RAP and new aggregate shall meet the requirements specified in Table 401-1 for aggregate gradation. The RAP shall be tested every 1,000 tons for gradation and asphalt binder content as a stockpile is being built. These test results shall remain on file by the Contactor until such time as the entire RAP stockpile has been utilized.

2.10.2.2 The PG grade of added asphalt shall be as specified by the Bureau of Materials and Research. The aggregate component of the RAP shall meet the requirements of 401.2.1. The bitumen component of the RAP shall be asphalt cement and shall be free of significant contents of solvents, tars, and other volatile organic compounds or foreign substances that will make the RAP unacceptable for recycling as determined by the Bureau of Materials and Research.

2.10.2.3 RAP materials may be rejected if deemed unsuitable for any reason or require an increase or decrease in the mix asphalt content. The Contractor shall submit representative samples, and gradation and asphalt cement content test results of the RAP to be incorporated into the Recycled Mixture for approval by the Bureau of Materials and Research at least 30 calendar days prior to the start of paving.

2.11 Asphalt Modifiers - General.

2.11.1 The generic type of each asphalt binder admixture, modifier and/or additive shall be identified on the certificate of analysis, which shall be furnished by the manufacturer for each load of asphalt delivered. Modifiers shall be pre-blended with the asphalt binder.

2.11.2 Asphalt binder modification to produce high-strength mix shall utilize either a styrene-butadiene or a styrene-butadiene-styrene polymer to achieve the specified performance grade of asphalt. The Section 401 contract Special Provision specifying the asphalt binder grade shall also identify the AASHTO test method by which the binder grade shall be determined. The modified binder shall be pre-blended, storage-stable and homogeneous.

2.11.3 The use of Warm Mix Technologies will be permitted in mix production. Qualified technologies are listed on the Qualified Warm Mix Asphalt (WMA) Technologies List.

2.12 Pavement Joint Adhesive - General. Pavement Joint Adhesive shall be a product listed on the [Qualified Products List](#).

Construction Requirements

3.1 Mixing Plants - General.

3.1.1 Coarse aggregates shall be furnished in at least two nominal sizes for mix types containing top size aggregates of 1/2" and larger.

3.1.2 RAP shall be fed into the plant by equipment specifically designed for recycling and approved by the Bureau of Materials and Research. In addition, all requirements pertaining to aggregates shall apply to RAP. Scalping screens, grizzlies, or similar devices shall be installed on the RAP feed bin(s) to remove any debris or other foreign materials in excess of 2". If a drum mix plant is used, the RAP shall be fed into the drum so that it will not come in direct contact with the burner flame. Mixing of RAP with the new aggregate shall occur before the bituminous material introduction point. The final mix produced shall be visually free from any chunks of RAP.

3.1.3 Plants shall be approved at least five days prior to operations and will be capable of maintaining an adequate supply of mixture to the project.

3.1.4 The site shall have ample storage space for the required separate bins, stalls, or stockpiles to allow delivery of uncontaminated sized aggregates to the feeder. To prevent spillage from one pile or bin to the next, aggregate assigned to different stockpiles shall be separated by bulkheads or other satisfactory means.

3.1.5 Stockpiles of coarse aggregate produced for use in drum mix plants having top size aggregates greater than 3/4" shall be constructed in layers not to exceed 4 ft.

3.1.6 All blending of aggregates shall be accomplished through separate bins at the cold elevator feeders and not in stockpiles.

3.1.7 The plant shall be provided with a dust collector or collectors, designed to waste or return uniformly to the hot elevator all or part of the material collected, as directed. All plants shall have adequate covers and housing as may be necessary to ensure the proper collection of dust and the general cleanliness of the plant operation. The Contractor shall comply with all State and Federal environmental regulations.

3.1.8 Mixing plants shall conform to AASHTO M 156. An efficient dust collecting system shall be provided to prevent the loss of fine material. The material collected may be returned to the mixture at a uniform rate or discarded.

3.1.9 Safety Requirements for Inspection

3.1.9.1 Adequate and safe stairways to the mixer platform shall be provided, and guarded ladders to other plant units shall be located where required for accessibility to plant operations.

3.1.9.2 All gears, pulleys, chains, sprockets, and other dangerous moving parts shall be thoroughly guarded and protected.

3.1.9.3 Ample and unobstructed space shall be provided on the mixing platform. The plant operator shall have a clear and unobstructed view of the plant operations.

3.1.9.4 A platform shall be located in close proximity to the inspector's laboratory for the purpose of easily obtaining samples of the mixture from the trucks.

3.1.9.5 When the plant is to be operated in other than daylight hours, adequate lighting shall be provided in all areas frequented by the inspector during his normal routine. Specific areas to be illuminated include the truck loading zone and sampling location. A light or lights shall also be located so as to allow the clear observance of the truck body lubrication operation.

3.1.10 Scheduling Inspection Personnel

3.1.10.1 The Contractor shall notify the Bureau of Materials and Research at least three working days in advance of starting paving operations to allow sufficient time to schedule required plant inspection personnel. When paving bridge decks that have barrier membranes, this notice shall include the name of the membrane product so that the mix temperature may be established.

3.1.11 Access to Production Facilities

3.1.11.1 The Engineer shall have access at any time to all parts of the plant for inspection of the conditions and operations of the plant, for confirmation of the adequacy of the equipment in use, for verification of proportions and character of materials, and for determination of temperatures being maintained in the preparation of the mixtures. The Contractors shall provide a suitable building, room, or trailer for exclusive use by the DOT Technician as a testing laboratory in which to house and use the testing equipment. Laboratories shall be in an approved location, with one laboratory provided for each plant.

3.1.12 Field Laboratories

3.1.12.1 Field laboratories shall meet the following minimum requirements:

Size:	Laboratory shall consist of a minimum of 200 ft ² of floor space, laid out to accommodate shelves, benches, desk, equipment and personnel movement.
Windows:	Two, with locks and screens, providing cross ventilation.
Doors:	One, with lock and screen.
Electrical:	Adequate lighting and power outlets.
Air Conditioner:	Unit size shall be as recommended for size of the facility.
Heat:	Thermostatically controlled to maintain a minimum temperature of 68°F (20°C).
Weatherproofing:	Roof, sides, and floor shall be maintained weatherproof at all times.
Appurtenances:	a) An exhaust fan and hood over the extractor. The hood shall be large enough to cover the extractor. The fan shall be a high-volume axial-flow fan, at least 10" in diameter, and of sufficient capacity to vent the fumes adequately. b) Free wall space of at least 12 ft ² ; or a bulletin board of equal area for posting notices and job mix formulas. c) Suitable shelves and benches. Bench space shall be approximately 24" wide by 36" high. There shall be a minimum total length of 19 ft of bench space.

3.1.12.2 The following office furnishings and testing equipment shall be provided:

- (a) Electronic balance with tray, at least 300 oz net capacity, sensitive to 0.003 oz.
- (b) Desk and chair in good working condition.
- (c) Set of U.S. Standard brass sieves, each sieve being 12" in diameter and 1- 1/2" high. The set shall consist of one each of the following sizes: 1- 1/2", 1-1/4", 1", 3/4", 1/2", 3/8", No. 4, No. 8, No. 16, No. 30, No. 50, No. 100, No. 200, with pan and cover.
- (d) Motor driven shaker for 12" diameter sieves. Shaker shall meet the following requirements: Rotating turntable, tilt to 45-degree angle and have hammers to tap each sieve during operation.
- (e) Motor driven centrifuge extractor, 100 oz capacity with variable speed up to 3600 rpm, with filter rings and non-toxic solvent approved by the Bureau of Materials and Research.
- (f) Tachometer readily available to check the speed of the extractor.
- (g) Automatic timer with interval of 0 to 30 minutes.
- (h) Bristle brush for cleaning No. 200 sieve.
- (i) Brass brush for cleaning 8" diameter sieves.
- (j) Five pans or bowls, approximately 4" high, 15" round or square.
- (k) Spatula, large spoon, garden trowel, measuring scoop, and 1-quart pitcher.
- (l) Fire extinguisher, minimum five pound dry chemical.

- (m) Desk brush and floor broom.
- (n) Sample splitter (riffle type), chute width 1- 1/2 to 2”
- (o) Microwave oven when drum mix plant is used.
- (p) Minimum of one metal sample pail for each hot bin.
- (q) Lavatory with toilet (See 698.3.1.4) and wash basin, unless approved otherwise.
- (r) Water, hot and cold, and water suitable for drinking. (Fountain style will be acceptable).
- (s) Telephone with private line.
- (t) Drying oven, minimum of 3.5 ft³.*
- (u) Equipment sufficient to perform AASHTO T 209.*
- (v) Water-cooled diamond saw capable of cutting 6” road cores.
- (w) High Speed Internet Connection - Each laboratory (on State-bid projects) will be provided with bi-directional Internet access having a minimum data rate of 256K bps.
- (x) Wheelbarrow when a drum mix plant is used.

*All ovens other than microwaves shall be vented to the outside.

3.1.12.3 All of the foregoing testing equipment shall be in good condition and shall be replaced or repaired by the Contractor if, during the duration of the project, it becomes unsuitable for testing purposes. Testing equipment shall be calibrated by the Contractor in accordance with 106.03. The above mentioned equipment is for operation of a single plant.

3.2 Storage of Asphalt Binder – General.

3.2.1 Tanks for storage of asphalt binder shall be of minimum 10,000-gallon capacity and equipped for heating the material under effective and positive control at all times, to the temperature requirements set forth in the specifications for the paving mixture. Heating shall be accomplished by steam or oil coils, electricity, or other means such that no flame shall come in contact with the heating tank.

3.2.2 A complete system providing for continuous circulation of the asphalt binder between the storage tank and the proportioning units shall be employed. The discharge end of the circulating pipe shall be maintained below the surface of the asphalt binder in the storage tank to prevent discharging the hot asphalt binder into the open air.

3.2.3 The Contractor shall provide an in-line valve that is conveniently located between the storage tank and the mixing plant. The valve shall be installed in such a manner that samples may be withdrawn from the line slowly at any time during plant operation. A drainage receptacle shall be provided for flushing the outlet prior to sampling.

3.3 Control of Asphalt Binder – General.

3.3.1 Satisfactory means either by weighing or metering shall be provided to obtain the proper amount of bituminous material in the mix within the tolerance specified. Means shall be provided for checking the quantity or rate of flow of bituminous material into the mixer as follows:

- (a) Metering devices for asphalt binder shall indicate accurately to within 1.0 percent the amount of asphalt binder delivered. The section of the asphalt binder flow line between the charging valve and the spray bar shall be provided with a three-way valve and outlet whereby the quantity delivered by the meter may be checked by actual weight. The valve controlling the flow of asphalt binder to the mixer shall close tightly to prevent asphalt binder from leaking into the pug mill during the mixing cycle. The meter shall be constructed so that it may be locked at any dial setting to 0.1 gal and will automatically reset to this reading after the addition of asphalt binder to each batch. The dial shall be in full view of the mixer operator. The size and spacing of the spray bar openings shall provide a uniform application of asphalt binder the full length of the mixer in a thin uniform sheet or in multiple sprays.
- (b) If a bucket is used for weighing the asphalt binder, the bucket shall be of sufficient capacity to hold and weigh the amount required for a batch in a single weighing. The filling system and bucket shall be of such design, size, and shape that asphalt binder will not overflow, splash, or spill outside the confines of the bucket during filling and weighing. The filling system and bucket shall be so arranged as to deliver the asphalt binder in a thin uniform sheet or in multiple sprays over the full length of the mixer. The time required to add the asphalt binder shall be not more than 15 seconds.

- (c) Asphalt binder scales shall conform to the requirements for aggregate scales as specified in 3.4.10. Beam type scales shall be equipped with a tare beam or adequate counter-balance for balancing the bucket and compensating periodically for the accumulation of asphalt binder on the bucket.

3.3.2 Suitable means shall be provided, by either steam or oil jacketing or insulation, for maintaining the specified temperatures of the asphalt binder in the pipelines, meters, weigh buckets, spray bars, and other containers or flow line.

3.4 Batching Plants – General.

3.4.1 All aggregate shall be delivered by belt driven feeders. All feeders shall provide for adjustment of the cold feed and shall be capable of being secured in any position. The cold feeder for recycled materials shall be equipped with an oversize particle scalper.

3.4.2 Dryers shall continuously agitate the aggregate during the heating and drying process without leaving any visible unburned oily residue on the aggregate when it is discharged from the dryer. If unusually wet aggregate is being used, the input to the dryer shall be reduced to that amount which the dryer is capable of drying. Aggregates shall be free from coatings of dust after drying.

3.4.3 Plant screens shall be constructed and operated in such manner that all aggregates will be uniformly separated into the sizes required for proportioning. They shall have sufficient capacity to furnish the necessary quantity of each aggregate size required for continuous operation. Screen cloth that has become broken or has worn sufficiently to affect the gradation shall be replaced.

3.4.4 Thermometric equipment shall be provided as follows:

- (a) An armored thermometer of suitable range shall be fixed in the asphalt binder feed line at a suitable location near the discharge at the mixer unit.
- (b) The plant shall be further equipped with an approved thermometer, pyrometer, or other approved thermometric instrument that continuously indicates the temperature of the heated aggregate at the discharge chute of the dryer.

3.4.5 Hot bins shall consist of at least four separate aggregate compartments. One compartment shall be reserved for fine aggregate, and when required, one additional compartment shall be added for dry storage of mineral filler. Alternate bin systems may be utilized with prior approval from the Department. Provision shall be made for accurate proportioning. Each compartment shall contain the following features:

- (a) Sufficient volume to supply the mixer at full rated capacity.
- (b) An overflow pipe that shall be of such size and at such a location as to prevent any backing up of material into other bins or into contact with the screen. Overflow apparatus shall be equipped with a telltale device that alerts the operator and the inspector when the overflow equipment is full.
- (c) Adequate telltale devices to indicate the position of the aggregate in the bins at the lower quarter points.
- (d) Gates that cut off quickly and completely with no leakage.
- (e) Adequate and convenient facilities including safe platforms for obtaining representative samples from each bin.

3.4.6 Weigh boxes shall be of sufficient size to hold the maximum required weight of aggregate for one batch without hand raking or running over. The weigh box shall be supported on fulcrums and knife edges so constructed that they remain in alignment or adjustment. All parts of the weigh box shall be free from contact with any supporting rods, columns, or other equipment that affects the proper functioning of the hopper or scale. Gates on both bins and weigh hopper shall be constructed to prevent leakage when closed.

3.4.7 Aggregate scales for any weigh box or hopper shall be of standard make and design and shall be accurate to 0.5 percent of the indicated load. The weight shall be indicated on a digital display. Scales shall be substantially constructed and shall be installed in such a manner as to be free from vibration. The display shall be in full view of the operator, and the numerals shall be of such a size that the inspector can easily read them. If the digital display is so located that it is not easily accessible to the inspector, a duplicate display will be required for exclusive viewing by the inspector. The job mix formula target weights shall continuously be part of the digital display during plant operations. The digital scale weight indications shall be displayed adjacent (in juxtaposition) to each target weight for easy comparison to the job mix formula. It shall be the responsibility of the Contractor to ensure that all scales are tested and sealed according to provisions as shown in the National Institute of Standards and Technology Handbook

44, at least on an annual basis. The work shall be accomplished by a competent commercial scale company prior to the start of the construction season. Scales shall be re-tested prior to use, after they have been moved. The Contractor shall have readily available at least ten standard 50 lb. weights, for checking the scales during operations.

3.4.7.1 Recycled materials weighed separately from the materials in the virgin weigh hopper shall be weighed on a dedicated scale with digital display at the accuracy described in 3.4.7.

3.4.8 The batch mixer shall be of an approved pug mill type, hot oil or steam jacketed, or heated by other approved means and capable of producing uniform mixtures within the specified tolerances. The mixer shall have a batch capacity of not less than 4,000 lb. and be constructed so as to prevent leakage during the mixing cycle. The amount of material that may be mixed per batch shall not exceed the manufacturer's rated capacity. If the mixer does not mix properly at the rated capacity, or if its production does not coordinate with the other plant units, the Department reserves the right to reduce the size of the batch until the desired efficiency is obtained. The pug mill shall be equipped with a sufficient number of paddles operated at such speed as to produce a properly and uniformly mixed batch. If, in the course of mixing, two adjacent paddle tips become broken, immediate repair will be called for. If the paddle tips become broken at widely separated points, repair may be delayed until the end of the working day. The clearance of the tips from all fixed and moving parts shall not exceed 3/4". Badly worn or defective tips shall not be used in mixing operations. The mixer shall be covered to prevent loss of fine material. The discharge gate shall be so designed that no uncoated material is retained at the gate opening during the mixing operation. Leakage from the pug mill gate during operation will not be permitted.

3.4.9 Each plant shall be equipped with an accurate time lock to control the operations of a complete mixing cycle. A mixing cycle shall consist of two periods, the dry mixing period and the wet mixing period. The dry mixing period shall be the interval of time between the opening of the aggregate weigh hopper gate and the start of the application of asphalt binder. The wet mixing period shall be the interval of time between the start of the application of asphalt binder and the opening of the mixer gate. The time lock shall be capable of being set at intervals of five seconds or less throughout the mixing cycle and shall have a suitable case equipped with an approved lock. The setting of time intervals shall be performed in the presence and under the direction of the Engineer who may lock the case until such time as a change is to be made in timing periods. The time lock shall lock the asphalt binder bucket throughout the dry mixing period and shall lock the mixer gate throughout the dry and wet mixing period.

3.4.10 The use of a fully automatic batching plant will be required and shall meet the following requirements:

- (a) The automatic proportioning controls shall include equipment for accurately proportioning batches of the various components of the mixture by weight in the specified sequence and for controlling and timing the mixing operation. Interlocks shall be provided that delay, stop, or lock out the automatic batch cycling whenever the batched quantity of any component weight or the total batch is not within the specified weight tolerance, or when there is a malfunction in any portion of the control system.
- (b) The automatic control for each batching scale system shall be equipped with a device for stopping the automatic cycle in the underweight check position and in the overweight check position for each material so that the tolerance setting may be checked.
- (c) Each dial scale system shall be equipped with a removable dial puller that can be attached to the dial lever system so that the dial can be moved smoothly and slowly through its range to check the settings of the automatic control system. The plant operator shall perform this automatic control system checkout procedure periodically as requested by the Engineer.
- (d) The weigh batching controls shall meet the following tolerances for the various components weighed in each batch:

Component Weighed	Percentage of Total Batch Weight
Tare weight of aggregate weigh box	±0.5
Tare weight of asphalt binder weigh bucket	±0.1
Each aggregate component	±1.5
Mineral filler	±0.5
Asphalt	±0.1

- (e) The total weight of the batch shall not vary by more than ±2.0 percent of the designated batch weight.

- (f) Recording equipment shall be provided in all plants employing automatic proportioning. Each recorder shall include an automatic printer system. The printer shall be positioned so that the scale reading and the printer can be readily observed from one location by the plant inspector. The printer shall produce, in digital form, a weight slip conforming to the requirements of 109.01 and 401.3.8.1.
- (g) If at any time the automatic proportioning or recording system becomes inoperative, the plant will not be allowed to operate.

3.4.11 Each size of hot aggregate, the mineral filler if required, recycled material if applied, and the bituminous cement shall be measured separately and accurately to the proportions in which they are to be mixed.

3.4.12 The virgin aggregate shall be dried and heated to a minimum temperature of 260° F. The asphalt binder shall be heated to a temperature between 260° and 325° F. The weigh hopper shall be charged with the hot aggregate, coarse sizes first, unless otherwise directed.

3.4.13 Virgin Aggregates shall be dry mixed for 5 to 15 seconds.

3.4.14 Recycled materials can only be introduced to the weigh hopper or to the mixer.

3.4.14.1 Recycled materials that are introduced in the weigh hopper shall be dry mixed per 3.4.13.

3.4.14.2 When recycled materials are delivered to the mixer separately from the virgin aggregates, wet mixing time shall not begin until all recycled material is introduced to the mixer and is moisture free. The duration shall be determined based on field/plant conditions, and by agreement of the Contractor and Engineer.

3.4.15 The asphalt binder shall be added and the mixing continued until a uniform coating is obtained and all particles of the aggregate are thoroughly coated. The total dry and wet cycle shall not be less than 35 seconds for base and binder mixtures and not less than 40 seconds for the surface mix. In no case shall the total mixing period exceed 75 seconds. If the aggregate in the hot bins contains sufficient moisture to cause foaming in the mixture, such aggregate shall be removed from the bins, and production rate shall be reduced so as not to exceed the capacity of the dryer. Material having once gone through the mixing plant shall not be returned to the stockpiles.

3.5 Drum Mix Plants – General.

3.5.1 The plant shall be specifically designed for the process and shall be capable of satisfactorily heating, drying, and uniformly mixing the bituminous material and aggregate in accordance with the job mix formula. The rate of flow through the drum shall be controlled in order that a homogeneous mixture is obtained with all particles uniformly coated. In no case shall the quantity of mix produced exceed the manufacturer's rated capacity. If the percent of moisture in the mixture exceeds 1.0 percent by weight, the right is reserved to decrease the rate of production. The plant shall be equipped with automatic burner controls.

3.5.2 The cold bins shall be divided in at least five compartments and shall be designed to prevent the overflow of material from one bin to another. Each cold bin shall be equipped with an orifice to feed the aggregate accurately and uniformly. The feeding orifice shall be adjustable, and indicators shall be provided to show the gate opening. An automatic plant shutoff device shall be provided to operate when any aggregate bin becomes empty or the flow from any bin gate becomes restricted. A vibrator or other suitable means may be required in order to ensure a uniform flow of materials. The order of aggregate feed onto the composite cold feed belt shall be from coarse to fine. Aggregate shall pass through a scalping screen prior to the weigh belt.

3.5.2.1 When recycled material is used, an additional bin, equipped with its own oversize particle scalper, shall be required. In event of an emergency, this bin may be used to feed aggregate in an amount not to exceed 15% of material to complete the day's production.

3.5.3 The total cold aggregate feed shall be weighed continuously by an approved belt scale. The weighing system shall register within +0.5 percent of the indicated load.

3.5.4 Proportioning controls for aggregate and asphalt binder shall be located at the panel that also controls the mixture and the temperature. The panel shall be equipped with automatic controls that shall display, in digital form, the percentages of asphalt binder, mineral filler if required, and each aggregate in the job mix formula. The panel shall also be equipped to raise and lower the production rate without having to reset the individual controls for each change in production rate. The controls shall maintain aggregate flow accuracy such that the total variation of all materials being drawn per interval of time shall not exceed an amount equal to 1.5 percent of the total weight of bituminous mixture per interval of time.

3.5.5 Provisions shall be made for introducing the moisture content of the total cold feed into the belt weighing system and correcting the wet aggregate weight to dry aggregate weight. The system shall be capable of adjusting the flow of bituminous material to compensate for any variation in the dry weight of the aggregate flow. It shall be the responsibility of the Contractor to monitor and determine accurate moisture contents of the aggregate and RAP stockpiles used for production of hot bituminous pavement. Accurate moisture contents shall be determined at a minimum every other day of production. In the event of rain, moisture contents shall be determined for all aggregates and RAP to be utilized before the next day's production.

3.5.6 The dry weight of the aggregate flow shall be displayed by automatic digital readout in units of weight per interval of time.

3.5.7 When mineral filler is specified, a separate bin and feeder shall be provided with a variable drive interlocked with the aggregate feeders. Mineral filler shall be introduced and uniformly dispersed into the mixture without loss to the dust collection system. A device shall be provided to indicate when the flow of filler into the delivery system stops or its specified volume is out of job mix tolerance. The rate of flow shall be accurate to within 0.5 percent by weight, of the total mix. Means shall be provided to readily divert the flow of mineral filler into a container for measurement.

3.5.8 The asphalt binder shall be introduced through a continuously registering cumulative indicating meter by a pump specifically designed for the plant. The meter shall be located in the asphalt line so that it continuously registers the asphalt discharge to the mixer and so that the discharge through the meter can be readily diverted into a suitable container for measurement by actual weight. The meter shall indicate accurately to within 1.0 percent the amount of asphalt binder being delivered. The accuracy of the pump and meter shall be verified at periodic intervals as designated by the Engineer.

3.5.9 Satisfactory means shall be provided to ensure positive interlock between dry weight of aggregate flow and the flow of bituminous material through an approved meter.

3.5.10 The flow of bituminous material shall be displayed by automatic digital readouts in terms of volume or intervals of weight and time.

3.5.11 The plant shall have a means of diverting mixes at start up and shut down or where mixing is not complete or uniform.

3.5.12 A surge or storage system complying with 3.7 shall be provided.

3.6 Mixing Temperature - General.

3.6.1 The Engineer may adjust the job mix formula temperature within the limits of 260° and 350°F according to the existing conditions. Material with a temperature at discharge outside the job mix formula tolerance may be rejected. In no case will a mixture be accepted with a discharge temperature in excess of 375°F.

3.6.2 During hot weather, the temperature of the mixture when discharged shall be as low as is consistent with proper mixing and placing. During cold weather, a temperature approaching the upper limit is desirable

3.7 Hot Storage System – General.

3.7.1 Material may be placed in a storage silo for a period not to exceed 24 hours from the time of mixing. The upper and lower gates when closed shall create an airtight seal. The silo shall be filled to capacity. 24-hour storage will not be allowed if there is reason to believe there is a problem with the gate seals or excessive heat loss.

3.7.2 The hot storage system shall be capable of conveying the hot mix from the plant to insulated and enclosed storage bins and storing the hot mix without appreciable loss in temperature, asphalt migration, segregation, or oxidation.

3.7.3 The conveyer system may be a continuous type or skip bucket type. If the continuous type is used, it shall be enclosed to prevent a drop in mix temperature. If the skip bucket type is used, the bucket must be of sufficient capacity to transport an entire batch and mass dump it into the bins.

3.7.4 The storage bins shall be designed in such a manner as to prevent segregation of the hot mix during discharge from the conveyor into the bins and shall be equipped with discharge gates that do not cause segregation of the hot mix while loading the mix into the trucks. The storage bin heating system shall be capable of maintaining the mix temperature without localized heating (hot spots).

3.7.5 The bin shall be equipped with a light or indicator to show when the level of material reaches the top of the discharge cone. The bin shall not be emptied below the top of the discharge cone until the use of the bin is completed each day. The material remaining in the discharge cone may be rejected if there is evidence of segregation.

3.8 Weighing and Hauling – General.

3.8.1 The Contractor shall provide an approved automatic printer system that prints the weights of the material delivered, provided the system is used in conjunction with an approved automatic batching and mixing control system. Such weights shall be evidenced by a weight slip for each load.

3.8.2 Weight slips shall include requirements as shown in 109.01 and the following for batch plants with automatic proportioning equipment:

- (a) Tare weight of aggregate weigh box.
- (b) Tare weight of asphalt binder weigh bucket.
- (c) Accumulative weights as batched for each aggregate (total of last aggregate will be aggregate total).
- (d) Weight of asphalt binder.
- (e) Accumulated total weight of batch.

3.8.3 Each weight slip will show a consecutive load number and shall include an accumulative total of material delivered for each day.

3.9 Vehicles – General.

3.9.1 The inside surfaces of vehicles may be lightly lubricated with a soap solution or non-petroleum release agent that will not be detrimental to the mix. Equipment that leaks oil, diesel fuel, gasoline, or any other substance detrimental to the pavement will not be allowed on the project.

3.9.2 The mixture shall be transported from the paving plant to the project in trucks having tight, smooth, metal beds previously cleaned of all foreign materials. Truck beds may be lined with a polyethylene type material designed and installed for hauling hot bituminous mixes. Each load shall be covered with canvas or other suitable material of sufficient size and thickness to retain heat and to protect it from weather conditions. The cover material when new shall weigh a minimum of 18 oz/yd² and it shall be a tightly woven or solid material. When necessary, so that the mixture can be delivered on the project at the specified temperature, truck beds shall be insulated, and covers shall be securely fastened.

3.10 Placing

3.10.1 General.

3.10.1.1 Prior to placing of any mix, a pre-paving conference shall be held to discuss and approve the paving schedule, source of mix, type and amount of equipment to be used, sequence of paving pattern, rate of mix supply, traffic control, and general continuity of the operation. Special attention shall be made to the paving pattern sequence to minimize cold joints. The field supervisors of the above mentioned operations shall attend this meeting.

3.10.1.2 The Contractor shall notify the Engineer at least five working days in advance of paving operations to allow sufficient time to schedule required site inspection and testing. All paving and compaction equipment shall be approved and on site prior to start up each day.

3.10.1.3 Base course pavement lifts shall not exceed the maximum compacted thickness of 5 inches. Any course exceeding 5 compacted inches shall be placed in 2 passes.

3.10.1.4 When performing paving operations at night, in addition to the requirements of 3.1.4.5, the Contractor shall provide sufficient lighting at the work site to ensure the same degree of accuracy in workmanship and conditions regarding safety as would be obtained in daylight.

3.10.1.5 When patching existing pavement, the material shall be placed on the prepared clean underlying surface at the locations designated and shall be spread to produce a smooth and uniform patch. The patch material shall be thoroughly compacted and shall match the line and grade of the adjacent pavement.

3.10.1.6 Relatively small areas not accessible to the paver may be spread by hand, but extreme care shall be taken to create a surface texture similar to the machine work. Surface material shall be spread by lutes and not by rakes.

3.10.1.7 Unless otherwise authorized, the final surface course shall not be placed until guardrail posts have been set and general cleanup has been completed.

3.10.1.8 When hot bituminous bridge pavement is to be placed over barrier membrane, the placing temperature shall be as specified in [538.3.3.5](#). A paver, mounted on rubber tracks or tires, shall be used to place the 1" base course unless this procedure is found to cause damage to the membrane. When such damage is found to be evident, the hand method may be allowed. The hand method may also be allowed if the Engineer determines that the use of a paver for this work is impracticable. During warm weather, the above paving shall be done during the cool period of the day. A paver shall be used to place the surface course.

3.10.1.9 Where pavement is placed adjacent to structural members such as expansion joints, the material in the top course shall be placed so that the compacted grade of the pavement is 1/4 to 3/8" above the grade of the structural member.

3.10.1.10 When paving on aggregate base courses and/or base course pavement, the first pass paved shall be on the travel way and not on the shoulders.

3.10.1.11 Drainage and utility structures within the limits of the pavement shall be set and raised in accordance with the provisions of [604.3.4](#). Contact surfaces of the drainage and utility castings as ordered shall be painted with a thin coating of suitable bituminous material.

3.10.1.12 At the beginning and end of the project or project section, the existing pavement shall be removed to a sufficient depth to allow the placing of the new pavement and construction of a transverse joint, which shall be painted with a suitable bituminous material. The underlying course shall be clean and free from foreign materials and loose bituminous patches and must present a dry, unyielding surface.

3.10.2 Performance Requirements (QC/QA). The Contractor shall provide the following equipment for testing and sampling at the project site. The equipment shall be in good condition and shall be replaced by the Contractor if, during the duration of the project, it becomes unsuitable for testing or sampling purposes.

3.10.2.1 Metal plate 12" minimum each side, flat bottom scoop 3000-gram capacity minimum, and sample containers to perform NHDOT Test Procedure B-7 (see Appendix A) sampling.

3.10.3 Weather Limitations - General

3.10.3.1 Mixtures shall be placed only when the underlying surface is dry and frost free. The Engineer may permit, in case of sudden rain, the placing of mixture then in transit from the plant, if laid on a base free from pools of water, provided motorist visibility is not impaired and all other specifications are met. No load shall be sent out so late in the day that spreading and compaction cannot be completed during the daylight, unless the requirements of 3.10.1.5 are met. The Engineer may suspend operations for the day when the Contractor is unable to meet specifications.

3.10.3.2 Surface course shall not be scheduled for placement after October 1st and before May 1st without written approval by the Engineer.

3.10.3.3 All mix placed after October 1st and before May 1st shall be modified by a qualified warm mix technology.

3.10.3.4 In special instances, when the Engineer determines that it is in the best interest of the State, the Engineer may waive the requirements of 3.10.3, provided that 3.10.3.1 shall always remain in effect.

3.10.4 Sweeping - General. Existing pavement or previously laid courses shall be thoroughly dry and free from all dust, dirt, and loose material. Sweeping with a power broom, supplemented by hand brooming, may be necessary.

3.10.5 Tack coat - General. Surfaces of any pavement course shall have a tack coat of emulsified asphalt applied in accordance with the requirements of [410.3.4](#).

3.11 Pavers and Material Transfer Vehicles (MTV) – General.

3.11.1 Pavers shall be:

- (a) Self-contained, power-propelled units with adjustable vibratory screeds and full-width screw augers that reach within 18" of the end plate for fixed-width paving.
- (b) Heated for the full width of the screed.
- (c) Capable of spreading and finishing courses of hot asphalt mix in widths at least 12" more than the width of one lane.
- (d) Equipped with a receiving hopper having sufficient capacity to ensure a uniform spreading operation.
- (e) Equipped with automatic feed controls, which are properly adjusted to maintain a uniform depth of material ahead of the screed.

- (f) Capable of being operated at forward speeds consistent with satisfactory laying of the mix.
- (g) Capable of producing a finished surface of the required smoothness and texture without segregating, tearing, shoving, or gouging the mixture.
- (h) Equipped with the following automatic screed controls:
 - 1. Two 24 ft. ski type devices or floating beams.
 - 2. Two grade sensors.
 - 3. Two short skis (joint matchers).
 - 4. Slope sensing control for transverse slope

3.11.1.1 Pavers used for all machine method work shall have a minimum weight of 28,000 lbs. and a minimum 8-foot wheelbase, unless otherwise approved by the Engineer

3.11.1.2 All courses shall be spread and finished to the required thickness by approved, self-contained, self-propelled spreading and finishing machines (pavers). Pavers shall be provided with an adjustable, activated screed and shall be capable of spreading the mixtures with a finish that is smooth, true to the required cross-section, uniform in density and texture, and free from hollows, tears, gouges, corrugations, and other irregularities. Broadcasting behind the paver shall be held to a minimum. Pavers shall be capable of spreading and finishing courses of the required thicknesses and lane widths. Horizontally oscillating strike-off assemblies will not be approved.

3.11.1.3 The activated screed shall be of the vibrating or tamping bar type or a combination of both and shall operate without tearing, shoving, or gouging the mixture. The activated portion of the screed shall extend the full width of the mixture being placed in the traveled way and other areas with sufficient width to accommodate a paver. In other locations as permitted such as narrow shoulders, tapers, and areas adjacent to curbs, non-activated extensions to the screed will be allowed. The paver shall be equipped with a screed heater. The screed heater shall be used when starting a cold machine and for maintaining a suitable screed temperature when needed.

3.11.1.4 The paver hopper gates shall be adjusted to pass the correct amount of mix to the augers so that they operate more or less continuously. The height of material shall be maintained at a constant level in front of the screed, to a point where approximately half of the auger shall be visible at all times.

3.11.1.5 The sensors for either or both sides of the paver shall be capable of sensing grade from an outside reference line or from the surface using a ski type device. A slope control sensor, mounted on the slope beam of the paver shall be capable of sensing transverse slope of the screed. The sensors shall provide automatic signals that operate the screed to maintain the desired grade and transverse slope. Pavers shall not be used until the automatic controls have been checked and approved by the Engineer.

3.11.1.6 The use of automatic grade and slope controls shall be required on all pavers. On projects or parts of projects where the Engineer deems that the use of automatic controls are impracticable, some or all of the controls listed in 3.11.1(h) may be waived.

3.11.1.7 Whenever a breakdown or malfunction of the automatic controls occurs, the equipment may be operated manually for the remainder of the normal working day on which the breakdown or malfunction occurred. This method of operation must meet all other specifications.

3.11.1.8 The forward speed of the paver shall be adjusted to the rate of the supply of materials so that the paver operates without having to make stops except for emergencies. If the Engineer determines that the paving operations result in excessive stopping of the paver, the Engineer may suspend all paving operations until the Contractor makes arrangements to synchronize the rate of paving with the rate of delivery of materials.

3.11.2 Material Transfer Vehicle (MTV)

3.11.2.1 An approved MTV shall be used to transfer the bituminous mix from the hauling equipment to the paver. The MTV shall operate independently from the paver and shall be a commercially manufactured unit specifically designed for the transfer of mix from the hauling equipment to the paver without depositing the mix on the roadway. It shall have the ability to swing the discharge conveyor to reach the paver hopper. The MTV shall be designed so that the mix is internally remixed. The minimum storage capacity of the MTV shall be 12 tons.

3.11.2.2 The MTV will only be required for mainline construction and straight ramps (does not include loop ramps, interim connections, interim crossovers and side roads) when the section is a minimum of 600 tons per paver mobilization unless otherwise approved by the Contract Administrator.

3.11.2.3 When the MTV passes over a bridge that is not a fill over structure, it shall be as near to empty as possible. The MTV also shall not exceed 5 miles per hour while on the bridge. If the Contractor proposes moving the MTV over a bridge with more than a minimal amount of material in it, a proposal must be submitted to and

approved by the Bureau of Bridge Design prior to the placing of any mix. The submittal needs to show in detail the wheel and axle loading that will be placed on the bridge deck.

3.12 Compaction.

3.12.1 General.

3.12.1.1 Immediately after the hot asphalt mix has been spread, struck off, and surface irregularities adjusted, it shall be thoroughly and uniformly compacted by rolling. The completed course shall be free from ridges, ruts, humps, depressions, objectionable marks, visible segregation, or irregularities and in conformance with the line, grade, and cross-section shown in the Plans or as established by the Engineer.

3.12.1.2 All compaction units shall be operated at the speed, within manufacturers recommended limits, that will produce the required compaction. The use of equipment, which results in excessive crushing of the aggregate, will not be permitted. Any asphalt pavement that becomes loose, broken, contaminated, shows an excess or deficiency of asphalt binder, or is in any way defective, shall be removed and replaced at no additional cost with fresh hot asphalt mix, which shall be immediately compacted to conform to the surrounding area. Hot asphalt mix shall not be permitted to adhere to the roller drums during rolling.

3.12.1.3 When a vibratory roller is being used, the vibration shall stop automatically when the roller is stopped or reversing direction of travel. Vibratory rollers shall not be operated in the vibratory mode under the following conditions: When checking or cracking of the mat occurs, when fracturing of aggregate occurs, and on bridge decks.

3.12.1.4 Pneumatic-tire rollers shall be self-propelled and shall be equipped with smooth tires of equal size and diameter. The wheels shall be so spaced that one pass of a two-axle roller accomplishes one complete coverage. The wheels shall not wobble and shall be equipped with pads that keep the tires wet. The rollers shall provide an operating weight of not less than 2,000 lb. per wheel. Tires shall be maintained at a uniform pressure between 55 and 90 psi with a 5 psi tolerance between all tires. A suitable tire pressure gauge shall be readily available.

3.12.1.5 Pneumatic-tired rollers shall be used on all pavement leveling courses.

3.12.1.6 Rollers must be in good mechanical condition, free from excessive backlash, faulty steering mechanism, or worn parts. The empty weight and the ballasted weight shall be properly marked on each roller.

3.12.1.7 To prevent adhesion of the mixture to the rollers, the wheels shall be kept properly moistened with water or water mixed with very small quantities of detergent or other approved material. Excess liquid will not be permitted. All steel rollers shall be equipped with adjustable wheel scrapers.

3.12.1.8 A minimum of three rollers shall be used. Unless an alternate compaction package is approved at the project pre-pave meeting, roller trains shall consist of the equipment describe herein.

3.12.2 Method Requirements.

3.12.2.1 The initial rolling shall be done with a static or vibratory steel-drum roller. Intermediate rolling shall be performed by a pneumatic-tired roller and/or a vibratory/oscillatory roller. Final rolling shall be performed with a static steel-drum roller. The minimum weight of static steel-drum rollers shall be 8 tons.

3.12.2.2 Unless otherwise directed, rolling shall begin at the sides and proceed longitudinally parallel to the roadway centerline, gradually progressing to the crown of the roadway. The overlap shall be one-half the roller width for wheeled rollers and 6 inches for vibrating rollers. No overlap is required for pneumatic-tired rollers. When paving in echelon or abutting a previously placed lane, the longitudinal joint shall be rolled first followed by the regular rolling procedure. On superelevated curves, the rolling shall begin at the low side and progress to the high side by overlapping of longitudinal passes parallel to the centerline.

3.12.2.3 Rollers shall move at a slow but uniform speed with the drive roll or drive wheels nearest the paver, except on steep grades. Static and pneumatic-tired rollers shall not operate at speeds in excess of 6 mph. All courses shall be rolled until all roller marks are eliminated.

3.12.2.4 Cores shall be collected by the Contractor at locations as determined and witnessed by the Engineer. One core per lane mile, but no less than two, shall be taken for each roadway segment paved. When shoulders are overlaid, cores shall be collected solely for density information at a frequency of one core for every 750 tons of mix.

The Contractor will deliver the cores to the designated testing laboratory once Department chain of custody measures have been applied.

The minimum compaction requirement shall be 91% of maximum theoretical density as determined in accordance with AASHTO T 209. The following reductions in unit price shall apply for all tonnage placed that is represented by any core (excluding shoulder cores) that does not meet the minimum requirement: for results below 91% but equal to or greater than 90%, a 5% reduction will be assessed; for any results below 90%, a 10% penalty for all tonnage placed will be assessed. At the Engineer's discretion, the Contractor may be required to remove noncompliant material below 90% (no payment will be made for this material or its removal).

3.12.2.4.1 All cores need not be cut at the same time. The Contractor will be allowed the option to collect cores through all placed lifts at once, provided cores are collected within two working days of placing the first course. Corrective action to any covered course is at the Contractor's risk.

3.12.2.5 Any displacement occurring as a result of reversing the direction of a roller, or from other causes, shall be corrected at once by the use of lutes and the addition of fresh mixture when required. Care shall be exercised in rolling so as not to displace the line and grade of the edges of the bituminous mixture.

3.12.2.6 Along forms, curbs, headers, and similar structures and other places not accessible to a normal full-sized roller, sidewalk rollers weighing at least 2,000 lb (900 kg) shall be used. Where rollers are impracticable, the mixture shall be thoroughly compacted with heated or lightly oiled hand tamps or vibrating plate compactors.

3.12.2.7 Unless the Engineer determines that for the weight and placement conditions a lesser number will be satisfactory to obtain the desired pavement densities, the following is the list of required compaction equipment. The output of each paver placing surface course (Table 1) materials shall be compacted by the use of one each of the following complement of rollers as a minimum: a static or vibratory steel-wheel roller, a pneumatic-tired roller and a three-axle roller or a static steel-wheeled roller. If the required density is not being obtained with the rollers supplied, the use of additional rollers of the specified type may be ordered. Paving widths in excess of 16 ft will require additional rollers as ordered.

3.12.3 Performance Requirements (QC/QA).

3.12.3.1 As agreed upon at the Pre-Pavement meeting, the type of rollers to be used and their relative position in the compaction sequence shall be the Contractor's option, provided specification densities are attained.

3.13 Joints - General.

3.13.1 Unless otherwise shown on the plans, the longitudinal surface course joints shall be at the edge of lane placed, where the edge line, lane line and centerline pavement markings will be applied, and joints of other courses shall be offset approximately 2".

3.13.2 The material being placed next to a previously paved lane shall be tightly crowded against the face of the abutting lane. The paver shall be positioned so that during spreading, the material will overlap the edge of the first lane by 1 to 2" and shall be left sufficiently high such that finish pavement of the lane being placed is approximately 1/8" higher than the previously paved lane after compaction. The overlapped material shall be rolled without luting. Longitudinal joint compaction shall be achieved by rolling from the hot side to within 6" of the previously placed mat. The next roller pass will overlap onto the previously placed paved lane by 6". Further compactive effort shall be applied to all joints during the intermediate and final rolling.

3.13.3 Placing of the course shall be as continuous as possible while complying with Contract Traffic Control Plans. Transverse joints will be allowed at the end of each work shift or as required to provide properly bonded longitudinal joints.

3.13.3.1 No longitudinal joints greater than 1-1/2" height shall be left open to traffic unless a tapered overlapping ("wedge") joint is used. Joints between traveled way and shoulder greater than 3/4" shall be delineated by barrels. Barrels shall meet the requirements of [Section 619](#).

3.13.3.2 Unless otherwise precluded by weather conditions, longitudinal joints shall not remain open to traffic longer than 30 hours.

3.13.4 If a bulkhead is not used to form the transverse joint, the previously laid material shall be cut back to the designed slope and grade of the course. The joint face shall be coated with approved bituminous bonding material meeting the requirements of [410.2.1](#) before the fresh mixture is placed against it. Extreme care shall be taken to ensure that no unevenness occurs at the joint. If unsatisfactory riding qualities are obtained at the transverse joint in the surface course, the joint shall be corrected by an approved method.

3.13.4.1 Prior to opening any lane(s) to traffic, transverse joints shall be ramped by means of an asphalt fillet at a minimum of 5 ft. horizontal to 1" vertical slope.

3.13.4.2 When paving into a permanent transverse joint, a full head of material shall be carried into the joint.

3.13.5 When specified, a bituminous pavement joint adhesive, Item 403.x6, shall be applied to the longitudinal joint. If joint adhesive has not been specified, an approved bituminous bonding material meeting the requirements of 410.2.1 shall be applied to completely cover all joint contact surfaces.

3.13.5.1 Joint adhesive shall be applied to the longitudinal joints so that the entire joint surface is covered with a minimum 1/8" thick layer of material. If a wedge joint is used the upper 4" of joint surface shall be covered with joint adhesive. In lieu of using joint adhesive, the Contractor may elect, with the approval of the Engineer, to use multiple pavers in echelon to eliminate the longitudinal joint. Echelon paving shall be performed as stated in 3.13.8.

3.13.5.2 The joint face on which the joint adhesive is to be applied shall be dry, free from loose material, dust, or other debris that could interfere with adhesion. If dust or debris adheres to the joint adhesive, it shall be cleaned or recoated as directed by the Engineer.

3.13.5.3 Trucks or traffic shall not drive across the joint adhesive until it has cooled sufficiently to prevent damage from tracking.

3.13.5.4 Joint adhesive shall be melted in a melting kettle that meets the requirements of 413.2.2(b). The joint adhesive shall be applied at the temperature specified by the manufacturer and shall not be heated above the safe heating temperature specified by the manufacturer.

3.13.5.5 Joint adhesive shall be applied using a pressure feed wand applicator system equipped with an applicator shoe as recommended by the manufacturer. A pour-pot applicator will be allowed on wedge joints only.

3.13.5.6 Joint adhesive (Bridge Base) shall be applied to curbs, concrete armoring, and pavement matches so that the entire joint is covered with a minimum 1/8" thick layer of material.

3.13.6 A tapered overlapping ("wedge") joint may be used on all longitudinal joints provided that the adjacent lane can be placed when the existing surface temperature is above 50° F.

3.13.6.1 An inclined face (3:1) on the joint shall be formed in the first bituminous mat placed. The inclined face may be for the entire height or an inclined face with a 1/2" maximum vertical face at the top of the mat.

3.13.6.2 After the initial mat is placed, the mat shall be rolled to the edge of the unconfined face.

3.13.6.3 When the adjoining mat is placed, the initial longitudinal wedge shall be treated as in 3.13.5.

3.13.7 The Contractor shall furnish and have available a 10 ft, lightweight metal straightedge with a rectangular cross-section of 2 by 4" at the paver at all times during paving operations. All courses shall be tested with the straightedge laid across the transverse joint parallel to the centerline and any variations from a true profile exceeding 3/16" shall be satisfactorily eliminated. The finished surface of the pavement shall be uniform in appearance, shall be free from irregularities in contour, and shall present a smooth-riding surface.

3.13.8 Echelon Paving. Echelon paving, when specified or approved, shall be defined as multiple pavers paving simultaneously and adjacent to one another such that all rolling of both mats is performed concurrently.

3.14 Variations in Profile and Cross Slope – Method (See 3.18.4.4.1).

3.15 Replacement – General. If unsatisfactory areas are found in any course, the Contractor shall remove the unsatisfactory material and replace it with satisfactory material.

3.16 Finished Appearance – General. Any bituminous material remaining on exposed surfaces of curbs, sidewalks, or other structures shall be removed.

3.17 Quality / Process Control - General.

3.17.1 The Contractor shall operate in accordance with a Quality Control Plan, hereinafter referred to as the "Plan", sufficient to assure a product meeting the Contract requirements. The plan shall meet the requirements of 106.03.1 and these special provisions.

3.17.2 The Plan shall address all elements that affect the quality of the Plant Mix Pavement including, but not limited to, the following:

- (a) Job mix formula(s).
- (b) Hot asphalt mix plant details.
- (c) Stockpile Management.
- (d) Make & type of paver(s).

- (e) Make & type of rollers including weight, weight per inch (centimeter) of steel wheels, and average ground contact pressure for pneumatic tired rollers.
- (f) Name of Plan Administrator.
- (g) Name of Process Control Technician(s).
- (h) Name of Quality Control Technician(s).
- (i) Mixing & Transportation.
- (j) Process Control Testing.
- (k) Placing sequence and placing procedure for ride quality.
- (l) Paving and Weather Limitations.
- (m) Sequence for paving around catch basins, under guardrail, around curb, at bridges, and intersections, drives and minor approaches, to ensure a proper finish and drainage.
- (n) Procedure for fine grading the top of the surface to be paved.
- (o) Binder supplier(s)

3.17.3 The Plan shall include the following personnel performing the described functions and meeting the following minimum requirements and qualifications:

A. Plan Administrator shall meet one of the following qualifications:

- (a) Professional Engineer licensed in the State of NH with one year of highway experience acceptable to the Department and proof of past certification as a NETTCP QA Technologist.
- (b) Engineer-In-Training with two years of highway experience acceptable to the Department and hold current certification as a NETTCP QA Technologist.
- (c) An individual with three years highway experience acceptable to the Department and with a Bachelor of Science Degree in Civil Engineering Technology or Construction and hold current certification as a NETTCP QA Technologist.
- (d) An individual with five years of paving experience acceptable to the Department and hold current certification as a NETTCP QA Technologist.

B. Process Control Technician(s) (PCT) shall utilize test results and other quality control practices to assure the quality of aggregates and other mix components and control proportioning to meet the job mix formula(s). The PCT shall periodically inspect all equipment used in mixing to assure it is operating properly and that mixing conforms to the mix design(s) and other Contract requirements. The Plan shall detail how these duties and responsibilities are to be accomplished and documented and whether more than one PCT is required. The Plan shall include the criteria utilized by the PCT to correct or reject unsatisfactory materials. The PCT shall be certified as a Plant Technician by the New England States Technician Certification Program or be a Materials Testing Technician in Training, working under the direct observation of a NETTCP certified Plant Technician.

C. Quality Control Technician(s) (QCT) shall perform and utilize quality control tests at the job site to assure that delivered materials meet the requirements of the job mix formula(s). The QCT shall inspect all equipment utilized in transporting, laydown, and compacting to assure it is operating properly and that all laydown and compaction conform to the Contract requirements. The plan shall detail how these duties and responsibilities are to be accomplished and documented, and whether more than one QCT is required. The Plan shall include the criteria utilized by the QCT to correct or reject unsatisfactory materials. The QCT shall be certified as a HMA Paving Technician as certified by the North East Transportation Training and Certification Program or be a Materials Testing Technician in Training, working under the direct observation of a NETTCP certified HMA Paving Technician.

3.17.4 The Plan shall detail the coordination of the activities of the Plan Administrator, the PCT and the QCT. The Plan shall also detail who has the responsibility to reject material, halt production or stop placement.

3.17.4.1 All project-specific Appendices and issues agreed to at the Pre-Paving meeting shall be considered to be part of the Plan.

3.17.5 Rejection by Contractor. The Contractor may, prior to sampling, elect to remove any defective material and replace it with new material at no expense to the Department.

3.17.5.1 No surface course pavement shall be removed or repaired without prior approval of the Engineer.

3.18 Performance Requirements (QC/QA)

3.18.1 Asphalt pavement shall be sampled, tested, evaluated and recorded by the Contractor in accordance with the minimum process control guidelines in Table 401-3.

3.18.1.1 Cross slope shall be measured on every pavement lift using the method described in 3.18.5.5.1 prior to placement of subsequent lifts. Particular emphasis on the first pavement lift shall be required when correcting existing substandard cross slopes. Cross slope measurements exceeding 0.5% from the specified cross slope for that location shall require an adjustment in ongoing or subsequent paving operations to correct the deficiency. If two or more consecutive measured sublots are greater than 0.5% from the specified cross slope, paving operations shall cease until the Contractor submits a corrective action satisfactory to the Engineer.

Table 401-3 - Minimum Process Control Guidelines

Properties	Test Frequency	Test Method
Temperature of Mix	6 per day at paver hopper and plant	
Surface Temperature	As needed	
Temperature of Mat	4 per day	
Density	1 per 500 tons (500 metric tons) or min. 2 per day	AASHTO T 343 or ASTM D 2950
Maximum Theoretical Specific Gravity	1 per day of operation	AASHTO T-209
Fractured Faces	1 per 2000 tons (1800 metric tons) for Gravel Sources only	AASHTO T 11 & AASHTO T 27
Aggregate Gradation & Asphalt Binder content	1 per 750 tons (700 metric tons) recommended	AASHTO T 130 & 164
Asphalt Binder	As needed	AASHTO M 226 M 320
Thickness	Contractor Defined	Contractor Defined
Cross Slope	1 per 5 full stations	Per 3.18.5.5.1

3.18.2 The Contractor may utilize innovative equipment or techniques not addressed by the specifications or these provisions to produce or monitor the production of the mix, subject to approval by the Engineer.

3.18.3 Quality Assurance.

3.18.3.1 Asphalt pavement designated for acceptance under Quality Assurance (QA) provisions will be sampled once per subplot on a statistically random basis, tested, and evaluated by the Department in accordance with 106.03.2 and the acceptance testing schedule in Table 401-4. Testing shall not take place until the material has been placed and deemed acceptable by the Contractor.

Table 401-4 - Acceptance Testing Schedule

PROPERTIES	POINT OF SAMPLING	LOT SIZE	SUBLOT SIZE	TEST METHOD
Gradation	Behind paver & before rolling ⁽⁴⁾	401.3.17.2.2	750 tons	AASHTO T 30 NHDOT B-1
Asphalt Binder content	Behind paver & before rolling ⁽⁴⁾	401.3.17.2.2	750 tons	AASHTO T 164 NHDOT B-2 NHDOT B-6
Maximum theoretical specific gravity	Compacted Roadway ⁽¹⁾ Core		750 tons	NHDOT B-8 AASHTO T 209
In Place Air Voids in total mix ^(5,6,7)	Compacted roadway ⁽¹⁾ core	401.3.17.2.2	750 tons	NHDOT B-8 AASHTO T 269
Ride Smoothness ⁽⁷⁾	Completion of surface course	Total project	0.1 lane mile	401.3.17.3.4
Cross Slope ⁽⁷⁾	Completion of surface course	Total project	1 per 5 full stations	401.3.17.3.5
Thickness ⁽²⁾⁽⁵⁾⁽⁷⁾	Compacted roadway ⁽¹⁾ core	Total project	750 tons	NHDOT B-8 ASTM D 3549

1. Excluding bridge pavements.
2. Measurements taken from full depth cores obtained for in place air voids determination.
3. For leveling course, samples to be taken at the plant.
4. Sampling and testing will not be performed for leveling course.
5. Not including leveling course.
6. When the Contractor is supplying mix to more than one paver simultaneously, Contractor's personnel shall keep a running total of tonnage supplied to each paver on each paver.
7. Tier 1 Item only.

3.18.3.2 Lot Size. For purposes of evaluating all acceptance test properties, a lot shall consist of the total quantity represented by each item listed under the lot size heading in the table above, up to 15,000 tons. For Items with quantities in excess of 15,000 tons, lot sizes will be determined at the pre-placement meeting. Each lot will be broken down into at least three (3) sublots.

The Contractor may request a change in the job mix formula. If the request is approved, all of the material produced prior to the change will be evaluated on the basis of available tests and a new lot will begin. Three sublots must be sampled and tested before a new lot may begin.

3.18.3.2.1 A lot for Gradation, Asphalt Content and In Place Air Voids shall be the total quantity represented by the job mix formula with the following exception; the shoulders will be evaluated as a separate lot for in place air voids.

3.18.3.3 Sublot Size. The quantity represented by each sample will constitute a sublot. The size of each sublot shall be as listed under the sublot size heading in Table 401-4. If there is insufficient quantity in a lot to make up at least three sublots of the designated size in Table 401-4, then the lot quantity will be divided into three equal sublots.

If there is less than one-half of a sublot remaining at the end, then it shall be combined with the previous sublot. If there is more than one-half of a sublot remaining at the end, then it shall constitute the last sublot and shall be represented by test results.

3.18.3.4 Test Results. The Engineer may calculate pay factors and pay adjustments at any time while a lot is being produced. This may be necessary for a partial estimate or to see if quality is falling to a point where immediate attention is required. Pay factors will be determined from all available acceptance tests for the lot being evaluated.

3.18.4 Acceptance Testing

3.18.4.1 Gradation and Asphalt Binder Content. Samples for gradation and asphalt binder content shall be obtained from behind the paver in conformance with NHDOT Test Procedure B-7 (see Appendix A) and taken from each pavement layer by the Contractor in the presence of the Engineer. The sample locations will be established by selecting a random location within each sublot in accordance with [Section 106](#). Sample locations (center of sample) will not be within 1 foot from an edge of pavement or within 4 feet from any structure. Sample locations falling within 4 feet from any structure will be relocated 4 feet from the structure along station at the same offset.

Where samples have been taken, new material shall be placed and compacted to conform to the surrounding area immediately after the samples are taken. Samples shall be accompanied by a sample tag containing the following information:

- a) Project name and number.
- b) Lot and sublot number.
- c) Material type.
- d) Date placed.
- e) Location in station and offset, tonnage
- f) Contract Administrator
- g) Sampler
- h) Item number

When the project exceeds 30 minutes travel time from the testing laboratory location, material samples will be taken and identified by Department project personnel and shall be transported before cooling by the Contractor and delivered to Department testing technicians at the testing Laboratory. Samples lost in transit will incur a penalty of 5% of the bid price for the entire sublot represented by that sample. Sublots with no test results due to a lost sample will not be evaluated and the total quantity represented by that sublot shall not be included in any positive pay factor.

3.18.4.1.1 Testing. Target values shall be as specified in the job mix formula. All sieve sizes specified in the job mix formula will be evaluated for gradation. The specification limits in Table 401-5 will be used for calculating pay factors for gradation and asphalt binder content.

Table 401-5 - Gradation and Asphalt Binder Specification Limits

Property	Maximum Aggregate Size				
	1"	3/4"	3/4" winter	1/2"	3/8"
	USL and LSL (Target +/- %)				
1 1/2"	0	0	0	0	0
1-1/4"	0	0	0	0	0
1"	8.0	0	0	0	0
3/4"	8.0	6.0	6.0	0	0
1/2"	7.0	6.0	6.0	5.0	0
3/8"	7.0	6.0	6.0	5.0	5.0
No. 4	4.5	4.5	4.5	4.0	4.5
No. 8	4.5	4.5	4.5	3.5	3.5
No. 16	2.5	2.5	2.5	2.5	2.5
No. 30	2.5	2.5	2.5	2.5	2.5
No. 50	2.5	2.5	2.5	2.5	2.5
No. 100	2.5	2.5	2.5	2.5	2.5
No. 200	1.0	1.0	1.0	1.0	1.0
Asphalt Binder	0.4	0.4	0.4	0.4	0.4

Any subplot with a gradation or asphalt binder content falling outside the ranges of the reject limits in Table 401-6 will be either removed and replaced at the expense of the Contractor or require corrective action to the satisfaction of the Engineer. After replacement or correction, new samples will be taken and the old test results from that subplot will be discarded.

Table 401-6 - Gradation and Asphalt Binder Content Reject Limits (Deviation from Target)

SIEVE SIZE	1"	3/4"	3/4" Winter	1/2"	3/8"
	Percent Passing By Weight – Combined Aggregate				
1-1/4"					
1"					
3/4"	±12	(1)	(1)		
1/2"	(1)	±10	±10	(1)	
3/8"	(1)	(1)	(1)	±10	(1)
No. 4	±9	±9	±9	±9	±9
No. 8	±7	±7	±7	±7	±7
No. 16	±6	±6	±6	±6	±6
No. 30	(1)	(1)	(1)	(1)	(1)
No. 50	(1)	(1)	(1)	(1)	(1)
No. 100	(1)	(1)	(1)	(1)	(1)
No. 200	±3	±3	±3	±3	±3
Asphalt Binder: % of Mix	±1.0	±1.0	±1.0	±0.8	±0.8

(1) Reject limits will be waived for these sieves.

The Contractor shall have the option of requesting a change in job mix formula (aim change) values used for calculating quality level to reflect actual production values after the placement of two sublots as long as no change in plant production values are made. A new lot is not needed for this change.

3.18.4.2 In Place Air Voids. In place air voids shall be determined in accordance with AASHTO T 269 using 6" diameter cores taken from each pavement layer by the Contractor in the presence of the Engineer. Core sampling shall be in conformance with ASTM D 5361 and NHDOT Test Procedure B-8 (see Appendix A). Full depth cores containing all new pavement layers shall be required. Core locations (center of core) will be established by selecting a random location within each subplot in accordance with Section 106. When shoulders are overlaid, cores shall be collected solely for density information at a frequency of one core for every 750 tons of mix. Cores will not be located in the following areas:

- (a) Within 1 foot from an edge of pavement.
- (b) Within 4 feet from any structure. Core locations falling within this area will be relocated 4 feet from the structure along station at the same offset.
- (c) Within shoulders 4 feet or less in width.
- (d) Within 1 foot from any break in slope across the mat surface.

Cores shall be taken before opening pavement to traffic, except when location of core is within the last hour of that day's placement. Cores shall be taken within 24 hours after placement. Where cores have been taken, new material shall be placed and compacted to conform to the surrounding area the same day the samples are taken. Core samples shall be accompanied by a sample tag containing the following information:

- (a) Project name & number.
- (b) Lot and subplot number.
- (c) Material Type.
- (d) Date placed.
- (e) Date sampled.
- (f) Location in station and offset, and/or tonnage.
- (g) Plan thickness.
- (h) Contract Administrator
- (i) Sampler
- (j) Item number

The complete sample(s) (unseparated) shall be protected against damage, transported, and delivered by the Contractor within one working day to Department testing technicians at the Laboratory. Sublots where the core becomes lost or damaged will be resampled at the direction of the Engineer at the Contractor's expense.

The specification limits in Table 401-7 will be used for calculating pay factors for in place air voids for each lot:

Table 401-7 - In Place Air Voids Acceptance Limits

TARGET (%)	LSL	USL
Average of Samples	- 2.0% ¹	+2.0% ²

¹ But not less than 2.5%

² But not more than 9%

When a core is less than 80% of the nominal thickness, a new core will be taken in the same subplot at a random location for the determination of in place air voids.

A subplot with a test result less than 2.0% for in place air voids will be rejected and subject to removal and replacement.

3.18.4.2.1 Maximum Theoretical Density (MTD). MTD shall be determined in conformance with AASHTO T 209 once per subplot from the core obtained for determining in place air voids.

3.18.4.2.2 Disputed Cores. If a Contractor believes that a core result is invalid for whatever reason, the Contractor shall notify the Engineer of this in writing within 24 hours of being informed of the test result. After being informed of the disputed core result, the Engineer will select three random core locations, one in each three sections of the disputed subplot at the same offset as the disputed core. The Contractor shall cut the cores at the selected locations in the presence of the Engineer who shall place them in secured containers for delivery and testing at the Bureau of Materials and Research laboratory in Concord, NH. If there are 10 or more cores already tested to date, the pay factor for voids in the lot will be calculated (without using the result of the disputed core). If less than ten cores have been tested in the disputed lot, the three cores shall be held until ten cores have been tested or the lot is complete, whichever comes first, at which time the pay factor will be calculated.

If the pay factor for the lot that contains the disputed result is 0.95 or greater, and the disputed test result is outside three standard deviations from the mean value of the lot (calculated without using the result of the disputed core), the three cores shall be tested and the average value of the three will be calculated.

If any of these three cores falls outside three standard deviations from the mean value for the lot (calculated without using the result of the disputed core), the original core test value will stand. If the three cores fall within three standard deviations of the mean value the average of the three cores will be used as the core result for the disputed subplot.

If the three cores are not used, the Contractor shall pay for the cost of testing.

3.18.4.3 Pavement Thickness. The thickness requirements contained herein shall apply only when each pavement layer is specified to be a uniform thickness greater than 3/4” The thickness of each layer of hot asphalt mix will be measured in conformance to ASTM D 3549 to determine compliance with the acceptance tolerance. Measurements shall be obtained from cores taken for determining in place air voids of each pavement layer. A leveling course, or the first layer over a gravel or stone base, a milled surface or an existing surface, shall be excluded from thickness measurement.

3.18.4.3.1 Once each thickness measurement has been taken, a thickness index will be calculated. The thickness index is the actual deviation from target divided by the allowable tolerance. This will allow statistical comparisons to be made among measurements based on varying specified thickness. Thickness indexes will be established for the sole purpose of calculating pay factors. Thickness index shall be calculated under the following equation using the specification limits in Table 401-8.

$$TI = (M - ST)/T$$

where: TI = Thickness Index
ST = Specified Thickness
M = Measured Layer Thickness from Core
T = 15% x ST, but no less than 1/4”

Table 401-8 -Thickness Index Acceptance Limits

	TARGET	LSL	USL
Thickness Index	0.00	-1.00	+1.00

3.18.4.3.2 Disputed Thickness If a Contractor believes that a thickness result is invalid for whatever reason, the Contractor shall notify the Engineer of this in writing within 24 hours of being informed of the test result. After being informed of the disputed result, the Engineer will select three random core locations in the disputed subplot. In the presence of the Engineer, the Contractor shall cut the cores at the selected locations and place them in secured containers for testing. The Contractor shall deliver these cores to the Department testing technicians at the Laboratory. If there are 10 or more cores already tested to date, the pay factor for thickness in the lot will be calculated (without using the result of the disputed core). If less than ten cores have been tested in the disputed lot, the three cores shall be held until ten cores have been tested or the lot is complete, whichever comes first, at which time the pay factor will be calculated.

If the pay factor for the lot that contains the disputed result is 0.95 or greater, and the disputed test result is outside three standard deviations from the mean value of the lot (calculated without using the result of the disputed thickness), the three cores shall be measured and the average value of the three will be calculated.

If any of these three cores falls outside three standard deviations from the mean value for the lot (calculated without using the result of the disputed core), the original thickness test value will stand. If the three cores fall within three standard deviations of the mean value, the average of the three measurements will be used as the thickness for the disputed subplot.

If the three cores are not used, the Contractor shall pay for the cost of testing.

3.18.4.4 Ride Smoothness.

3.18.4.4.1 The Contractor shall furnish and have available a 10 ft., light weight metal straightedge with a rectangular cross section of 2” x 4” at the paver at all times during paving operations. All courses shall be tested with the straightedge laid parallel or perpendicular to the centerline and any variations from a true profile or cross slope exceeding 3/16” shall be satisfactorily eliminated. The finished surface of the pavement shall be uniform in appearance, free from irregularities in contour and shall present a smooth-riding surface.

3.18.4.4.2 A GM type profilometer will be furnished by the Department for determination of pavement smoothness. This device provides a Ride Number in both wheel paths that are averaged to produce a ride number for the surface tested. In the event the Engineer feels that there is a significant difference in the wheel path profiles, a Ride Number evaluation of the individual wheel paths will be made. The surface will be tested within 30 days after the surface course and pavement markings for each discrete section of the project are complete. Immediately before testing, the Contractor will ensure the surface is entirely free from any foreign matter that may affect the test results. No special considerations will be given to criteria such as degree of curve and vertical geometry. Ride Number will be calculated to the nearest one hundredth for each 0.1-mile segment.

3.18.4.4.3 Profilometer testing will include all mainline paving including bridges with lanes at least 11 feet wide. Testing will begin 20 feet after the approach joint and end 20 feet before the departure joint. The pavement will not be evaluated over bridge expansion joints, tapers, raised pavement markings, and sections less than 0.1 mile in lane length.

3.18.4.4.4 All areas with bumps or high points exceeding 0.3" in 25 feet shall be corrected by removal of a minimum of 1" of the full lane width by the length required (a minimum of 100 feet) and replaced at the Contractor's expense.

3.18.4.4.5 The Ride Number average of all sublots will be used to determine the final pay factor. The final pay factor shall not exceed 1.05 and will be computed as follows:

For Level 1 Projects: (Ride Number 4.20)
Pay Factor = $RN (0.5) - 1.1$

For Level 2 Projects: (Ride Number 4.14)
Pay Factor = $RN (0.5682) - 1.3523$

3.18.4.4.6 A final Ride Number shall be established after the surface course is completed and striped. Separate completed sections of a project will be evaluated before the entire surface course is completed. Any subplot with a ride number less than 3.7 shall be repaired or replaced.

3.18.4.4.6.1 Any subplot that has an individual wheel path ride number less than 3.7 shall be repaired or replaced. The repair treatment shall be for the full width of the lane. Sublots that have been repaired or replaced shall be reevaluated for ride smoothness and then averaged with all other sublots to determine the final project pay factor. Construction joints resulting from repairs or replacement will be included.

3.18.4.4.6.2 Level 1 will generally be all interstate and limited access highways with the following exception:

- (a) A single course overlay that has a before ride number average of less than 4.00.

3.18.4.4.6.3 Level 2 will generally be all other highways with the following exceptions:

- (a) Where the surface course must be constructed in short sections (< 3 sublots).
- (b) Projects shorter than one half mile in length.
- (c) Projects with a posted speed of 35 MPH or less.
- (d) Projects with many driveways and/or cross roads with constant traffic.
- (e) District resurfacing projects.

3.18.4.5 Cross Slope.

3.18.4.5.1 Cross slope will be measured once per subplot (see Table 401-4) behind the paver after final rolling of the surface course has taken place. Cross slope will only be evaluated when specific slopes and superelevations are shown on the plans for the entire project. Only travel lanes will be evaluated for cross slope. Measurements will be taken only in areas of normal tangent or full bank curves on even stations. Measurement shall take place utilizing one of the following methods, and shall be agreed upon by both parties: "digital read" level and 10 to 12 foot straightedge; "bubble" level, ruler, and 10 to 12 foot straightedge; transit; or electronic positioning equipment as approved by both Contractor and Department. If a straightedge is employed, perpendicularity shall be assured with the use of a right angle prism or other method acceptable to both parties. If a "bubble" or "digital read" level is employed, a second reading 180 degrees to the first shall be made and recorded, and the two shall be averaged for the test result. Measurement data shall be shared between parties within 24 hours of measurement.

3.18.4.5.2 Once a cross slope percentage has been measured, a cross slope index (CSI) will be calculated. The target cross slope shall be defined as the cross slope shown on the plans or as ordered to the nearest tenth of a percent. The CSI is the actual deviation from the target divided by **0.40** percent, which is the tolerance used for pay factor calculation only. This will allow statistical comparisons to be made among measurements based on varying specified cross slopes. The CSI will be established for the sole purpose of calculating pay factors. The CSI shall be calculated under the following equation using the specification limits in Table 401-10.

$$CSI = \frac{(M - SCS)}{T}$$

where: CSI = Cross Slope Index
SCS = Specified Cross Slope in percent
M = Measured Cross Slope in percent
T = 0.40

Table 401-10 - Acceptable Quality Level Limits

	TARGET	LSL	USL
Cross Slope Index	0.00	-1.00	+1.00

3.18.4.5.3 If three or more consecutive cross slope subplot measurements on the pavement lift used to calculate the pay factor deviate more than 0.5 (in percent) from the specified cross slope value at those locations, those sublots will be considered to exceed the engineering limit of 0.5%. The Contractor shall submit a corrective action plan for approval by the Engineer for cross slope sublots that exceed this limit.

3.18.4.5.4 After the approved corrective action plan is implemented, the sublots will be measured to ensure compliance, but will not be re-measured for the purpose of re-calculating pay factor. Alternatively, the Contractor may submit a written request for acceptance of the material at a negotiated price. The Engineer will determine whether the material may remain in place at the negotiated price.

3.18.4.6 Rejection of Material.

3.18.4.6.1 An Individual Sublot. For any sublots with any test results exceeding the specified reject limits, the Engineer will:

- (a) Require complete removal and replacement with hot asphalt mix meeting the Contract requirements at no additional expense to the department, or
- (b) Require corrective action to the satisfaction of the Engineer at no additional expense to the Department.

3.18.4.6.2 A Lot in Progress. The Engineer will shut down paving operations whenever:

- (a) The pay factor for any property drops below .90 and the Contractor is taking no corrective action, or
- (b) Three consecutive tests show that less than 50 percent by weight of the particles retained on the No. 4 sieve have at least one fractured face.

Paving operations shall not resume until the Engineer determines that material meeting the Contract requirements can be produced. Corrective action will be considered acceptable by the Engineer if the pay factor for the failing property increases. If it is determined that the resumption of production involves a significant change to the production process, the current lot will be terminated and a new lot will begin.

3.18.4.6.3 Remeasure and Retest. All requests to the Engineer to remeasure and retest a subplot shall be in writing.

Method of Measurement

4.1 Asphalt pavement mixture will be measured by the ton to the nearest 0.1 ton, and in accordance with 109.01. Batch weights will be permitted as a method of measurement only when the provisions of 3.8.3 are met, in which case, payment will be based on the cumulative weight of all the batches. The quantity will be the weight used in the accepted pavement, and no deduction will be made for the weight of asphalt binder or additives in the mixture.

4.1.1 No separate measurement will be made for lighting necessary or overtime required due to night operations at the plant or at the site.

4.1.2 Due to possible variations in the specific gravity of the aggregates, and to possible field changes in areas to be paved, the quantity used may vary from the proposal quantities, and no adjustment in Contract unit price will be made because of such variations.

4.2 Asphalt pavement, removed because of faulty workmanship or contamination by foreign materials, will not be included in the pay quantity.

4.3 Blank.

4.4 Joint adhesive will be measured by the linear foot for each lift of pavement to be placed, to the nearest foot of adhesive applied. If the Contractor chooses to pave in echelon in lieu of using joint adhesive, payment for the length of joint eliminated by the echelon paving will be made.

4.5 Echelon paving, when specified or approved, will be measured by the linear foot along the shared edge of the mats being simultaneously placed.

Basis of Payment

5.1 All work performed and measured as prescribed above will be paid for at the Contract unit price as provided in the respective sections for each type specified.

5.2 Tack coat material ordered under 3.10.5 will be paid as provided for in Section 410.

5.3 Blank.

5.4 Plant or project site lighting for hot bituminous pavement, machine or hand method, or overtime required due to night operations will be subsidiary to the paving items.

5.5 Asphalt cement additives will be subsidiary to the paving items.

5.6 Implementation of the Quality Control Plan and costs associated with obtaining core samples for acceptance testing shall be subsidiary. When items are to be accepted under Quality Assurance provisions, pay adjustment will be made in accordance with 106.03.2.4 as specified below.

5.6.1 **Gradation composite pay factor (CPF).** The total price for each lot will be adjusted by a composite pay factor (CPF) based on the gradation of the material after extraction using the pay factors for each sieve size and the sieve size weight factors in Tables 401-11, 11a & 11b.

Table 401-11 - Sieve Size Weight Factors 1”

	Property	Weight Factor “f”
	1/2”	6
	#30	4
Gradation (each sieve)	#8 and #200 sieves	8
	All other sieves (each)	2

Table 401-11a - Sieve Size Weight Factors 3/4”

	Property	Weight Factor “f”
	3/8”	6
	#30	4
Gradation (each sieve)	#8, and #200 sieves	8
	All other sieves (each)	2

Table 401-11b - Sieve Size Weight Factors 1/2” and 3/8”

	Property	Weight Factor “f”
	No. 4	6
	#30	4
Gradation (each sieve)	#8, and #200 sieves	8
	All other sieves (each)	2

$$\text{Composite Pay Factor (CPF)} = \frac{[f_1(PF_1) + f_2(PF_2) + \dots + f_j(PF_j)]}{\Sigma f}$$

5.6.2 Pay Adjustment. The pay adjustment for each measured characteristic will be determined by the following equation:

$$PA_j = (Pf_j - 1) \frac{f_j}{\sum f} (Q)(P)$$

- where: PA= Pay adjustment payment in dollars for each characteristic.
 Pf = Pay factor or composite pay factor for each characteristic.
 f = Weight factor from Table 401-12 for each characteristic.
 $\sum f$ = Sum of weight factors.
 Q = Quantity computed from all accepted delivery records for the lot.
 P = Contract unit price per ton.

Table 401-12 – Tier 1 Weight Factors

Measured Characteristic	Weight Factor “f”
Gradation	0.15
Asphalt Binder Content	0.15
In Place Air Voids	0.20
Thickness	0.08
Cross Slope	0.12
Ride Smoothness	0.30

Table 401-13 – Tier 2 Weight Factors

Measured Characteristic	Weight Factor “f”
Gradation	0.25
Asphalt Binder Content	0.25
In Place Air Voids	0.5

5.6.3 Pay adjustment, Hot Bituminous Pavement QC/QA Items. The pay adjustment for gradation, cross slope, thickness, asphalt binder content, in place air voids, and ride quality (made up of the sum of all sublots) will be applied to Item 1010.3. Pay adjustments may be applied at the end of each month based on all available test results for each lot.

5.7 The accepted quantity of joint adhesive of type specified will be paid for at the Contract unit price per linear foot complete in place.

5.7.1 Recoating of the joint, as described in 3.13.5, shall be at the Contractor’s expense.

5.7.2 When echelon paving is used in lieu of joint adhesive and the item is not included in the contract, the accepted quantity will be paid for at the price of joint adhesive under Item 403.6.

5.8 The Material Transfer Vehicle (MTV) Item will be paid for at the Item Bid Price per ton for the tons of bituminous mixture actually transferred by the MTV.

5.9 The accepted quantity of echelon paving will be paid for at the Contract unit price per linear foot complete.

5.9.1 If the Contractor chooses to pave in echelon in lieu of pavement joint adhesive, and the item is not in the contract, echelon paving will be paid as stated in 5.7.

APPENDIX A

NHDOT Test Procedure B-7

Sampling Bituminous Paving Mixtures for Acceptance Testing

Sample shall be taken behind the paver after placement and before compaction.

Sample location will be randomly selected by the Contract Administrator.

When paving over aggregate base course or cold planed surface, use a rectangular metal plate no less than 12" each side. Center plate on sample location.

After paver passes over plate, measure back to sample location.

Locate the edges of the plate.

Using a flat-bottomed scoop large enough to obtain up to a 3000 gram sample, place scoop on plate and push across the mat (perpendicular to the center line), through the center of the plate, filling the scoop to obtain the sample size specified below.

Required Sample Size

Base Courses	2000-3000 grams
Binder Courses	1500-3000 grams
Surface Courses	1000-3000 grams
Sand Courses	500-3000 grams

When sampling over an existing pavement, the plate is not required.

NHDOT Procedure B-8

Sampling and Testing

Procedure for In Place Air Voids

Cores will be taken at random locations selected by the Contract Administrator.

Cores shall be delivered intact by the Contractor to the Department's inspector at the Laboratory.

If Cores are lost or damaged, new cores shall be taken at the same location as the previous cores.

Cores shall be measured for thickness following ASTM D 3549.

Bulk specific gravity shall be determined by AASHTO T 166a.

Maximum Theoretical Density will be determined using the core by AASHTO T 209.

In Place Air Voids shall be determined by AASHTO T 2.

SUPPLEMENTAL SPECIFICATION

AMENDMENT TO SECTION 403 – HOT BITUMINOUS PAVEMENT

Purpose: To expand the HBP paving items to include the mix type in the in the item description (07/27/20 & 07/01/21). This update also to removes all references to night items and “percent wear” pay items (06/06/17).

Delete Section 1.3.

Amend Section 4.1.1 to read:

4.1.1 Hot Bituminous pavement transferred by the Material Transfer Vehicle (MTV) will be measured as prescribed in 401.4.

Amend Section 5.1.1 to read:

5.1.1 Blank.

Amend Section 5.2 to read:

5.2 Bridge surface course will be paid under machine method.

Replace Pay items and units with the following:

Key:

403. A B C D E

A Surface Type/Miscellaneous

- 1 Roadway
- 2 Bridge

B Placement Method

- 1 Machine Method Ton
- 2 Hand Method Ton
- 6 Pavement Joint Adhesive Linear Foot
- 8 Leveling Ton
- 9 Temporary Ton

C Asphalt Type

- 0 Standard (as specified by Special Provision)
- 8 Polymer Modified (as specified by Special Provision)
- 9 High Strength

D Mix Type

- 0 Special (as specified by Special Provision)
- 1 1” Base Mix
- 2 3/4” Binder Mix
- 3 3/4” Winter Binder Mix
- 4 1/2” Surface Mix
- 5 3/8” Surface Mix
- 6 #4 Surface Mix

E QC/QA Tier or Method		
1	Tier 1	
2	Tier 2	
3	Method	
3	Blank	
4	Material Transfer Vehicle (MTV)	Ton
5	Blank	
6	Echelon Paving	Linear Foot
7	Blank	
8	Blank	
9	Blank	

Examples:**Method**

403.11013	HBP-1" Base Mix, Machine Method	Ton
403.11023	HBP-3/4" Binder Mix, Machine Method	Ton
403.11033	HBP-3/4" Winter Binder Mix, Machine Method	Ton
403.11043	HBP-1/2" Surface Mix, Machine Method	Ton
403.11053	HBP-3/8" Surface Mix, Machine Method	Ton
403.12	HBP-Hand Method	Ton
403.x19x3	HBP-_____, Machine Method, High Strength	Ton
403.11963	HBP-#4 Surface Mix, Machine Method, High Strength	Ton
403.18	HBP-Leveling Course	Ton
403.19	HBP-Temporary	Ton
403.21053	HBP-3/8" Mix, Machine Method (Bridge Base)	Ton
403.29	HBP-Temporary (Bridge)	Ton

QC/QA

403.11011	HBP-1" Base Mix, Machine Method, QC/QA Tier 1	Ton
403.11012	HBP-1" Base Mix, Machine Method, QC/QA Tier 2	Ton
403.11021	HBP-3/4" Binder Mix, Machine Method, QC/QA Tier 1	Ton
403.11022	HBP-3/4" Binder Mix, Machine Method, QC/QA Tier 2	Ton
403.11031	HBP-3/4" Winter Binder Mix, Machine Method, QC/QA Tier 1	Ton
403.11032	HBP-3/4" Winter Binder Mix, Machine Method, QC/QA Tier 2	Ton
403.11041	HBP-1/2" Surface Mix, Machine Method, QC/QA Tier 1	Ton
403.11042	HBP-1/2" Surface Mix, Machine Method, QC/QA Tier 2	Ton
403.11051	HBP-3/8" Surface Mix, Machine Method, QC/QA Tier 1	Ton
403.11052	HBP-3/8" Surface Mix, Machine Method, QC/QA Tier 2	Ton
403.x18xx	HBP-_____, Machine Method, Polymer Modified, QC/QA Tier X	Ton
403.x19xx	HBP-_____, Machine Method, High Strength, QC/QA Tier X	Ton

Other

403.16	Pavement Joint Adhesive	Linear Foot
403.26	Pavement Joint Adhesive (Bridge Base)	Linear Foot
403.4	Material Transfer Vehicle (MTV)	Ton
403.6	Echelon Paving	Linear Foot
1010.3	Quality Control/Quality Assurance (QC/QA) for Asphalt	Dollar

SUPPLEMENTAL SPECIFICATION

AMENDMENT TO SECTION 410 – BITUMINOUS SURFACE TREATMENT

The purpose of this Supplemental Specification is to:

- *Adopt new AASHTO specifications for emulsions (2.1 – 04/13/16)*
- *Revise the pavement conditions and application rates for tack (3.4.1.1 – 01/04/17)*
 - *Identify tack sampling and penalties for non-conformance (2.1.1, 2.1.2, 3.2, 3.3, 3.4, 06/06/17)*
- *Amend distribution equipment and initiate an annual tack truck inspection program (3.2, 3.5.2, 07/06/18)*

Amend 2.1 to read:

2.1 Bituminous material shall be the type and grade specified or ordered and shall conform to the requirements of AASHTO M 140 or M 208, except as amended in Section 702.

Amend 3.2, 3.3, and 3.4 to read:

3.2 Equipment.

General equipment requirements for this work shall be as follows:

- (a) Tack distribution trucks shall have a minimum GVW of 26,000 lbs and shall be equipped with a storage tank of 1,200-gallon minimum capacity.
- (b) A tack distribution system shall be designed, equipped, maintained, and operated such that bituminous material at even heat (150° F) may be applied uniformly on variable widths of surface up to 12' at readily determined and computer-controlled rates with uniform pressure. Distributor equipment shall include: a tachometer, pressure gauges, accurate inside and outside volume measuring devices, and an exterior thermometer for measuring temperatures of tank contents. Distributors shall be equipped with a power unit for the pump and with full circulation spray bars adjustable laterally and vertically from the truck cab. The spray bar shall contain spray nozzles providing a fan-shaped spray pattern adjusted so the vertical axis is perpendicular to the pavement surface. The spray pattern and spray bar height shall be adjusted to provide a uniform application of the tack coat [double coverage should be avoided for seal coats; overlapping coverage is required for tack coats]. The distributor shall be equipped with a mechanical device to adjust the spray height as material is discharged to keep a uniform height above the pavement for full coverage with the correct overlap. The distributor shall also be equipped with a hand-held spray attachment and 25' hose for applying the material to areas inaccessible to spray bars and to fill in irregular areas to provide full coverage. Approved sampling valves shall be installed in distributors and transport tank trucks to permit the taking of representative samples of the contents. The recommended location of the sampling valve is in the rear bulkhead of the tank, roughly one-third of the height above the bottom. The inlet pipe shall project into the contained liquid as shown in ASTM D 140.

- (c) A rotary power broom shall be required unless the equipment listed under (d) is provided.
- (d) In urban and/or curbed sections, a vacuum truck or street sweeper shall be provided.
- (e) For seal coat applications only:
 - i A steel-wheeled roller.
 - ii A self-propelled pneumatic-tired roller.
 - iii A sand spreader capable of spreading blotter material in sufficient quantity to prevent traffic pickup of the applied bituminous material.
 - iv A steel-brush drag of an approved type.

3.2.1 Only certified tack distributors will be allowed. Vehicles and equipment will be subject to a yearly inspection by June 1st by the NHDOT Paving Specialist which will include field verification of spray patterns. Yearly inspection shall be arranged with a ten working day notification. Approved vehicles will receive a seal certifying the tack distributor for that year.

3.2.2 Sampling. Tack shall be sampled as directed by the Engineer using new non-metal sample containers provided by the Engineer. Samples shall be taken by the operator in the presence of the Engineer. At least 1 qt. of material shall be drained off through the sampling valve and discarded before the sample is taken. To prevent the loss of solvents, containers shall be sealed with a tight fitting cover immediately after being filled and provided to the Engineer for testing. Any tack that is found to be out of specification will result in non-payment for all tack applied on the date the tack is sampled.

3.2.2.1 Non-conforming tack will be evaluated by the Engineer to determine if overlying pavement should remain in place. Any pavement left in place shall not relieve the Contractor of the responsibility for latent defects and/or gross mistakes in the pavement layer above it as outlined in section 107.14.

3.3 Surface Preparation for Tack Coat. The existing surface shall be patched and shall be free of irregularities to provide a reasonably smooth and uniform surface to receive the treatment. Unstable corrugated areas shall be removed and replaced with suitable patching materials. The edges of existing pavements that are to be adjacent to new pavement shall be cleaned to permit the adhesion of bituminous materials.

3.4 Application of Tack Coat.

3.4.1 Bituminous material shall be uniformly applied with an approved applicator. When ordered, a pressure distributor shall be used. The tack coat shall be applied in such a manner as to offer the least inconvenience to traffic and to permit one-way traffic without pickup or tracking of the bituminous material.

3.4.1.1 A tack coat shall be applied immediately prior to placement of pavement. The rate of application of emulsified asphalt shall be between 0.02 and 0.06 gal/yd², based on the application rate table below. The Engineer may further modify the rate depending on the relative absorbance and texture of the pavement surface.

Existing Pavement Condition	Application Rate in Gal/yd ²
Smooth HMA	0.02 – 0.04
Milled HMA	0.04 – 0.06

Amend 3.5.2 to read:

3.5.2 Blotter material at the rate ordered shall be applied before the bitumen has set; the entire treated surface shall be dragged, rolled and maintained. The remaining blotter material shall be removed with a power broom.

Amend 5.1 to read:

5.1 The accepted quantities of bituminous surface treatment will be paid for at the Item Bid Price per ton for bituminous material, complete in place.

Add Section 5.2.2

5.2.2 The quantity of tack coat used on the day represented by a non-conforming test sample will not be paid.

S U P P L E M E N T A L S P E C I F I C A T I O N

AMENDMENT TO SECTION 411 – PLANT MIX SURFACE TREATMENT

The purpose of this Supplemental Specification is to:

- *Require the use of pneumatic tired rollers on all paving done under Section 411. (06/06/17)*
 - *Remove Pay Items 411.15 & 411.19 (04/01/18)*
- *Ensure Tack used for PMST and leveling course is a Pay Item (07/06/18)*

Add to Section 411.3.5.5:

3.5.5 Intermediate rolling shall be done by a pneumatic-tired roller.

Amend Section 411.5.1.1 to read:

5.1.1 Tack coat material required under 3.4.2 for the leveling course and plant mix surface treatment items will be paid for as specified in 410.5.2.

Replace Pay Items and units with the following:

Pay items and units:

411.1	Hot Bituminous Concrete Leveling Course	Ton
411.3	Plant Mix Surface Treatment (AC), Paver Shim	Ton
411.43	Plant Mix Surface Treatment(Asphalt Cement), 3/8 in	Ton
411.51	Plant Mix Surface Treatment, Paver Spot Drag Shim	Ton

SUPPLEMENTAL SPECIFICATION**AMENDMENT TO SECTION 417 -- COLD PLANING OF BITUMINOUS SURFACES**

The purpose of this Supplemental Specification is to specify the inlay mix as Plant Mix Surface Treatment.

Amend 2.1 as follows:

2.1 The asphalt mix to inlay the removed rumble strip shall consist of a Plant Mix Surface Treatment (PMST), conforming to Section 411.

Amend 3.7 as follows:

3.7 Asphalt Inlay of Rumble Strip Area. The milled area of removed rumbles shall be inlaid to match the existing pavement surface with PMST (placed by machine). The placement method shall be capable of spreading the mixtures with a finish that is smooth, uniform in density and texture, and free from hollows, tears, gouges, corrugations, and other irregularities.

SUPPLEMENTAL SPECIFICATION

AMENDMENT TO SECTION 603 -- CULVERTS AND STORM DRAINS

The purpose of this Supplemental Specification is to allow polypropylene pipe, clarify UV light requirements as well as Contractor's Option requirements.

Amend 2.3 to read:

2.3 Plastic Pipe.

2.3.1 Manufacturers of Polyvinyl Chloride (PVC) pipe, Polyethylene (PE) pipe and Polypropylene (PP) pipe must participate in and maintain compliance with the AASHTO National Transportation Product Evaluation Program (NTPEP) that audits producers of plastic pipe.

2.3.2 Polyvinyl chloride profile wall pipe shall conform to the requirements of AASHTO M 304. PVC pipe and associated fittings shall not be used in applications where it will be exposed to long term ultraviolet light .

2.3.3 Polyethylene pipe shall conform to the requirements of AASHTO M 252 or M 294, Type C, Type S, or Type D as specified on the plans. PE pipe and associated fittings shall be protected from ultraviolet light degradation by the inclusion of carbon black as specified in AASHTO M 294.

2.3.4 Polypropylene pipe shall conform to the requirements of AASHTO M 330, Type C, Type S, or Type D as specified on the plans. PP pipe and associated fittings shall be protected from ultraviolet light degradation by the inclusion of carbon black or ultraviolet light stabilizers as specified in AASHTO M 330.

2.3.5 Only soil tight pipefittings supplied or recommended by the manufacturer shall be used, unless otherwise specified.

2.3.6 When watertight joints are specified, watertight pipefittings supplied or recommended by the manufacturer shall be used and shall conform to ASTM D3212.

2.3.7 When the item description includes plastic pipe or plastic pipe material with the plastic material type not specified, either polyvinyl chloride, polyethylene, or polypropylene pipe shall be furnished meeting the requirements of 2.3.

Amend 2.6 to read:

2.6 Pipe for Slope Drainage.

2.6.1 Pipe for slope drain shall conform to the requirements of 2.3 and shall be limited to Type C.

2.6.2 The pipe coupler for plastic pipe shall consist of a plastic coupler and a minimum of 2 stainless steel or 3 plastic bands installed on the exterior corrugations. Slope pipe coupling bands shall engage a minimum of two full corrugations of each pipe section being joined, and shall be reinforced to meet the criteria for the "Downdrain Joint" category of Section 26 of the AASHTO LRFD Bridge Construction Specifications.

Amend 2.7 to read:

2.7 Pipe for Drives and Minor Approaches.

2.7.1 It shall be the Contractor's option to furnish reinforced concrete pipe or corrugated aluminized steel type 2 pipe, unless otherwise specified, for pipe for drives and minor approaches. Reinforced concrete pipe shall meet the requirements of 2.1. Corrugated aluminized steel pipe shall meet the requirements of 2.2. The strength or thickness shall meet the requirements of Table 3. Where cover is 2' or greater, and where load requirements can be met, polyethylene or polypropylene pipe, meeting the requirements of 2.3, may be used.

Add 2.13:

2.13 Contractor's Option. When the pipe material is not specified in the item description, pipe conforming to either 2.1 or 2.3 shall be supplied. Once selected, pipe of similar type shall be used for the entire pipe run.

Amend Table 3 to read:

Table 3 - Required Strength of Culvert Pipes

Material	Diameter	Strength Concrete	<u>Thickness, in.</u>	
			“Specified” Steel	Pipe Stiffness Plastic
Reinforced Concrete	All	2000 D		
Corrugated Metal	12”-18”		0.064	
	24”-30”		0.079	
	36”		0.109	
Plastic (Polyethylene)	All			Reference AASHTO M294
(Polypropylene)	All			AASHTO M 330

Amend .4 under Pay Items to read:

- .4 Pipe for Slope Drainage (Plastic only) Linear Foot
- B Material
- 0 Unspecified
- 1 Blank
- 2 Blank
- 3 Blank
- 4 Plastic
- C Type
- 0 Blank
- 1 Blank
- 2 Polyethylene (Type C)
- 3 Polypropylene (Type C)

Amend .8 under Pay Items to read:

.8	Plastic Pipe	Linear Foot
B	Materials	
0	Unspecified	
1	PVC	
2	Polyethylene	
3	Polypropylene	
C	Type	
1	Corrugated Interior (Type C)	
2	Smooth Interior, Double Wall (Type S)	
3	Smooth Interior, Triple Wall (Type D)	
4	Blank	
5	Corrugated Interior (Type C) (Watertight)	
6	Smooth Interior, Double Wall (Type S) (Watertight)	
7	Smooth Interior, Triple Wall (Type D) (Watertight)	

S U P P L E M E N T A L S P E C I F I C A T I O N

AMENDMENT TO SECTION 645 – EROSION CONTROL

The purpose of this Supplemental Specification is to:

- *Update erosion control requirements.*
- *Add Erosion Control Plans to list of items to furnish for SWPPP (1.2.1, 11/07/18)*
- *Update construction dates for allowable area of exposed, unstabilized soil (3.1.5, 11/07/18)*

Replace 1.1 with the following:

1.1 Erosion Control Products. This work shall consist of furnishing and placing hay mulch, bark mulch, “Rolled Erosion Control Products” (RECP), Hydraulic Erosion Control Products (HECP) or other material to provide soil stabilization and/or erosion control on slopes or in channels/ditches at locations shown on the plans or where ordered.

1.1.1 Temporary Slope Matting Type A (Not Currently Used)

1.1.2 Temporary Slope Matting Type B (Wildlife Friendly) shall be a biodegradable RECP specified for protection of slopes of 3:1 or flatter. These products shall maintain their functional integrity for a minimum of 3 months and then biodegrade.

1.1.3 Temporary Slope Matting Type C (Not Currently Used)

1.1.4 Temporary Slope Matting Type D (Wildlife Friendly) shall be a biodegradable RECP specified for protection of slopes of 2:1 or flatter. These products shall maintain their functional integrity for a minimum of 12 months and then biodegrade.

1.1.5 Permanent Channel Matting Type A shall be a RECP specified for protection of vegetated channels/ditches with a slope profile of 5% or less. These products are considered to be permanent and shall be non-degradable.

1.1.6 Permanent Channel Matting Type B (Not Currently Used)

1.1.7 Temporary Channel Matting Type A (Wildlife Friendly) shall be an extended term RECP specified for protection of vegetated channels/ditches with a slope profile of 3% or less. These products are considered temporary and shall have a functional longevity of 24 months.

1.1.8 Temporary Channel Matting Type B shall be a long term RECP specified for protection of vegetated channels/ditches with a slope profile of 3% to 5%. These products are considered temporary and shall have a functional longevity of 36 months.

1.1.9 Stabilized Mulch Matrix (SMM) shall be a moderate term HECP, specified for temporary erosion control on slopes of 3:1 or flatter. These products shall have a functional longevity of at least 3 months.

1.1.10 Bonded Fiber Matrix (BFM) shall be an extended term HECP, specified for temporary erosion control on slopes of 2:1 or flatter. These products shall have a functional longevity of at least 6 months.

1.1.11 Fiber Reinforced Matrix (FRM) shall be a long term HECP, specified for temporary erosion control on slopes of 2:1 or flatter. These products shall have a functional longevity of at least 12 months.

Amend 1.2.1 to read:

1.2.1 The Department will furnish the following data to the Contractor:

- Specific reproducible plan sheets and cross-sections of the project, as requested.
- Drainage calculations and plans (drainage area size and characteristics; runoff volume; type, size, and slope of pipes; invert elevations; and outlet velocities), as available.
- Geotechnical Report including soil boring logs, soil types, and test pit data, as available.
- Permits and certifications obtained for the project.
- A list of environmental commitments.
- A copy of the NHDOT's Notice of Intent application.
- A copy of the NHDOT's Acknowledgement letter from EPA.
- Documentation of permit eligibility related to federally listed threatened and endangered species.
- NHDES Wetlands Permit "Plan of Record".
- Erosion Control Plans

Add to 2.1:

2.1.4 Hydraulic Erosion Control Products (HECP) shall be temporary, biodegradable, pre-packaged fibrous mulch products mixed with water and applied as a slurry in conjunction, with, or without Turf Establishment without Mulch (Item 646.2). Hydraulic Erosion Control Products (HECP) selected for use shall be from those listed on the Qualified Products List.

Amend 3.1.5 to read:

3.1.5 For the construction period from October 15th through May 1st the area of exposed, unstabilized soil shall be limited to one acre. The allowable area of exposed soil may be increased provided a winter construction plan shows adequate provisions to control erosion and sediment, provided the additional area of disturbance is necessary to meet the Contractors Critical Path Method schedule (CPM), and the Contractor can demonstrate there are adequate resources available (equipment & manpower) to respond to multiple events simultaneously and is reviewed and approved by the Department.

Add to 3.3:

3.3.6 Hydraulic Erosion Control Products (HECP).

3.3.6.1 The Contractor shall only use personnel or subcontractors trained in the use of the product.

3.3.6.2 Hydraulic Erosion Control Products (HECP) shall be mixed and applied in accordance with the manufacturer's specifications.

3.3.6.3 Apply HECPs to the soil surface from at least two opposing directions, to achieve an even coverage of all exposed soil surfaces. Do not apply either BFM or SMM within 24 hours of a predicted rain event, or under saturated soil conditions. FRM does not require a cure time and is effective immediately; and FRM may be applied immediately before, during, or after a “typical” rainfall event. Avoid installing FRM during high intensity rainfall events.

3.3.6.4 Inspection and Maintenance: Reapplication will be required if the HECP treated soils are disturbed or turbidity or water quality testing shows the need for an additional application within the functional longevity of the product.

Add to 4.1:

4.1.2 Hydraulic Erosion Control Products (HECP) will be measured by the pound based upon the delivery slips and tags furnished to the Engineer, but not to exceed the rate specified or ordered. If reapplication is required due to damage caused by the Contractor’s negligence or inappropriate installation, retreated areas will not be measured for payment.

Amend 5.1 to read:

5.1 The accepted quantities of erosion control work will be paid for at the Contract unit price, complete in place.

Replace the Pay Items with the following:

Pay items and units:

645.11	Mulch	Acre
645.111	Mulch	Square Yard
645.12	Temporary Mulch	Acre
645.15__	Bark Mulch __in. Deep	Square Yard
645.3	Erosion Stone	Ton
645.42	Temporary Slope Matting Type B (Wildlife Friendly)	Square Yard
645.44	Temporary Slope Matting Type D (Wildlife Friendly)	Square Yard
645.45	Permanent Channel Matting Type A	Square Yard
645.471	Temporary Channel Matting Type A (Wildlife Friendly)	Square Yard
645.472	Temporary Channel Matting Type B	Square Yard
645.48	Erosion Control Mix	Cubic Yard
645.51	Hay Bales for Temporary Erosion Control	Each
645.52	Ryegrass for Temporary Erosion Control	Pound
645.531	Silt Fence	Linear Foot
645.532	Silt Fence with Support Fence	Linear Foot
645.611	Bonded Fiber Matrix	Pound
645.612	Fiber Reinforced Matrix	Pound
645.613	Stabilized Mulch Matrix	Pound
645.7	Storm Water Pollution Prevention Plan	Unit
645.71	Monitoring SWPPP and Erosion and Sediment Controls	Hour

**SUPPLEMENTAL SPECIFICATION
AMENDMENT TO SECTION 702– BITUMINOUS MATERIALS**

The purpose of this Supplemental Specification is to adopt new AASHTO specifications for emulsions.

Amend Section 702 to read:

Table 702-1 – Anionic Asphalt Emulsion

Grade	Rapid-Setting						Medium Setting					Test Method	
	RS-1h		RS-1		RS-2		HFMS-2		MS-4		MS-5		
	min	max	min	max	min	max	min	max	min	max	min	max	
Tests on emulsified asphalt:													
Viscosity, Saybolt Furol at 25°C (77° F), s ^a	20	100	20	100					50	500	50	500	
Viscosity, Saybolt Furol at 50°C (122° F), s ^a			75	400			100 see (d)						
Storage stability test, 24 h, % ^{a,b}		1.0		1.0		1.0		1.0		1.0		1.0	
Demulsibility, 35 mL, 0.02 N CaCl ₂ , % ^a	60		60										
Coating ability and water resistance													T59
Coating, dry aggregate							good	75%		75%			
Coating, after spraying							fair	see (e) (f)		see (e) (f)			
Coating, wet aggregate							fair						
Coating, after spraying							fair						
Sieve test, % ^{a,b}		0.10		0.10		0.10		0.10		0.10		0.10	
Distillation													
Oil distillate, %									2.0	7.0	0	3.0	
Residue, % ^c	55		55		65		65		65		65		
Tests on residue from distillation:													
Penetration, 25°C (77°F), 100 g, 5 s, 0.1 mm	40	90	90	150	90	150	90	250	200		150	250	T49
Ductility, 25°C (77°F), 5 cm/min, cm	40		40		40		40						T51
Ash content, %		1.0		1.0		1.0		1.0					T111
Float test, 60°C (140°F), s							1200		50		100		T50

Table 702-2 -- Cationic Asphalt Emulsion

Type Grade	Rapid-Setting				Test Method
	CRS-1h		CRS-1		
	min	max	min	max	
Tests on emulsified asphalt:					
Viscosity, Saybolt Furol at 50°C (122°F), s ^a	20	100	20	100	T59
Storage stability test, 24-h, % ^{a,b}		1		1	
Sodium dioctyl sulfosuccinate, % ^a	40		40		
Particle charge test	Positive		Positive		
Sieve test, % ^{a,b}		0.10		0.10	
Distillation:					
Oil Distillate by volume of emulsified asphalt, %		3		3	
Residue, % ^c	60		60		
Tests on residue from distillation:					
Penetration, 25°C (77°F), 100 g, 5 s, 0.1 mm	40	90	90	150	T49
Ductility, 25°C (77°F), 5 cm/min, cm	40		40		T51
Ash content, %		1		1	T111

Footnotes:

- a. This test requirement and associated specification limits are waived for emulsified asphalt products following dilution
- b. This test requirement on representative samples may be waived if successful application of the material has been achieved in the field.
- c. For emulsions that are diluted, the percent residue requirements must be adjusted accordingly.
- d. 50 + when material is used for sealing.
- e. Wet Coating: Weigh 100 ± 0.5 g of aggregate, 20 to 30 mesh (0.85 to 0.60 mm) standard Ottawa sand, into a 600 mL glass beaker and add soft tap water, approximately twice the volume of that of sand. Weigh into the beaker containing the sand and water 8 ± 0.2 g of the emulsion at room temperature and mix for two minutes with a stiff spatula. Cover the mixture with approximately twice its own volume of tap water and pour the water off without further mixing. Repeat this process. After the second rinse, at least 75 percent of the sand shall remain coated.
- f. Stripping: After evaluating the wet coating, place the mixture into a clear 600 mL glass beaker, cover the mixture with tap water, let stand for 1 to 16 hours, and examine. At least 75 percent of the sand shall remain coated.
- g. The coating and stripping tests may be waived when MS-5 is used for sand sealing.

SPECIAL PROVISIONS

The following Special Provisions are to be used in conjunction with the NHDOT Standard Specifications and are herein made a part of the Contract Documents and apply to this project:

Section	Description	
201.882	Invasive Species Control Type II	SP-2
203.601	Embankment-In-Place	SP-4
304.201	Gravel	SP-5
304.301	Crushed Gravel	SP-6
619	Maintenance of Traffic	SP-7
645.512	Compost Sock for Perimeter Berm	SP-8
650	Plantings	SP-11
1008.4	Alterations and Additions as Needed – Utility Adjustments	SP-13

SPECIAL PROVISIONS
AMENDMENT TO SECTION 201 – CLEARING AND GRUBBING
Item 201.882 – Invasive Species Control Type II

Add to Description:

1.5 Invasive species control shall consist of furnishing all labor (including, but not limited to, handling, cutting stockpiling and spraying), materials, services, equipment and supplies required for removal and proper disposal of the vegetation shown on the *Construction General Plan*. The intent of this item is to compensate the Contractor for all additional costs incurred due to the handling of invasive species during construction excavations. This item will be paid in addition to the appropriate pay items for the class excavation and/or embankment work being performed. Work shall be done in accordance with the NHDOT *Best Management Practices for the Control of Invasive and Noxious Plant Species*.

Add to Materials:

2.1 Backfill to replace the contaminated soil that is excavated shall conform to the material requirements of the appropriate section of the Standard Specifications for the material to be placed at that location.

Add to Construction Requirements:

3.4 Invasive Species Control

3.4.1 Type II

3.4.1.1 Invasive species control for Type II shall consist of:

- Cleaning Equipment upon leaving the area of the infestation.

3.4.2 Type II

3.4.2.1 Invasive species control Type II control methods shall be in accordance with NHDOT Best Management Practices for the Control of Invasive and Noxious Plant Species.

3.4.3.2 All herbicides shall be registered with and approved for use by the New Hampshire Department of Agriculture, Division of Pesticide Control and applied by a licensed applicator.

3.4.3.2.1 If herbicide use is proposed, the Contractor or his licensed herbicide applicator, shall submit a site-specific plan and application to the NH Department of Agriculture, Division of Pesticide Control. Issuance of an herbicide application permit may take up to three months for approval.

3.4.3.3 Burning invasive species shall be done in accordance with State and local regulations and Env A-100 – Prevention, Abatement, and Control of Open Source Air Pollution issued by the New Hampshire Air Resources Division of Environmental Services.

3.4.3.3 Excavation, when required, shall be in accordance with the appropriate sections of the Standard Specifications.

3.4.3.4 Backfill in all excavated areas shall be placed and compacted in accordance with the plans and the appropriate sections of the Standard Specifications.

3.4.3.5 When required, disposal of invasive species materials and their contaminated soils by burying shall be in accordance with the appropriate sections of the Standard Specifications for Embankment-In-Place.

3.4.3.6 Monitor the project site for re-growth of invasive species in treated areas. If regrowth occurs secondary treatment shall be performed. The Engineer may also order areas to receive secondary treatment.

Add to Method of Measurement:

4.6 Invasive species control of the type specified will be measured by the square yard to nearest square yard from measurements taken on the ground surface covered.

Add to Basis of Payment:

5.7 The accepted quantities of invasive species control of the type specified will be paid for at the contract unit price per square yard complete in place.

5.8 Excavation of invasive species material shall be paid under the appropriate contract items for the class of excavation being performed.

5.9 Materials required to replace material for excavated areas will be paid for as item 203.6 – Embankment-In-Place or other appropriate items for the contract.

5.10 Disposal of invasive species material and their associated soils by burying within the road section shall be paid under Item 203.6 – Embankment-In-Place

5.10.1 If disposal off-site is approved disposal fees shall be paid as provided for in 104.02 and 109.04

5.11 If standard clearing and grubbing methods are used per 3.4.2.1, no additional payment will be made under item 201.882

Add to Pay items and Units:

201.882	Invasive Species Control Type II	Square Yard
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SPECIAL PROVISIONS
AMENDMENT TO SECTION 203 – EXCAVATION AND EMBANKMENT
Item 203.601 – Embankment-In-Place

Add to Basis of Payment

5.1.10 The accepted quantity of embankment-in-place for this project will be paid for at the Contract unit price per cubic yard complete in place.

Add to Pay items and units:

203.601	Embankment-In-Place	Cubic Yard
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END OF SECTION

SPECIAL PROVISIONS
AMENDMENT TO SECTION 304 – AGGREGATE BASE COURSE
Item 304.201 - Gravel

Add to Method of Measurement

4.5 Gravel for this project will be measured by the cubic yard of compacted materials placed with the limits shown on the plans.

Add to Basis of Payment

5.4 The accepted quantity of gravel for this project will be paid for at the Contract unit price per cubic yard complete in place.

Add to Pay items and units:

304.201	Gravel	Cubic Yard
---------	--------	------------

END OF SECTION

SPECIAL PROVISIONS
AMENDMENT TO SECTION 304 – AGGREGATE BASE COURSE
Item 304.301 – Crushed Gravel

Add to Method of Measurement

4.5 Crushed Gravel for this project will be measured by the cubic yard of compacted materials placed with the limits shown on the plans.

Add to Basis of Payment

5.4 The accepted quantity of crushed gravel for this project will be paid for at the Contract unit price per cubic yard complete in place.

Add to Pay items and units:

304.301	Crushed Gravel	Cubic Yard
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END OF SECTION

SPECIAL PROVISIONS
AMENDMENT TO SECTION 619 – MAINTENANCE OF TRAFFIC

The work under these items shall conform to the relevant provisions of Section 619 of the Standard Specifications and the following.

Add to Construction Requirements:

3.4 All work shall be prosecuted so pedestrian and traffic flow can be maintained whenever possible. No travel lane or sidewalk closures will be allowed without prior approval from the Engineer. If lane closures are required, a traffic flagging and/or detour plan will be generated and will need to be approved by the City prior to its implementation

3.5 The Contractor will develop a construction staging and/or detour plan for this project. The plan shall be submitted to be approved by the Engineer.

3.6 Access shall be maintained to the abutting driveways and entrances at all times during construction. Open lanes of the road shall be graded safely for traffic at all times. A 24 hour contact will be required in case of emergency or safety concerns or in case the road surface needs attention.

3.7 Pedestrian walkways etc. may be ordered by the Engineer if the need arises. The Contractor is responsible for the safety of pedestrians at all times, including non-working hours.

3.8 All costs associated with the application of these measures or other measures directed by the Engineer shall be paid for under these items and will not be further chargeable to the project, except as stipulated and specified under Contract Items.

3.8 No Road closures are permitted after August 29, 2022.

Amend 5.1.4 to read:

5.1.4 The material cost of permanent construction signs is subsidiary to the Pay Item.

Add to 5.1:

5.1.10 The following items are incidental to the 619.1 Pay Item: Traffic control, construction signs (permanent and temporary), traffic control plans, and traffic cones and barrels.

SPECIAL PROVISION
AMENDMENT TO SECTION 645 – EROSION CONTROL
Item 645.512 – Compost Sock for Perimeter Berm

This special provision provides for compost sock for perimeter berm and neither amends nor modifies the provision of this section except as noted below. The intent of this item is to work in conjunction with or in lieu of silt fence where entrenched silt fence is not feasible.

Description

1.1 The Contractor shall furnish and install degradable compost socks for perimeter berm at locations shown on the SWPPP plans or as ordered. Removal, if necessary, will be subsidiary to the item, and will be conducted as directed by the Engineer. The compost sock for perimeter berm shall be used as such and is not intended for areas which may receive concentrated flows such as channels or restricted outlets.

Materials

2.1 Compost Sock for Perimeter Berm. Sock must be:

2.1.1 A mesh tube, oval to round in cross section, 12 inches in diameter. Sock must have a minimum durability of one year after installation.

2.1.2 Composed of a knitted biodegradable or photodegradable material with 1/8 to 3/8 inch openings. Fabric must be clean; evenly woven; free of encrusted concrete or other contaminated materials; and free from cuts, tears, broken or missing yarns and thin, open, or weak places.

2.2 Compost Media.

2.2.1 Compost may be derived from green material consisting of chipped, shredded, or ground vegetation; or clean recycled wood products.

2.2.2 Compost must not be derived from mixed municipal solid waste and be reasonably free of visible contaminants. Compost must not contain paint, petroleum products, pesticides or any other chemical residues harmful to animal life or plant growth. Compost must not possess objectionable odors.

2.3 Chemical, Physical and Biological Parameters.

2.3.1 Compost products specified for use in this application must meet the criteria specified in Table 1, below.

2.3.2 Only compost products that meet all applicable state and federal regulations pertaining to its production and distribution may be used in this application. Approved compost products must meet related state and federal chemical contaminant (e.g., heavy metals, pesticides, etc.) and pathogen limits pertaining to the feedstocks (source materials) in which it is derived.

Table 1 - Compost Media Parameters

Parameters	Reported as (units of measure)	Characteristics
pH2	pH units	5.0-8.5
Soluble Salt Concentration (electrical conductivity)	dS/m (mmhos/cm)	Maximum 5
Moisture Content	%, wet weight basis	30-60
Organic Matter Content	%, dry weight basis	25-65
Particle Size	% passing a selected mesh size, dry weight basis	3" (75 mm), 100% passing 1" (25mm), 90% to 100% passing 3/4" (19mm), 70% to 100% passing 1/4" (6.4mm), 30% to 75% passing Maximum: particle size length of 6" (152mm) (no more than 60% passing 1/4" (6.4 mm) in high rainfall/flow rate situations)
Stability3 Carbon Dioxide Evolution Rate	mg CO2-C per g OM per day	<8
Physical Contaminants (man- made inerts)	%, dry weight basis	<1

Note: The composition of this media is similar to the vegetated filter berm media from AASHTO R 51. Very coarse (woody) composts that contain less than 30% of fine particles (1mm in size) shall be avoided, as optimum reductions in total suspended solids (TSS) is desired and berms may be seeded.

Construction Requirements

3.1 Site Preparation. To ensure optimum performance, cut down or remove heavy vegetation, and level uneven surfaces to ensure that the filter sock uniformly contacts the ground surface.

3.2 Installation.

3.2.1 Prior to installation, clear the area of obstructions including rocks, clods, and debris greater than one inch.

3.2.2 Fill socks uniformly with compost to the desired length such that the logs do not deform. Secure ends.

3.2.3 When more than one compost sock is required to achieve desired length, join socks longitudinally with a 1 foot 6 inch overlap.

3.2.4 Compost sock may be installed using installation method Type 1, Type 2, or a combination.

- Installation method Type 1:
 - Place directly on the ground with good contact with the finish grade.
 - Secure with wood stakes every 4 feet along the length of the compost sock.

- Secure the ends of the compost sock by placing a stake 6 inches from the end of the compost sock.
- Drive the stakes into the soil so that the top of the stake is less than 2 inches above the top of the compost sock.

○ Installation m

method Type 2:

- Place directly on the ground with good contact with the finish grade.
- Secure with rope and notched wood stakes.
- Drive stakes into the soil until the notch is even with the top of the compost sock.
- Lace the rope between stakes and over the compost sock. Knot the rope at each stake.
- Tighten the compost sock to the surface of the slope by driving the stakes further into the soil.

3.2.5 Install compost sock approximately parallel to the slope contour or as otherwise specified in the SWPPP or ordered by the Engineer.

3.3 Maintenance.

3.3.1 Inspect compost socks regularly, and after each rainfall event, to ensure that they are intact and functioning correctly. Remove sediment that builds up behind the sock before it interferes with the functionality of the sock. Deposit the removed sediment within the project limits so that the sediment is not subject to erosion by wind or by water.

3.3.2 Repair or replace split, torn, or unraveling socks. Replace broken or split stakes. Sagging or slumping compost socks must be repaired with additional stakes or replaced. Correct locations where rills and other evidence of concentrated runoff have occurred beneath the socks. Compost socks must be repaired or replaced within 24 hours of identifying the deficiency.

3.3.3 Remove sock mesh tubes when directed by the Engineer. Cut mesh and empty sock contents in place and rake to distribute evenly.

Method of Measurement

4.1 Compost sock for perimeter berm will be paid for by the linear foot to the nearest 1 foot. Measurement will be along the top of each continuous run complete in place.

Basis of Payment

5.1 The accepted quantity of compost sock for perimeter berm will be paid for at the Contract unit price per linear foot installed. No additional payment will be made for overlaps, splices, or the anchoring of the system.

Add to Pay items and units:

645.512	Compost Sock for Perimeter Berm	Linear Foot
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END OF SECTION

SPECIAL PROVISION
AMENDMENT TO SECTION 650 – Plantings General
AMENDMENT TO SECTION 651 – Evergreen trees
AMENDMENT TO SECTION 652 & 653 – Deciduous Trees

Item 651.01 – Eastern White Pine
Item 652.69 – Quercus Rubra Red Oak

The work under these items shall conform to the relevant provisions of Section 650 through 653 of the Standard Specifications and the following:

Add to Pay items and units:

651.01	EASTERN WHITE PINE	EACH
652.69	QUERCUS RUBRA RED OAK	EACH

Add to Section 650:

1. All planting holes shall be dug by hand- **NO MACHINES**. The only exceptions are **new** construction where new planting pits, planting beds with granite curbing, and planting sites with Silva Cells are being created. If a machine is used to dig in any of these situations and planting depth needs to be raised the material in the bottom of the planting hole **MUST** be firmed with machine to prevent sinking of the root ball.
2. **ALL** Wire and Burlap shall be removed from the root ball **AND** planting hole.
3. The root ball of the tree shall be worked so that the root collar of the tree is visible and no girdling roots are present.
4. The root collar of the tree shall be 2"-3" above grade of planting hole for finished depth.
5. All plantings shall be backfilled with soil from the site and amended no more than 20% with Organic Compost. The only exceptions are new construction where engineered soil is being used in conjunction with Silva Cells and where new planting beds are being created.
6. All plantings shall be backfilled in three lifts and **ALL** lifts shall be watered so the planting will be set and free of air pockets- **NO EXCEPTIONS**.
7. An earth berm shall be placed around the perimeter of the planting hole except where curbed planting beds or pits are being used.
8. 2"-3" of mulch shall be placed over the planting area.
9. At the time the planting is complete the planting shall receive additional water to ensure complete hydration of the roots, backfill material and mulch layer.
10. Stakes and guys shall be used where appropriate and/or necessary. Guy material shall be non-damaging to the tree.

All planting stock shall be specimen quality, free of defects, and disease or injury. The City of Rochester, NH reserves the right to refuse/reject any plant material or planting action that fails to meet the standards set forth. Locations of plantings shall be determined by the Engineer.

Add to Section 651:

1. Eastern White Pine trees shall be 6' to 8' tall.
2. A certificate shall be provided to the City for review and comment prior to planning.

Add to Section 652:

1. Quercus Rubra Red Oak trees shall have a 1.5" to 2" caliper.
2. A certificate shall be provided to the City for review and comment prior to planning.

END OF SECTION

SPECIAL PROVISION
AMENDMENT TO SECTIONS 625 – LIGHT POLE BASES

ITEM 1008.4

**ALTERATIONS AND ADDITIONS AS
NEEDED – UTILITY ADJUSTMENTS**

ALLOWANCE

The work under this item shall conform to the relevant provisions of Sections 625 of the Standard Specifications and the following.

Description

1.1: The item for Utility Adjustments shall provide an allowance for relocation of existing utility/light poles # ULT 315-11 and # ULT 315-11S as well as the replacement of the existing luminaire on existing light pole # 315-11. The Luminaire shall be a 65-Watt LED light and shall be approved by the City of Rochester prior to installation. Pole relocation and luminaire replacement shall be done as directed by the Engineer. Specific utility and lighting alterations shown on the plans are included in this item.

Appendix A: Wetland Permit



The State of New Hampshire
Department of Environmental Services



Robert R. Scott, Commissioner

WETLANDS AND NON-SITE SPECIFIC PERMIT 2022-00710

NOTE CONDITIONS

PERMITTEE: CITY OF ROCHESTER
45 OLD DOVER RD
ROCHESTER NH 03839

PROJECT LOCATION: BETTS RD AND CROSS RD INTERSECTION, ROCHESTER
TAX MAP #203, LOT #4

WATERBODY: UNKNOWN

APPROVAL DATE: MAY 11, 2022

EXPIRATION DATE: MAY 11, 2027

Based upon review of permit application 2022-00710 in accordance with RSA 482-A and RSA 485-A:17, the New Hampshire Department of Environmental Services (NHDES) hereby issues this Wetlands and Non-Site Specific Permit. To validate this Permit, signatures of the Permittee and the Principal Contractor are required.

PERMIT DESCRIPTION:

Fill a total of 848 square feet (SF), 374 SF permanent and 474 SF temporary, of palustrine forested wetland to reconstruct and reconfigure an existing roadway intersection.

THIS PERMIT IS SUBJECT TO THE FOLLOWING PROJECT-SPECIFIC CONDITIONS:

1. In accordance with Env-Wt 307.16, all work shall be done in accordance with plans dated March 11, 2022, by Greenman-Petersen, Inc., as received by the NH Department of Environmental Services (NHDES) on March 24, 2022.
2. In accordance with Env-Wt 307.10(b), work shall be done during low flow or in the dry unless a dredge dewatering, diversion, or cofferdam plan has been approved as part of the project.
3. In accordance with Env-Wt 307.03(a), no activity shall be conducted in such a way as to cause or contribute to any violation of surface water quality standards specified in RSA 485-A:8 or Env-Wq 1700; ambient groundwater quality standards established under RSA 485-C; limitations on activities in a sanitary protective area established under Env-Dw 302.10 or Env-Dw 305.10; or any provision of RSA 485-A, Env-Wq 1000, RSA 483-B, or Env-Wq 1400 that protects water quality.
4. In accordance with Env-Wt 307.03(b), all work, including management of soil stockpiles, shall be conducted so as to minimize erosion, minimize sediment transfer to surface waters or wetlands, and minimize turbidity in surface waters and wetlands using the techniques described in Env-Wq 1505.02, Env-Wq 1505.04, Env-Wq 1506, and Env-Wq 1508; the applicable BMP manual; or a combination thereof, if the BMP manual provides less protection to jurisdictional areas than the provisions of Env-Wq 1500.
5. In accordance with Env-Wt 307.03(c)(1), water quality control measures shall be selected and implemented based on the size and nature of the project and the physical characteristics of the site, including slope, soil type, vegetative cover, and proximity to jurisdictional areas.
6. In accordance with Env-Wt 307.03(c)(6), water quality control measures shall remain in place until all disturbed surfaces are stabilized to a condition in which soils on the site will not experience accelerated or unnatural erosion by achieving and maintaining a minimum of 85% vegetative cover using an erosion control seed mix, whether applied in a

www.des.nh.gov

29 Hazen Drive • PO Box 95 • Concord, NH 03302-0095

NHDES Main Line: (603) 271-3503 • Subsurface Fax: (603) 271-6683 • Wetlands Fax: (603) 271-6588

TDD Access: Relay NH 1 (800) 735-2964

blanket or otherwise, that is certified by its manufacturer as not containing any invasive species; or placing and maintaining a minimum of 3 inches of non-erosive material such as stone.

7. In accordance with Env-Wt 307.15(a), heavy equipment shall not be operated in any jurisdictional area unless specifically authorized by this permit.

8. In accordance with Env-Wt 307.15(b), mobile heavy equipment working in wetlands shall not be stored, maintained, or repaired in wetlands, except that repairing or refueling in a wetland is allowed if equipment cannot practicably be removed and secondary containment is provided.

9. In accordance with Env-Wt 307.03(g)(1), the person in charge of construction equipment shall inspect such equipment for leaking fuel, oil, and hydraulic fluid each day prior to entering surface waters or wetlands or operating in an area where such fluids could reach groundwater, surface waters, or wetlands.

10. In accordance with Env-Wt 307.03(g)(3) and (4), the person in charge of construction equipment shall maintain oil spill kits and diesel fuel spill kits, as applicable to the type(s) and amount(s) of oil and diesel fuel used, on site so as to be readily accessible at all times during construction; and train each equipment operator in the use of the spill kits.

11. In accordance with Env-Wt 307.03(g)(2), the person in charge of construction equipment shall repair any leaks prior to using the equipment in an area where such fluids could reach groundwater, surface waters, or wetlands.

12. In accordance with Env-Wt 307.12(a), within 3 days of final grading or temporary suspension of work in an area that is in or adjacent to surface waters, all exposed soil areas shall be stabilized by seeding and mulching, if during the growing season; or mulching with tackifiers on slopes less than 3:1 or netting and pinning on slopes steeper than 3:1 if not within the growing season.

THIS PERMIT IS SUBJECT TO THE FOLLOWING GENERAL CONDITIONS:

1. Pursuant to RSA 482-A:12, a copy of this permit shall be posted in a secure manner in a prominent place at the site of the approved project.
2. In accordance with Env-Wt 313.01(a)(5), and as required by RSA 482-A:11, II, work shall not infringe on the property rights or unreasonably affect the value or enjoyment of property of abutting owners.
3. In accordance with Env-Wt 314.01, a standard permit shall be signed by the permittee, and the principal contractor who will build or install the project prior to start of construction, and will not be valid until signed.
4. In accordance with Env-Wt 314.03(a), the permittee shall notify the department in writing at least one week prior to commencing any work under this permit.
5. In accordance with Env-Wt 314.08(a), the permittee shall file a completed notice of completion of work and certificate of compliance with the department within 10 working days of completing the work authorized by this permit.
6. In accordance with Env-Wt 314.06, transfer of this permit to a new owner shall require notification to, and approval of, the NHDES.
7. The permit holder shall ensure that work is done in a way that protects water quality per Env-Wt 307.03; protects fisheries and breeding areas per Env-Wt 307.04; protects against invasive species per Env-Wt 307.05; meets dredging activity conditions in Env-Wt 307.10; and meets filling activity conditions in Env-Wt 307.11.
8. This project has been screened for potential impact to known occurrences of protected species and exemplary natural communities in the immediate area. Since many areas have never been surveyed, or only cursory surveys have been performed, unidentified sensitive species or communities may be present. This permit does not absolve the permittee from due diligence in regard to state, local or federal laws regarding such communities or species. This permit does not authorize in any way the take of threatened or endangered species, as defined by RSA 212-A:2, or of any protected species or exemplary natural communities, as defined in RSA 217-A:3.
9. In accordance with Env-Wt 307.06(a) through (c), no activity shall jeopardize the continued existence of a threatened or endangered species, a species proposed for listing as threatened or endangered, or a designated or proposed critical habitat under the Federal Endangered Species Act, 16 U.S.C. §1531 et seq.; State Endangered Species Conservation Act, RSA 212-A; or New Hampshire Native Plant Protection Act, RSA 217-A.

File # 2022-00710

May 11, 2022

Page 3 of 3

10. In accordance with Env-Wt 307.02, and in accordance with federal requirements, all work in areas under the jurisdiction of the U.S. Army Corps of Engineers (USACE) shall comply with all conditions of the applicable state general permit.

APPROVED:



David Price

East Region Supervisor, Wetlands Bureau

Land Resources Management, Water Division

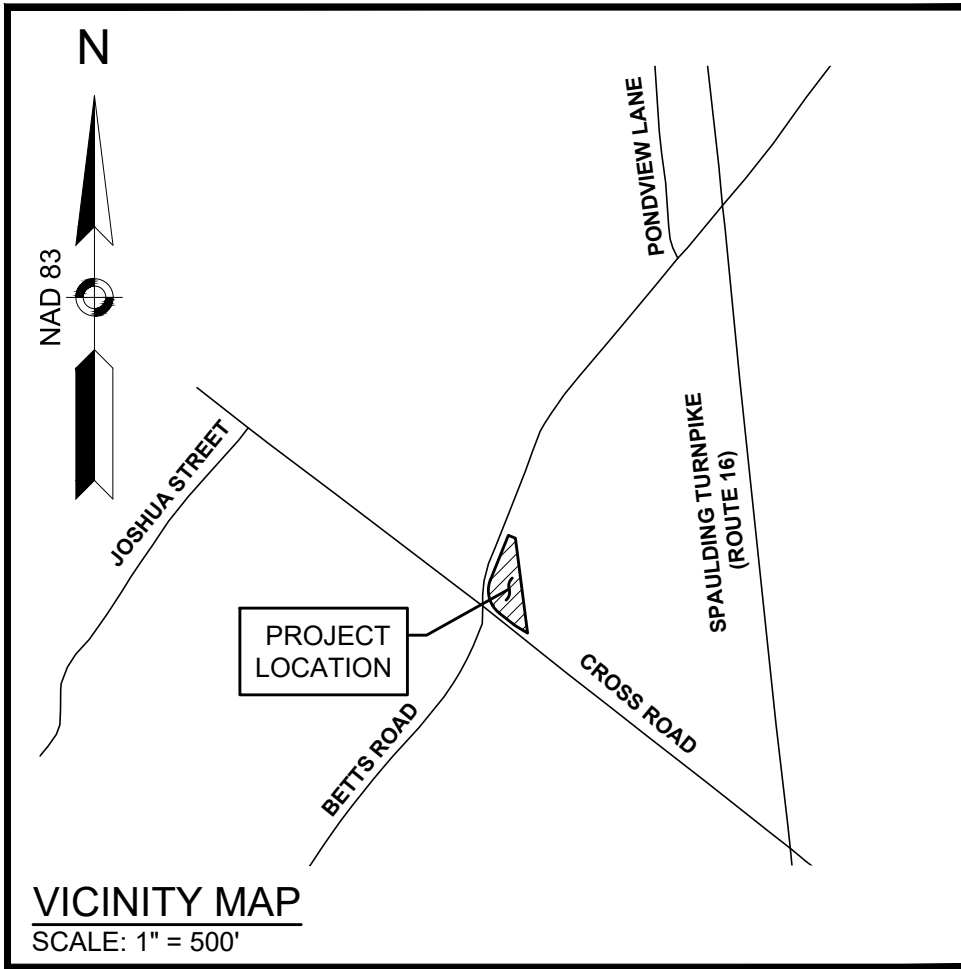
THE SIGNATURES BELOW ARE REQUIRED TO VALIDATE THIS PERMIT (Env-Wt 314.01).



PERMITTEE SIGNATURE (required)

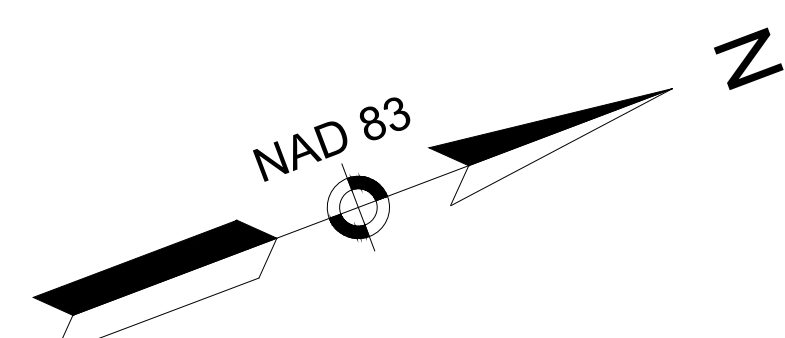
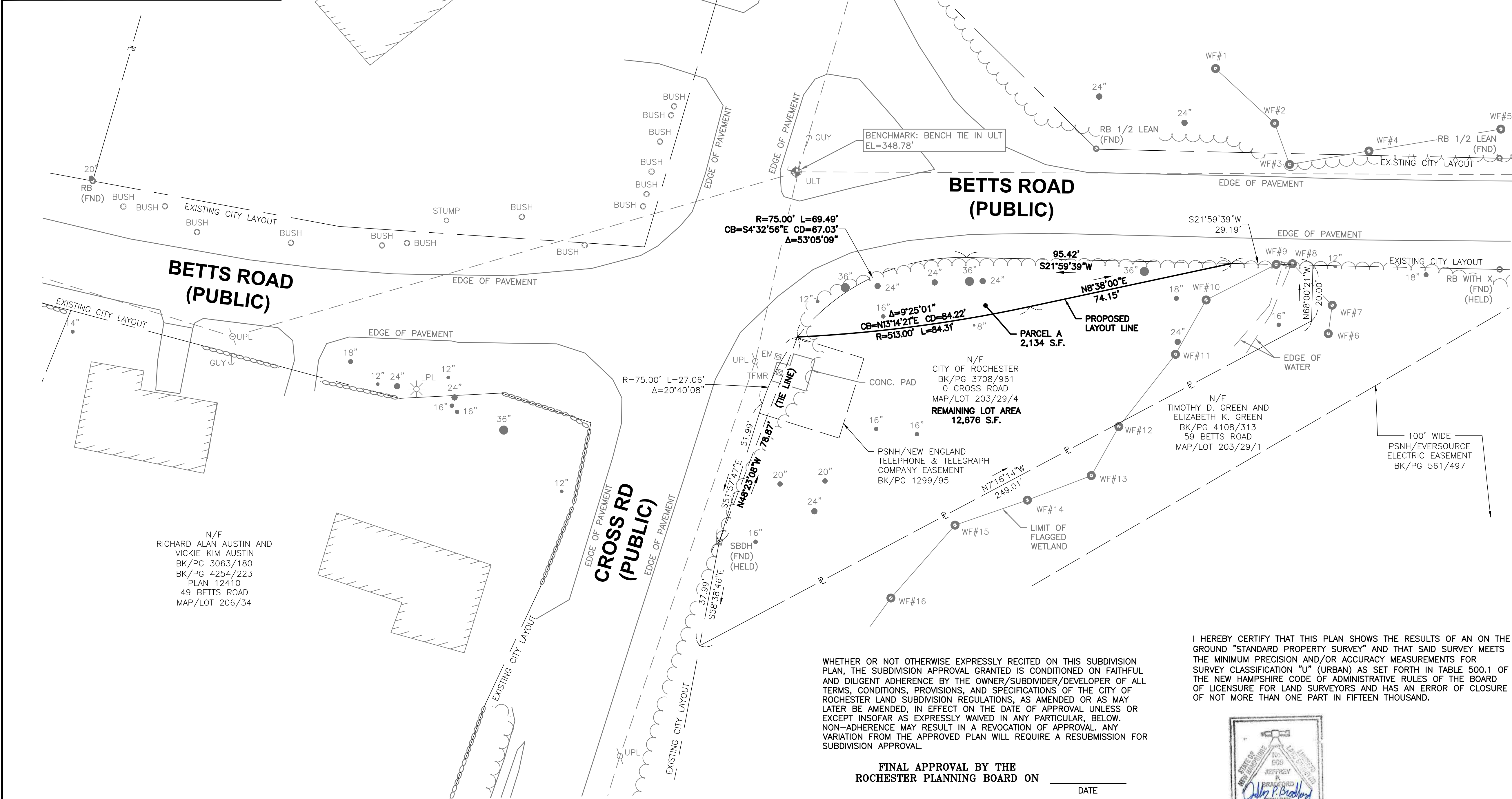
PRINCIPAL CONTRACTOR SIGNATURE (required)

Appendix B: Land Transfer Plan



N/F
JASON E. PEVERADA
BK/PG 4717/248
PB/PL 792/36
80 CROSS ROAD
MAP/LOT 206/31

N/F
ROCKWOOD
CONSTRUCTION, LLC
BK/PG 4902/618
115 KELMAR DRIVE
MAP/LOT 203/25/27

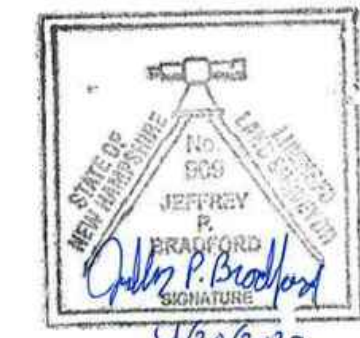


WHETHER OR NOT OTHERWISE EXPRESSLY RECITED ON THIS SUBDIVISION PLAN, THE SUBDIVISION APPROVAL IS CONDITIONED ON FAITHFUL AND DILIGENT ADHERENCE BY THE OWNER/SUBDIVIDER/DEVELOPER OF ALL TERMS, CONDITIONS, PROVISIONS, AND SPECIFICATIONS OF THE CITY OF ROCHESTER LAND SUBDIVISION REGULATIONS, AS AMENDED OR AS MAY LATER BE AMENDED, IN EFFECT ON THE DATE OF APPROVAL UNLESS OR EXCEPT INsofar AS EXPRESSLY WAIVED IN ANY PARTICULAR, BELOW. NON-ADHERENCE MAY RESULT IN A REVOCATION OF APPROVAL. ANY VARIATION FROM THE APPROVED PLAN WILL REQUIRE A RESUBMISSION FOR SUBDIVISION APPROVAL.

FINAL APPROVAL BY THE
ROCHESTER PLANNING BOARD ON _____ DATE _____

SIGNED BY _____ NAME _____ POSITION _____ DATE _____

I HEREBY CERTIFY THAT THIS PLAN SHOWS THE RESULTS OF AN ON THE GROUND "STANDARD PROPERTY SURVEY" AND THAT SAID SURVEY MEETS THE MINIMUM PRECISION AND/OR ACCURACY MEASUREMENTS FOR SURVEY CLASSIFICATION "U" (URBAN) AS SET FORTH IN TABLE 500.1 OF THE NEW HAMPSHIRE CODE OF ADMINISTRATIVE RULES OF THE BOARD OF LICENSURE FOR LAND SURVEYORS AND HAS AN ERROR OF CLOSURE OF NOT MORE THAN ONE PART IN FIFTEEN THOUSAND.



JEFFREY P. BRADFORD, LLS #909 DATE 4/20/2022

- NOTES:**
- 1) PARCEL IS SHOWN ON THE CITY OF ROCHESTER ASSESSOR'S MAP 203 AS LOT 29-4.
 - 2) OWNER ADDRESS:
CITY OF ROCHESTER
31 WAKEFIELD STREET
ROCHESTER, NH 03867
 - 3) PROPERTY ADDRESS:
0 CROSS ROAD
ROCHESTER, NH 03867
BK 3708 PG 961
 - 4) PARCEL IS NOT IN A FLOOD HAZARD ZONE AS SHOWN ON THE FIRM PANEL 33017C0201D EFFECTIVE MAY 17, 2005.
 - 5) EXISTING LOT AREA: 14,810 S.F. (0.34 AC.)
 - 6) PARCEL IS LOCATED IN ZONE (AG) AGRICULTURAL DISTRICT (SINGLE-FAMILY, CONVENTIONAL SUBDIVISION, NEITHER MUNICIPAL WATER NOR SEWER).
 - 7) DIMENSIONAL REQUIREMENTS:
MIN. LOT AREA: 45,000 SQUARE FEET
FRONTAGE: 150 FEET
SETBACKS:
FRONT: 20 FEET
SIDE: 10 FEET
REAR: 20 FEET

MAXIMUM STRUCTURE HEIGHT: 35 FEET
MAXIMUM BUILDING COVERAGE: 30%
MINIMUM OPEN SPACE: 65%
 - 8) THE PURPOSE OF THIS PLAN IS TO SHOW THE TRANSFER OF MUNICIPAL LAND (PARCEL A) INTO THE MUNICIPAL RIGHT OF WAY.
 - 9) HORIZONTAL DATUM: NAD 83, NEW HAMPSHIRE STATE PLANE COORDINATE SYSTEM
VERTICAL DATUM: NAVD 88
 - 10) THE MERIDIAN SHOWN ON THIS PLAN WAS ESTABLISHED BY GPS OBSERVATION ON SEPTEMBER 27, 2021.
 - 11) STANDARD PROPERTY SURVEY (URBAN) PERFORMED ON SEPTEMBER 27, 2021.
 - 12) NO ENCROACHMENTS WILL RESULT FROM THIS PROPOSED LOT LINE REVISION.
 - 12) FOR MORE INFORMATION ABOUT THIS PLAN, CONTACT THE CITY OF ROCHESTER PLANNING DEPARTMENT, 31 WAKEFIELD STREET, ROCHESTER, NH 03867. (603) 335-1338.

- PLAN REFERENCES:**
1. "SUBDIVISION PLAN LAND OF S & S JOINT VENTURES IN REAL ESTATE, LLC. BETTS ROAD & CROSS ROAD ROCHESTER, N.H. TAX MAP 203 LOT 29" PREPARED BY BERRY SURVEYING & ENGINEERING, DATED JUNE 28, 2007, REVISED TO NOVEMBER 14, 2007, SCALE 1"=40' (PLAN NO. 92-64)
 2. "PROPOSED MAJOR SUBDIVISION FOR KEL-MAR LLC. BETTS ROAD & CROSS ROAD ROCHESTER, N.H. TAX MAP 203 LOT 25" PREPARED BY BERRY SURVEYING & ENGINEERING, DATED JUNE 20, 2008, REVISED TO APRIL 22, 2009, SCALE 1"=80' (PLAN NO. 97-43)
 3. "SUBDIVISION PLAN BETTS ROAD CROSS ROAD NH ROUTE 16 SPAULDING TURNPIKE ROCHESTER STRAFFORD COUNTY NEW HAMPSHIRE, PREPARED FOR: MEREDITH A. WALTERS" PREPARED BY NORWAY PLAINS ASSOCIATES, INC., DATED FEBRUARY 2021, REVISED TO APRIL 27, 2021, SCALE 1" = 100' (PLAN NO. 12410)
 4. "PLANS OF PROPOSED R.O.W. PROJECT SPAULDING TURNPIKE EXTENSION LS-1828(3) N.H. PROJECT NO. P-2282" PREPARED BY MCFARLAND-JOHNSON-GIBBONS ENGINEERS, INC. RECORDED OCTOBER 8, 1981, SCALE 1"=50' (PLAN P023K-0060)

NO.	DESCRIPTION	DATE
1	MINOR DRAFTING EDITS	4/20/2022
0	FOR CITY REVIEW AND COMMENT	2/14/2022

REVISIONS

LAND TRANSFER PLAN
0 CROSS ROAD
TAX MAP 203 - LOT 29-4
OWNED BY
CITY OF ROCHESTER
COUNTY OF STRAFFORD
STATE OF NEW HAMPSHIRE
SCALE: 1"=20' FEBRUARY 14, 2022

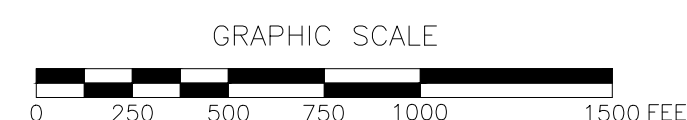
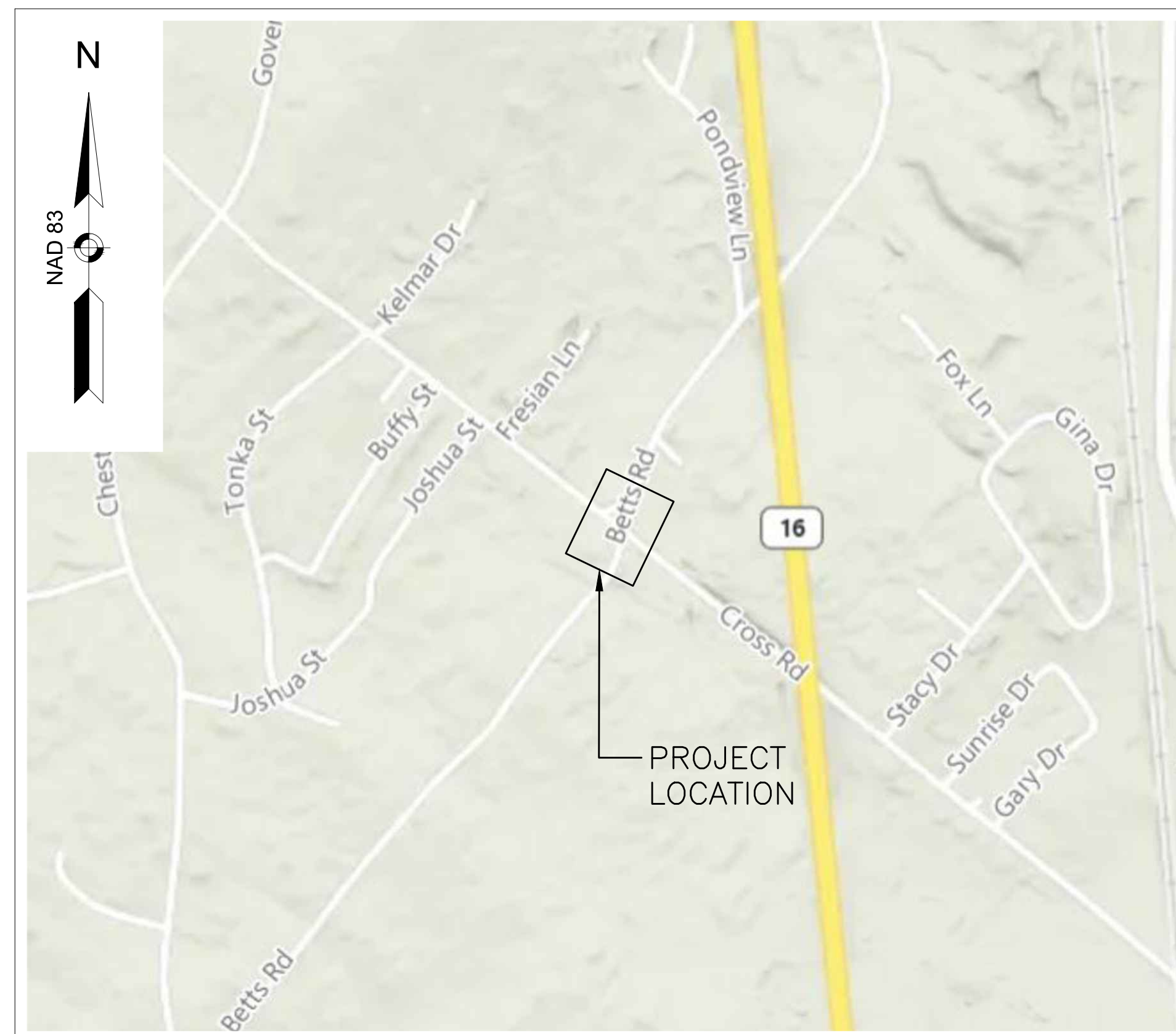
Appendix C: Construction Plans

BETTS ROAD AND CROSS ROAD INTERSECTION IMPROVEMENTS



IN THE CITY OF
ROCHESTER
STRAFFORD COUNTY
STATE OF NEW HAMPSHIRE

DESIGN SPEED:
BETTS ROAD = 30 MPH
CROSS ROAD = 35 MPH



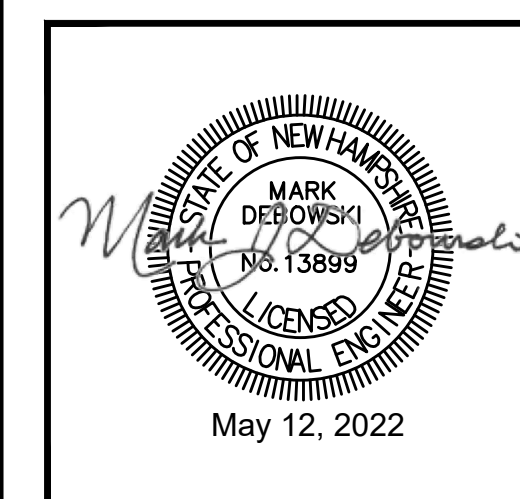
CONSTRUCTION PLANS

LENGTH OF PROJECT = 800 FEET = 0.15 MILES



PREPARED FOR
CITY OF ROCHESTER
45 OLD DOVER ROAD
ROCHESTER, NH 03867

BETTS ROAD AND CROSS ROAD
INTERSECTION IMPROVEMENTS
ROCHESTER, NEW HAMPSHIRE



REVISIONS		
NO.	REVISION	DATE

MAY 12, 2022
DRAWN/DESIGN BY: TSL/KLH
CHECKED BY: MJD

COVER SHEET

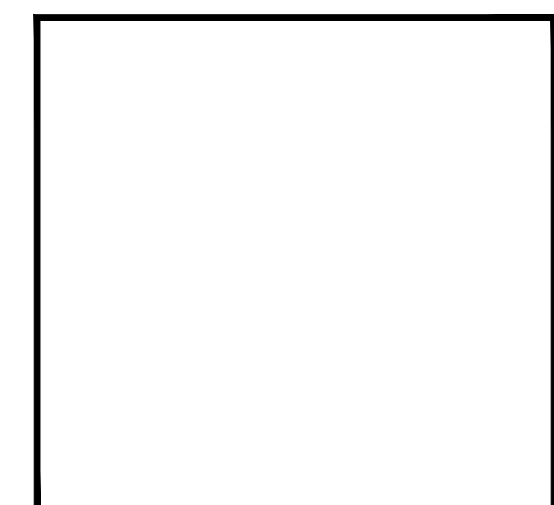
SCALE: NA
NEX-2020266



20266 CS.DWG 4/20/2022

PREPARED FOR
CITY OF ROCHESTER
45 OLD DOVER ROAD
ROCHESTER, NH 03867

**BETTS ROAD AND CROSS ROAD
INTERSECTION IMPROVEMENTS
ROCHESTER, NEW HAMPSHIRE**



REVISIONS		
NO.	REVISION	DATE

MAY 12, 2022
DRAWN/DESIGN BY TSL/KLH CHECKED BY MJD

INDEX SHEET

SCALE: NA
NEX-2020266

GENERAL NOTES

- ① FOR STANDARD PLANS, SEE DEPARTMENT OF TRANSPORTATION WEBSITE AT: WWW.NH.GOV/DOIT/ORG/PROJECTDEVELOPMENT/HIGHWAYDESIGN/STANDARDPLANS/INDEX.HTM.
- ② HIGH TENSION OVERHEAD TRANSMISSION LINES ARE LOCATED THROUGHOUT THE PROJECT WITH CROSSINGS AT VARIOUS LOCATIONS AND RUNNING ALONG THE ROAD THROUGHOUT THE PROJECT EVEN ON REGULAR POLES. THE CONTRACTOR IS ADVISED THAT EXTREME CAUTION WILL BE REQUIRED IN THE OPERATION OF EQUIPMENT, ESPECIALLY CRANES AND PILE DRIVING EQUIPMENT.
- ③ MODIFY SUPERELEVATION ON EXISTING CURVES BY THE USE OF A LEVELING COURSE TO THE RATES INDICATED ON THE PLANS OR AS ORDERED.
- ④ EXISTING DELINEATORS AND WITNESS MARKERS THAT ARE REMOVED AND DETERMINED BY THE ENGINEER TO BE IN ACCEPTABLE CONDITION SHALL BE RESET (SUBSIDIARY). ADDITIONAL DELINEATORS AND WITNESS MARKERS ORDERED WILL BE PAID UNDER THE APPROPRIATE ITEMS OF THE CONTRACT.
- ⑤ NO EXISTING MONUMENTS, BOUNDS, OR BENCHMARKS SHALL BE DISTURBED WITHOUT FIRST MAKING PROVISIONS FOR RELOCATION.
- ⑥ PERFORM ALL WORK WITHIN THE EXISTING RIGHT-OF-WAY, UNLESS OTHERWISE SHOWN ON THE PLANS OR AS ORDERED BY THE ENGINEER.
- ⑦ REMOVE UNPROTECTED PROJECT MARKERS (SUBSIDIARY).
- ⑧ SURVEY DATA FOR THIS PROJECT WAS COLLECTED BY GPI AND THE FIELD NOTES CAN BE FOUND IN THE FIELD BOOK(S) 20266. COORDINATES ARE NEW HAMPSHIRE STATE PLANE COORDINATES OF NAD83, 1986 ADJUSTMENT AND THE BEARINGS ARE GRID. ELEVATIONS ARE REFERENCED TO NGVD 1929.
- ⑨ QUANTITIES FOR EMBANKMENT AND EXCAVATION FOR SLOPE ROUNDINGS AS SHOWN ON THE TYPICALS HAVE NOT BEEN CALCULATED AND ARE NOT INCLUDED IN THE QUANTITY SUMMARIES, AND ARE CONSIDERED SUBSIDIARY TO THE APPROPRIATE 203 ITEMS.

THE FOLLOWING GENERAL NOTES WILL BE USED ON THIS PROJECT:											
①	④	⑤	⑥	⑦	⑧	⑨	○	○	○	○	○
○	○	○	○	○	○	○	○	○	○	○	○

INDEX OF SHEETS	
SHEET NO.	DESCRIPTION
1	COVER SHEET
2	INDEX OF SHEETS AND GENERAL NOTES
3	STANDARD SYMBOLS
4	TYPICAL SECTIONS
5	MISCELLANEOUS DETAILS
6	ALIGNMENT PLAN
7	GENERAL PLAN
8	SIGNING AND PAVEMENT MARKING PLAN
9	CONSTRUCTION PROFILES
10-13	CROSS SECTIONS
14	DETOUR PLAN

GENERAL SYMBOLS

EXISTING	PROPOSED	DESCRIPTION
		JERSEY BARRIER
		CATCH BASIN
		CATCH BASIN CURB INLET
		FLAG POLE
		GAS PUMP
		MAIL BOX
		POST SQUARE
		POST CIRCULAR
		WELL
		ELECTRIC HANDHOLE
		FENCE GATE POST
		GAS GATE
		BORING HOLE
		MONITORING WELL
		TEST PIT
		HYDRANT
		LIGHT POLE
		COUNTY BOUND
		GPS POINT
		CABLE MANHOLE
		DRAINAGE MANHOLE
		ELECTRIC MANHOLE
		GAS MANHOLE
		MISC MANHOLE
		SEWER MANHOLE
		TELEPHONE MANHOLE
		WATER MANHOLE
		MASSACHUSETTS HIGHWAY BOUND
		MONUMENT
		STONE BOUND
		TOWN OR CITY BOUND
		TRAVERSE OR TRIANGULATION STATION
		TROLLEY POLE OR GUY POLE
		TRANSMISSION POLE
		UTILITY POLE W/ FIREBOX
		UTILITY POLE WITH DOUBLE LIGHT
		UTILITY POLE W / 1 LIGHT
		UTILITY POLE
		BUSH
		TREE
		STUMP
		SWAMP / MARSH
		WATER GATE
		PARKING METER
		OVERHEAD CABLE/WIRE
		CURBING
		CONTOURS (ON-THE-GROUND SURVEY DATA)
		CONTOURS (PHOTOGRAMMETRIC DATA)
		UNDERGROUND DRAIN PIPE (DOUBLE LINE 24 INCH AND OVER)
		UNDERGROUND ELECTRIC DUCT (DOUBLE LINE 24 INCH AND OVER)
		UNDERGROUND GAS MAIN (DOUBLE LINE 24 INCH AND OVER)
		UNDERGROUND SEWER MAIN (DOUBLE LINE 24 INCH AND OVER)
		UNDERGROUND TELEPHONE DUCT (DOUBLE LINE 24 INCH AND OVER)
		UNDERGROUND WATER MAIN (DOUBLE LINE 24 INCH AND OVER)
		BALANCED STONE WALL
		GUARD RAIL - STEEL POSTS
		GUARD RAIL - WOOD POSTS
		CHAIN LINK OR METAL FENCE
		WOOD FENCE
		HAY BALES/SILT FENCE/COMPOST FILTER TUBES
		TREE LINE
		SAWCUT LINE
		TOP OR BOTTOM OF SLOPE
		LIMIT OF EDGE OF PAVEMENT OR COLD PLANE AND OVERLAY
		BANK OF RIVER OR STREAM
		BORDER OF WETLAND
		100 FT WETLAND BUFFER
		200 FT RIVERFRONT BUFFER
		STATE HIGHWAY LAYOUT
		TOWN OR CITY LAYOUT
		COUNTY LAYOUT
		RAILROAD SIDELINE
		TOWN OR CITY BOUNDARY LINE
		PROPERTY LINE OR APPROXIMATE PROPERTY LINE
		EASEMENT

EXISTING	PROPOSED	PAVEMENT MARKING LEGEND
		STOP LINE - 18" THERMOPLASTIC
		SINGLE SOLID LINE WHITE
		CROSSWALK - 2-12" THERMOPLASTIC
		SINGLE SOLID LINE WHITE
		8" SINGLE SOLID LINE WHITE
		* SINGLE BROKEN LINE WHITE - 4"
		* SINGLE DASHED LINE WHITE - 4"
		** SINGLE DASHED LINE YELLOW - 4"
		SINGLE SOLID LINE YELLOW - 4"
		8" SINGLE SOLID LINE YELLOW
		12" SINGLE SOLID LINE YELLOW
		DOUBLE SOLID LINE YELLOW - 2-4"
		SINGLE SOLID WITH BROKEN LINE YELLOW - 2-4"
		THERMOPLASTIC PAVEMENT ARROW & LEGEND
		THERMOPLASTIC PAVEMENT ARROWS

* BROKEN LANE LINES TO BE 10' IN LENGTH WITH 30' GAP (TYP.)
 ** DASHED LINES TO BE 2' IN LENGTH WITH 6' GAP (TYP.)

NOTE: ALL PAVEMENT MARKINGS SHALL CONFORM TO PAVEMENT MARKING STANDARDS FOUND IN NHDOT STANDARD PLANS (MOST RECENT VERSION).

NOT TO SCALE

GENERAL ABBREVIATIONS	GENERAL ABBREVIATIONS
ABAN	ABANDON
ACCOMP	ASPHALT COATED CORRUGATED METAL PIPE
ADJ	ADJUST
APPROX	APPROXIMATE
BC	BOTTOM OF CURB
BD	BOUND
BIT CONC	BITUMINOUS CONCRETE
BO	BY OTHERS
BM	BENCH MARK
BW	BOTTOM OF WALL
CC	CEMENT CONCRETE
CCB	CAPE COD BERM
CI	CURB INLET
CIP	CAST IRON PIPE
CIT	CHANGE IN TYPE
CLR	CLEARANCE
CLF	CHAIN LINK FENCE
CLDI	CEMENT LINED DUCTILE IRON
CMP	CORRUGATED METAL PIPE
CONST	CONSTRUCTION
CP	CENTER POINT
CPP	CORRUGATED PLASTIC PIPE
COND	CONDUIT
DIP	DUCTILE IRON PIPE
DR	DRIVEWAY
ELEV OR EL	ELEVATION
EOP	EDGE OF PAVEMENT
ETW	EDGE OF TRAVELED WAY
EXIST	EXISTING
F&C	FRAME AND COVER
F&G	FRAME AND GRATE
FDN	FOUNDATION
FND	FOUND
FWD	FILLED WITH DEBRIS
GRAN	GRANITE
HMA	HOT MIX ASPHALT
HOR	HORIZONTAL
HP	HIGH POINT
HYD	HYDRANT
INV	INVERT
LB	LEACHING BASIN
LO	LAYOUT
MAX	MAXIMUM
MIN	MINIMUM
MON	MONUMENT
NIC	NOT IN CONTRACT
NGVD	NATIONAL GEODETIC VERTICAL DATUM
N/F	NOW OR FORMERLY
OHW	OVERHEAD WIRE
PC	POINT OF CURVATURE
PCC	POINT OF COMPOUND CURVATURE
PGL	PROFILE GRADE LINE
PI	POINT OF INTERSECTION
POC	POINT ON CURVE
PRC	POINT OF REVERSE CURVATURE
PROP	PROPOSED
PT	POINT OF TANGENCY
PVC	POINT OF VERTICAL CURVATURE
PVCC	POINT OF VERTICAL COMPOUND CURVATURE
PVCP	POLYVINYLCHLORIDE PIPE
PVI	POINT OF VERTICAL INTERSECTION
PVMT	PAVEMENT
PVRC	POINT OF VERTICAL REVERSE CURVATURE
PVT	POINT OF VERTICAL TANGENCY
PWW	PAVED WATERWAY
R	RADIUS
R&D	REMOVE AND DISPOSE
R&R	REMOVE AND RESET
R&S	REMOVE AND STACK
REM	REMOVE
REMOD	REMODEL
RCP	REINFORCED CONCRETE PIPE
RET	RETAIN
ROW	RIGHT OF WAY
RR	RAILROAD
SB	STONE BOUND
SB/DH	STONE BOUND/DRILL HOLE
SHLD	SHOULDER
SHLO	STATE HIGHWAY LAYOUT
SSD	STOPPING SIGHT DISTANCE
STA	STATION
STL	STEEL
TBM	TEMPORARY BENCH MARK
TC	TOP OF CURB
TOS	TOP OF SLOPE
TS	TRAFFIC SIGNAL
TSV & B	TAPPING SLEEVE, VALVE AND BOX
TYP	TYPICAL
TW	TOP OF WALL
UC	UNDER CONSTRUCTION
USGS	U.S. GEOLOGICAL SURVEY
UP	UTILITY POLE
VCP	VITRIFIED CLAY PIPE
VERT	VERTICAL
WCR	WHEELCHAIR RAMP

- ADDITIONAL NOTES
- THIS PROJECT SHALL BE CONSTRUCTED IN ACCORDANCE WITH NHDOT STANDARD SPECIFICATIONS DATED 2016, CURRENT STANDARD PLANS, SUPPLEMENTAL SPECIFICATIONS AND SPECIAL PROVISIONS INCLUDED IN THE PROPOSAL.
 - THE LOCATIONS OF EXISTING UNDERGROUND UTILITIES ARE SHOWN IN AN APPROXIMATE WAY ONLY AND HAVE NOT BEEN INDEPENDENTLY VERIFIED BY THE OWNER OR ITS REPRESENTATIVE. THE CONTRACTOR SHALL DETERMINE THE EXACT LOCATION OF ALL EXISTING UTILITIES BEFORE COMMENCING WORK, AND AGREES TO BE FULLY RESPONSIBLE FOR ANY AND ALL DAMAGES WHICH MIGHT BE OCCASIONED BY THE CONTRACTOR'S FAILURE TO LOCATE EXACTLY AND TO PRESERVE ANY AND ALL UNDERGROUND UTILITIES. CALL "DIG-SAFE" 1-888-DIGSAFE (344-7233) AT LEAST 72 HOURS BEFORE COMMENCING CONSTRUCTION.
 - THE CONTRACTOR SHALL TAKE EXTRA CARE NOT TO DISTURB THE UTILITY BOXES LOCATED ON THE NORTHEAST CORNER OF THE INTERSECTION. ANY UNINTENDED DISTURBANCE SHALL BE REPORTED TO THE UTILITY COMPANY IMMEDIATELY.
 - WHERE AN EXISTING UNDERGROUND UTILITY IS FOUND TO CONFLICT WITH THE PROPOSED WORK, THE LOCATION, ELEVATION AND SIZE OF THE UTILITY SHALL BE ACCURATELY DETERMINED WITHOUT DELAY BY THE CONTRACTOR, AND THE INFORMATION FURNISHED TO THE ENGINEER FOR RESOLUTION OF THE CONFLICT.
 - THE CONTRACTOR SHALL MAKE ALL ARRANGEMENTS FOR THE ALTERATION AND ADJUSTMENT OF GAS, ELECTRIC, TELEPHONE AND ANY OTHER PRIVATE UTILITIES BY THE UTILITY COMPANIES.
 - AREAS OUTSIDE THE LIMITS OF PROPOSED WORK DISTURBED BY THE CONTRACTOR'S OPERATIONS SHALL BE RESTORED BY THE CONTRACTOR TO THEIR ORIGINAL CONDITION AT THE CONTRACTOR'S EXPENSE.
 - THE TERM "PROPOSED" (PROP.) MEANS WORK TO BE CONSTRUCTED USING NEW MATERIALS, OR, WHERE APPLICABLE, RE-USING EXISTING MATERIALS IDENTIFIED AS "REMOVE & RESET" (R & R).
 - ALL EXISTING SIGNS WITHIN THE PROJECT LIMITS SHALL BE RETAINED UNLESS NOTED OTHERWISE.
 - ALL SYMBOLS, WORDS, TRANSVERSE MARKINGS (STOP BARS, CROSSWALK LINES, AND RAILROAD SYMBOLS) LANE LINES AND ALL OTHER MARKINGS NOTED WITH (T) SHALL BE THERMOPLASTIC.
 - THE CONTRACTOR SHALL CONTACT THE ROCHESTER ENGINEERING DEPARTMENT AT (603) 332-4096 ONE WEEK PRIOR TO PAVEMENT MARKING.
 - ALL EXISTING STATE, COUNTY, CITY AND TOWN LOCATION LINES AND PRIVATE PROPERTY LINES HAVE BEEN ESTABLISHED FROM AVAILABLE INFORMATION AND THEIR EXACT LOCATIONS ARE NOT GUARANTEED. ALL PROPERTY LINES BETWEEN ABUTTERS ARE APPROXIMATE ONLY.
 - ALL DISTURBED AREAS NOT DESIGNATED TO BE PAVED SHALL BE RE-GRADED AND SEEDED USING ITEM 646.41. THE MATERIAL SHALL FOLLOW NHDOT TURF ESTABLISHMENT REQUIREMENTS AND SHALL BE PLACED FLUSH WITH THE TOP OF THE ADJACENT CURB, EDGING, BERM OR PAVEMENT SURFACE.
 - THE LIMIT OF WORK AREA SHALL BE THE STREET RIGHT OF WAY UNLESS SHOWN OTHERWISE.
 - PRIOR TO THE START OF ANY NEW UTILITY WORK, ALL ELEVATIONS OF EXISTING UTILITIES IN THOSE AREAS ARE TO BE VERIFIED. THE ENGINEER IS TO BE NOTIFIED IMMEDIATELY SHOULD ANY DISCREPANCIES OCCUR.
 - ALL CASTINGS SHALL BE SET FLUSH WITH FINISHED GRADE.
 - ALL GATE BOXES, SERVICE BOXES AND PUBLICLY OWNED MANHOLE FRAMES AND COVERS SHALL BE ADJUSTED TO GRADE BY THE CONTRACTOR. ADJUSTMENT OF THESE CASTINGS SHALL BE INCLUDED IN THE LUMP SUM BID PRICE.

GPI Engineering
 Design
 Planning
 Construction Management
 603.631.2243
 Greenman-Pedersen, Inc.
 21 Daniel Street, Second Floor
 Portsmouth, NH 03801
 GPINET.COM

PREPARED FOR
 CITY OF ROCHESTER
 45 OLD DOVER ROAD
 ROCHESTER, NH 03867

**BETTS ROAD AND CROSS ROAD
 INTERSECTION IMPROVEMENTS
 ROCHESTER, NEW HAMPSHIRE**

NO.	REVISION	DATE

REVISIONS		
NO.	REVISION	DATE

STANDARD SYMBOLS

SCALE: N.T.S.

NEX-2020266

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PAVEMENT NOTES

FULL DEPTH HMA CONSTRUCTION

SURFACE COURSE: ITEM 403.11043 - HBP-1/2" WEARING, MACHINE METHOD (1 1/2" MIN.)

BASE: ITEM 403.11023 - HBP-BINDER, MACHINE METHOD (2 1/2" MIN.)

SUBBASE: ITEM 304.301 - CRUSHED GRAVEL (6" MIN.)
ITEM 304.201 - GRAVEL (12" MIN.)

FINE MILLING & HMA OVERLAY

PROPOSED RESURFACING: ITEM 403.11043 - HBP-1/2" WEARING, MACHINE METHOD (1 1/2" MIN.)

CEMENT) PAVER 411.3 - PLANT MIX SURFACE TREATMENT (ASPHALT SHIM (1" MAX.))

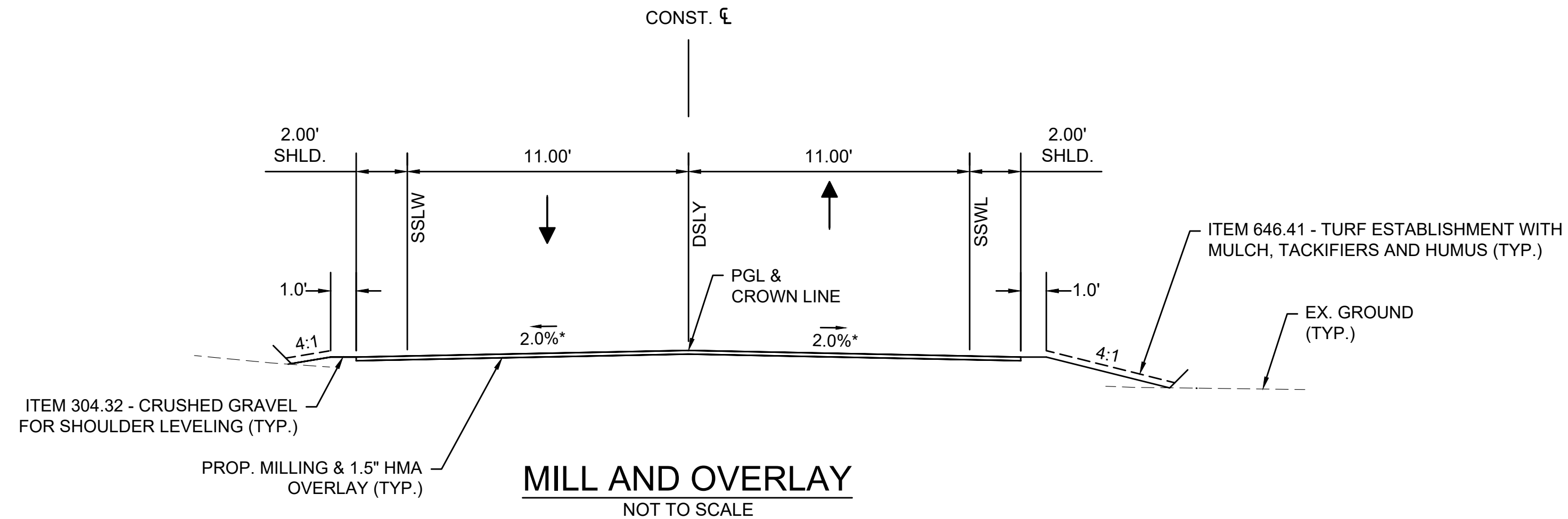
SURFACE MILLING: ITEM 417. - COLD PLANING BITUMINOUS SURFACES (1 1/2" MIN.)

HMA DRIVEWAYS

SURFACE COURSE: ITEM 403.12 - HOT BITUMINOUS PAVEMENT, HAND METHOD

GENERAL NOTES:

- ITEM 403.16 - PAVEMENT JOINT ADHESIVE SHALL BE USED ON ALL LONGITUDINAL JOINTS ON THE BINDER AND WEARING COURSES.
- ITEM 410.22 - ASPHALT EMULSION FOR TACK COAT SHALL BE APPLIED ON ALL PAVEMENT LIFTS (PROPOSED AND EXISTING SURFACES).
- COMPACT SUBGRADE (CONFORMING TO ITEM 214.) PRIOR TO PLACING PAVEMENT COURSES. COMPACTION SHALL BE SUBSIDIARY TO ROADWAY MATERIALS.
- EXCAVATION OF PAVEMENT, TOPSOIL, AND OTHER MATERIALS FOR INSTALLATION OF SELECT MATERIALS AND ROADWAY SLOPES SHALL BE PAID AS ITEM 203.1 - COMMON EXCAVATION
- INVASIVE SPECIES, IF ENCOUNTERED, SHALL BE REMOVED IN ACCORDANCE WITH NHDOT BEST MANAGEMENT PRACTICES FOR ROADSIDE INVASIVE PLANTS (LATEST VERSION) AND SHALL BE SUBSIDIARY TO ITEM 203.1 - COMMON EXCAVATION.
- WEARING COURSE PAVEMENT MAY BE PLACED THE SAME DAY AS THE BINDER COURSE ONLY IF THE BINDER COURSE HAS COOLED TO A MINIMUM OF 120-DEGREES F. PAVEMENT MARKINGS MAY ONLY BE INSTALLED 48-HOURS MINIMUM AFTER WEARING COURSE PLACEMENT.



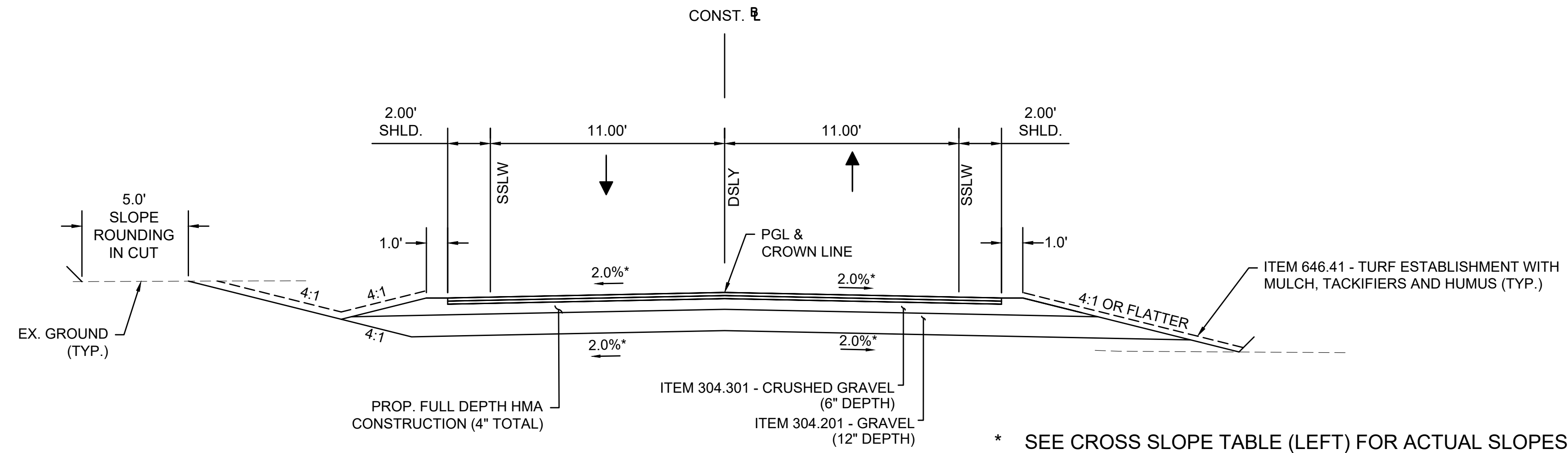
MILL AND OVERLAY
NOT TO SCALE

BETTS ROAD STA. 11+75 TO STA. 13+50**

STA. 16+25 TO STA. 16+50**

CROSS ROAD STA. 23+00 TO STA. 26+00**

* SEE CROSS SECTIONS FOR ACTUAL CROSS SLOPES
** SEE LIMITS OF BOX WIDENING BELOW

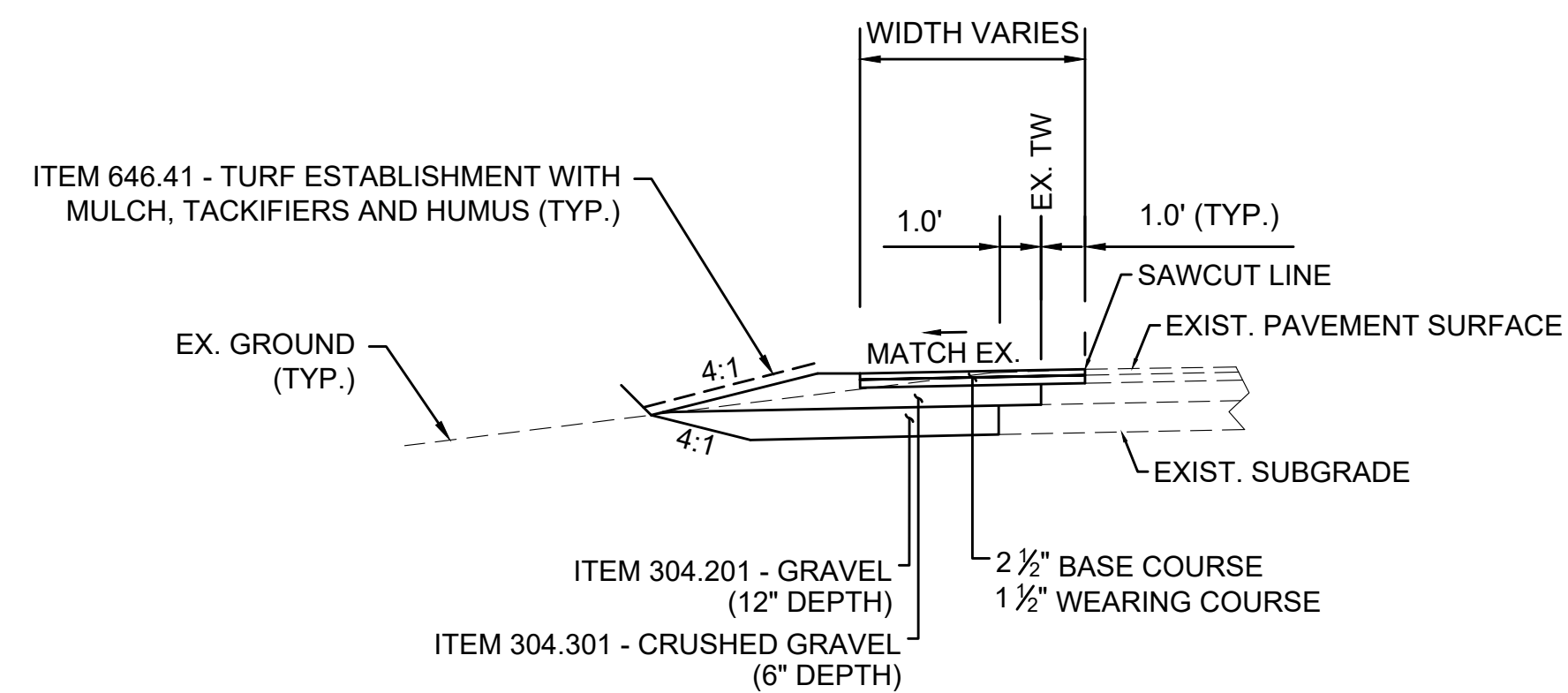


FULL DEPTH CONSTRUCTION
NOT TO SCALE

BETTS ROAD STA. 13+50 TO STA. 16+25

* SEE CROSS SLOPE TABLE (LEFT) FOR ACTUAL SLOPES

LEFT SHOULDER	LEFT TRAVELWAY	STATION	RIGHT TRAVELWAY	RIGHT SHOULDER
MATCH EX.	MATCH EX.	11+75	MATCH EX.	MATCH EX.
		TO		
MATCH EX.	MATCH EX.	13+50	MATCH EX.	MATCH EX.
4.5%	4.5%	13+75	-4.5%	-4.5%
2.0%	2.0%	14+00	-2.0%	-2.0%
		TO		
2.0%	2.0%	15+85	-2.0%	-2.0%
0.9%	0.9%	16+00	-2.0%	-2.0%
-0.5%	-0.5%	16+25	-2.0%	-2.0%
MATCH EX.	MATCH EX.	16+50	MATCH EX.	MATCH EX.



FULL DEPTH BOX WIDENING DETAIL
NOT TO SCALE

BETTS ROAD STA. 12+12.6 TO STA. 13+08.1, LEFT

CROSS ROAD STA. 23+50.0 TO STA. 23+88.5, RIGHT
STA. 24+82.8 TO STA. 25+07.8, RIGHT

NOTE: LEFT SIDE WIDENING SHOWN.
RIGHT SIDE WIDENING SHALL MIRROR THIS DETAIL.

PREPARED FOR
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45 OLD DOVER ROAD
ROCHESTER, NH 03867

**BETTS ROAD AND CROSS ROAD
INTERSECTION IMPROVEMENTS
ROCHESTER, NEW HAMPSHIRE**

REVISIONS

NO.	REVISION	DATE

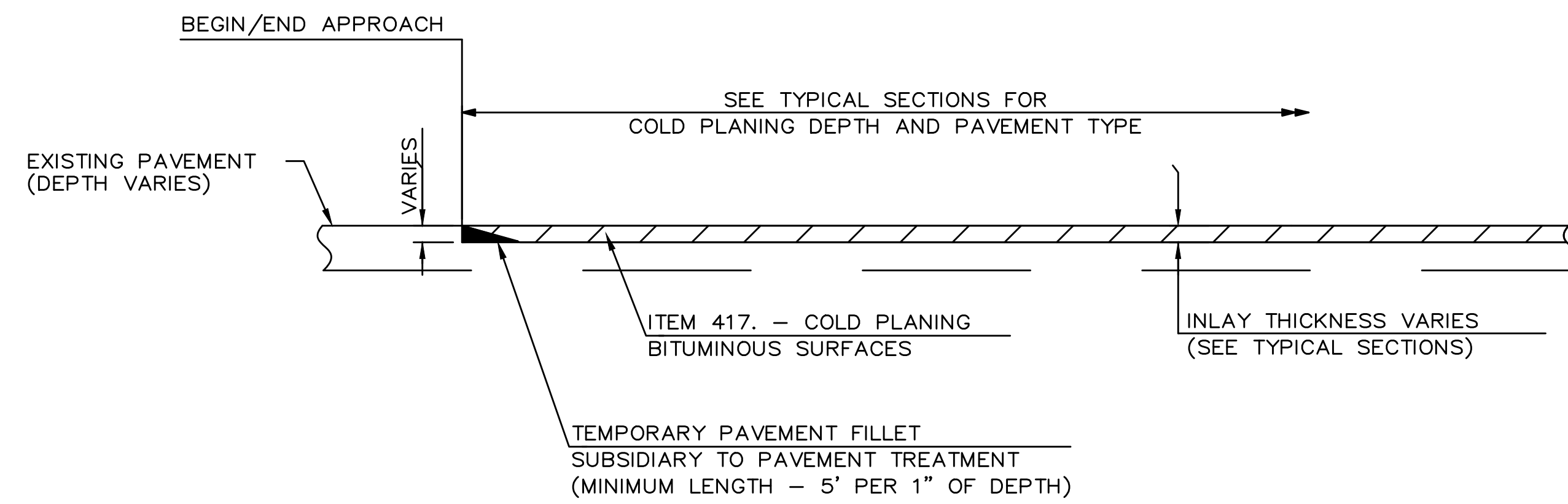
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CHECKED BY MJD

TYPICAL SECTIONS

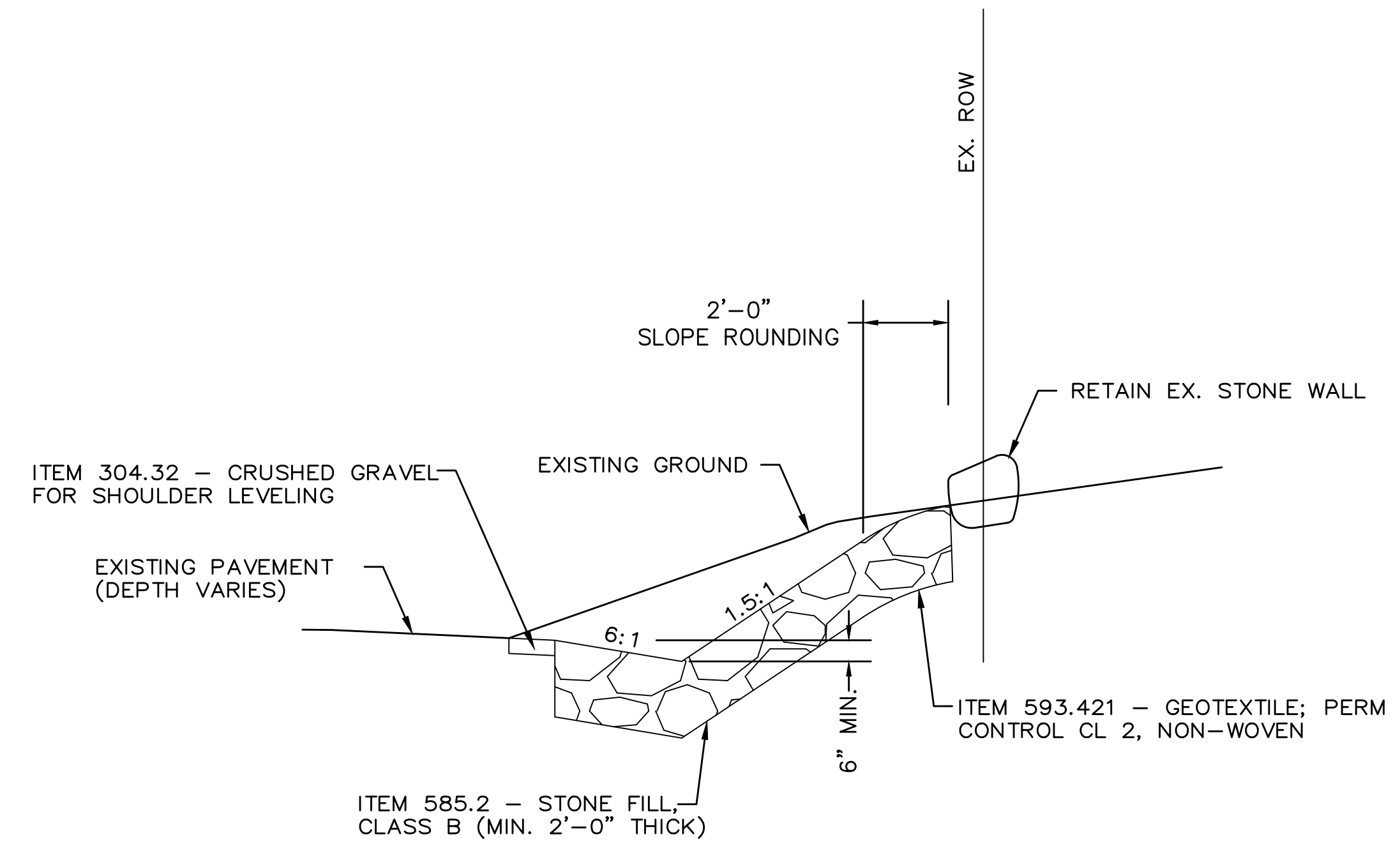
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NEX-2020266

**BETTS ROAD AND CROSS ROAD
INTERSECTION IMPROVEMENTS
ROCHESTER, NEW HAMPSHIRE**

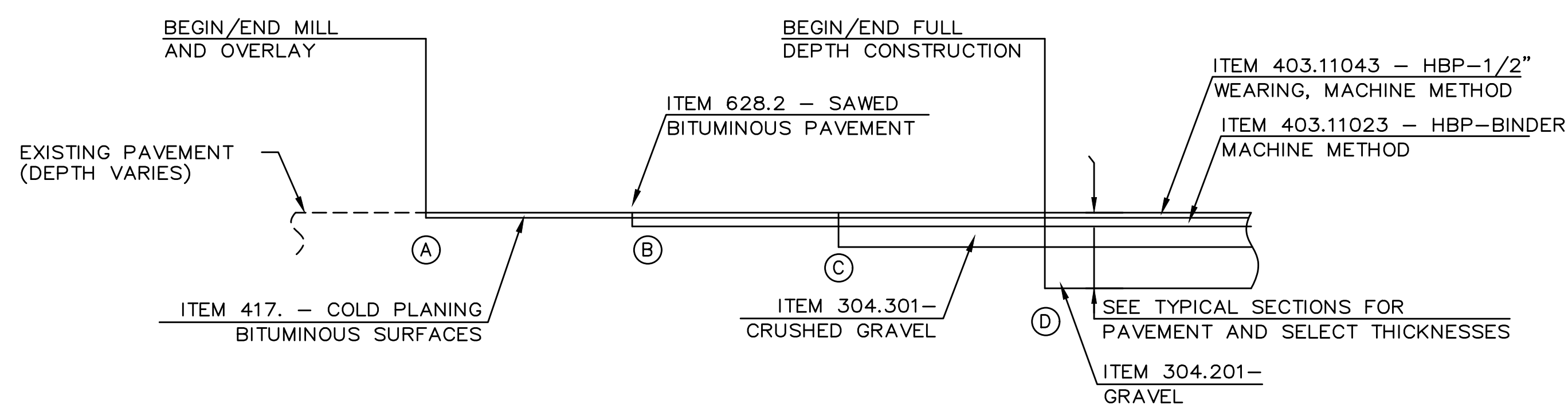


**MILL AND OVERLAY
PAVEMENT MATCH DETAIL**
NOT TO SCALE



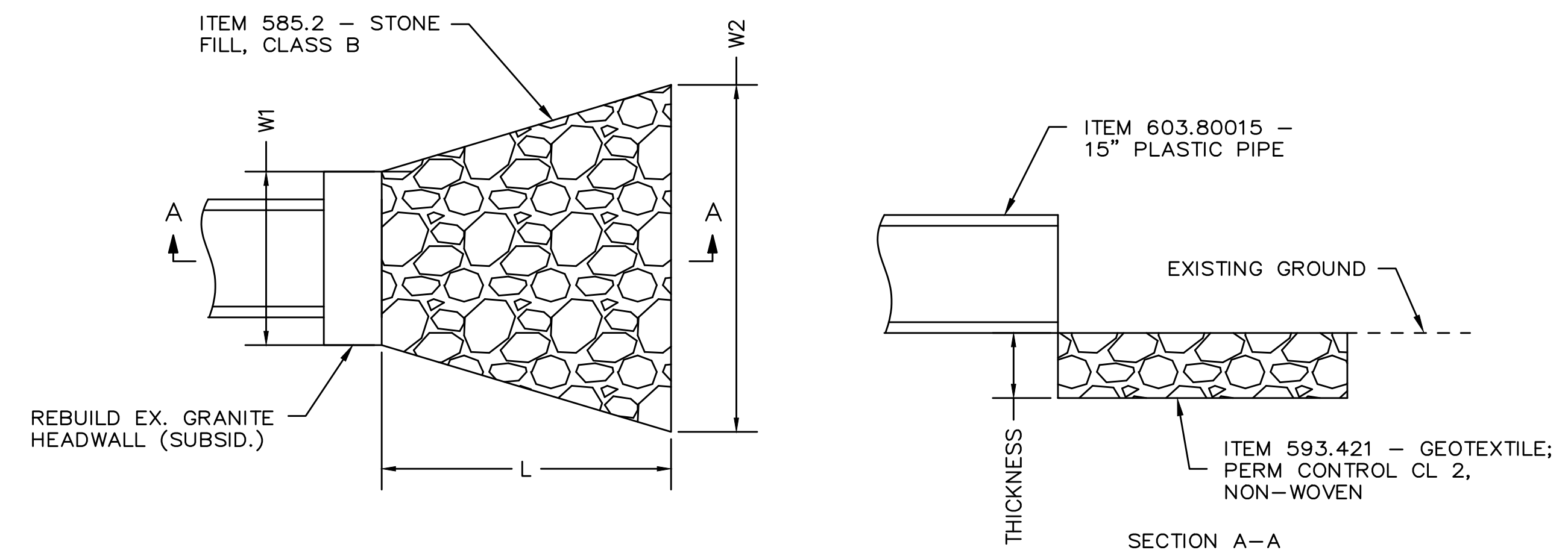
STONE SLOPE
NOT TO SCALE

CROSS ROAD: STA 23+03 TO STA 23+83, LEFT



FULL DEPTH PAVEMENT MATCH STATIONS				
LOCATION	WEARING A	BINDER B	CRUSHED GRAVEL C	GRAVEL D
BETTS ROAD AT INTERSECTION	11+75.0	13+46.0	13+48.0	13+50.0
BETTS ROAD - END APPROACH	16+50.0	16+29.0	16+27.0	16+25.0

**FULL DEPTH
PAVEMENT MATCH DETAIL**
NOT TO SCALE



STONE FILL AT PIPE OUTLET SUMMARY TABLE								
DRAINAGE NOTE	STONE CLASS	GEOTEXTILE CLASS	L (FT)	W1 (FT)	W2 (FT)	THICKNESS (FT)	VOLUME (CY)	GEOTEXTILE (SY)
15" CULVERT	B	2	5.0	3.8	7.1	2.0	2.0	3.0

PIPE OUTLET PROTECTION
NOT TO SCALE

REVISIONS

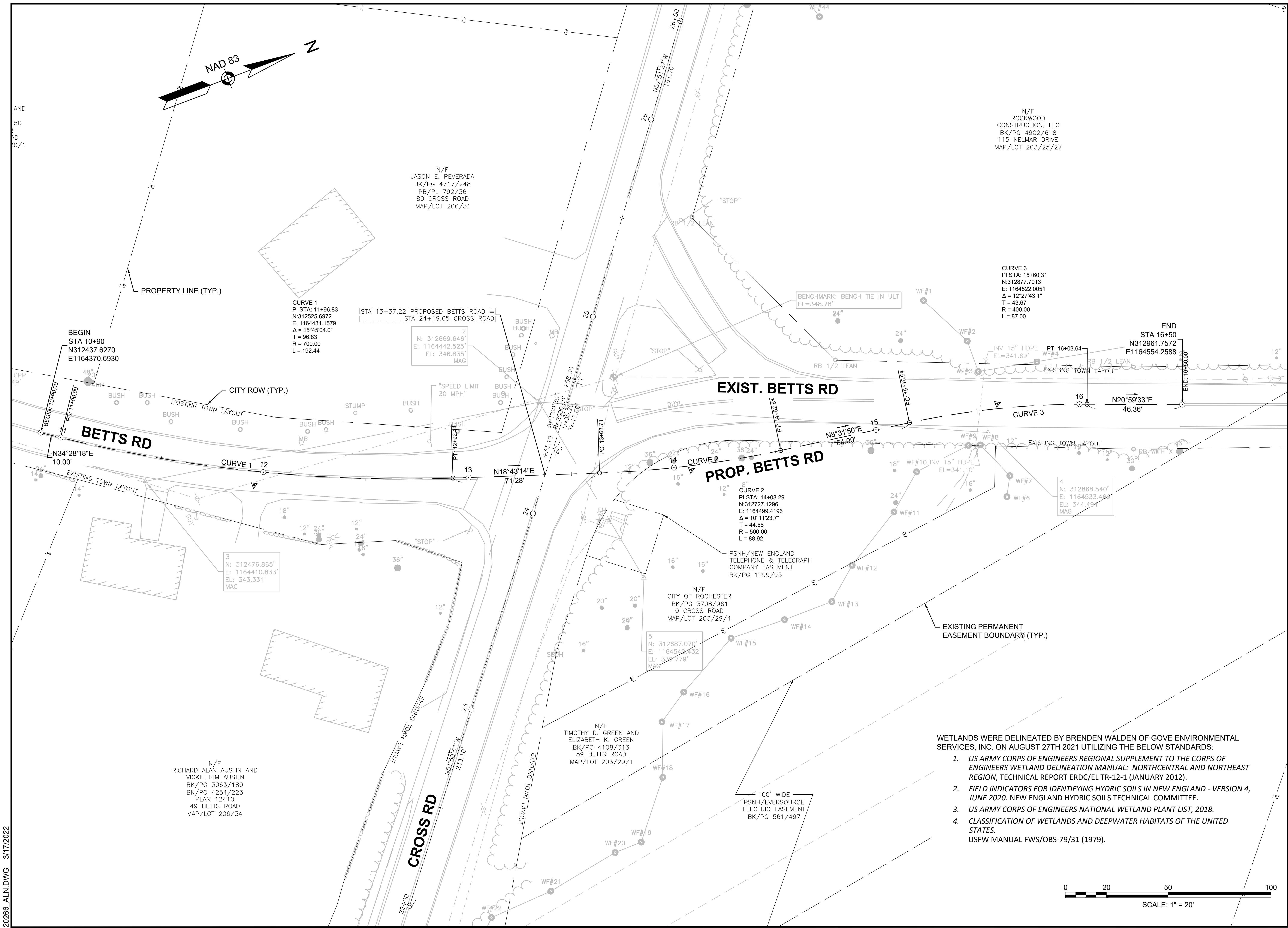
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MISC.
DETAILS

SCALE: NTS

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**BETTS ROAD AND CROSS ROAD
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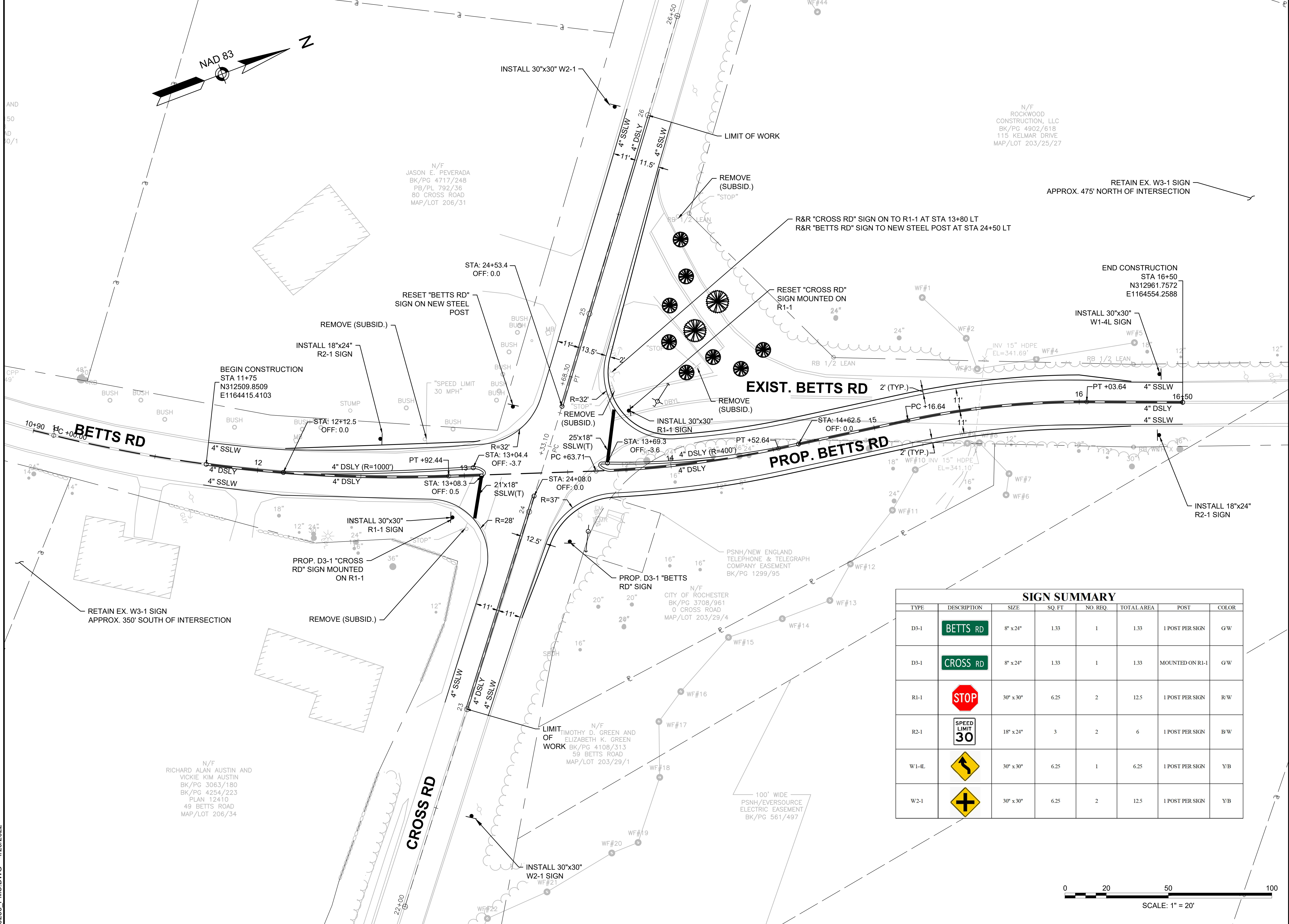
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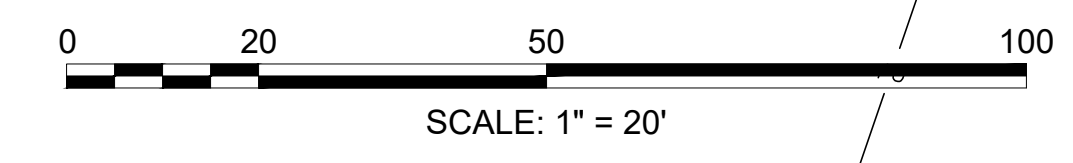
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20266 ALN.DWG 3/17/2022

**BETTS ROAD AND CROSS ROAD
 INTERSECTION IMPROVEMENTS
 ROCHESTER, NEW HAMPSHIRE**



TYPE	DESCRIPTION	SIZE	SQ. FT.	NO. REQ.	TOTAL AREA	POST	COLOR
D3-1	BETTS RD	8" x 24"	1.33	1	1.33	1 POST PER SIGN	G/W
D3-1	CROSS RD	8" x 24"	1.33	1	1.33	MOUNTED ON R1-1	G/W
R1-1	STOP	30" x 30"	6.25	2	12.5	1 POST PER SIGN	R/W
R2-1	SPEED LIMIT 30	18" x 24"	3	2	6	1 POST PER SIGN	B/W
W1-4L	W1-4L	30" x 30"	6.25	1	6.25	1 POST PER SIGN	Y/B
W2-1	W2-1	30" x 30"	6.25	2	12.5	1 POST PER SIGN	Y/B



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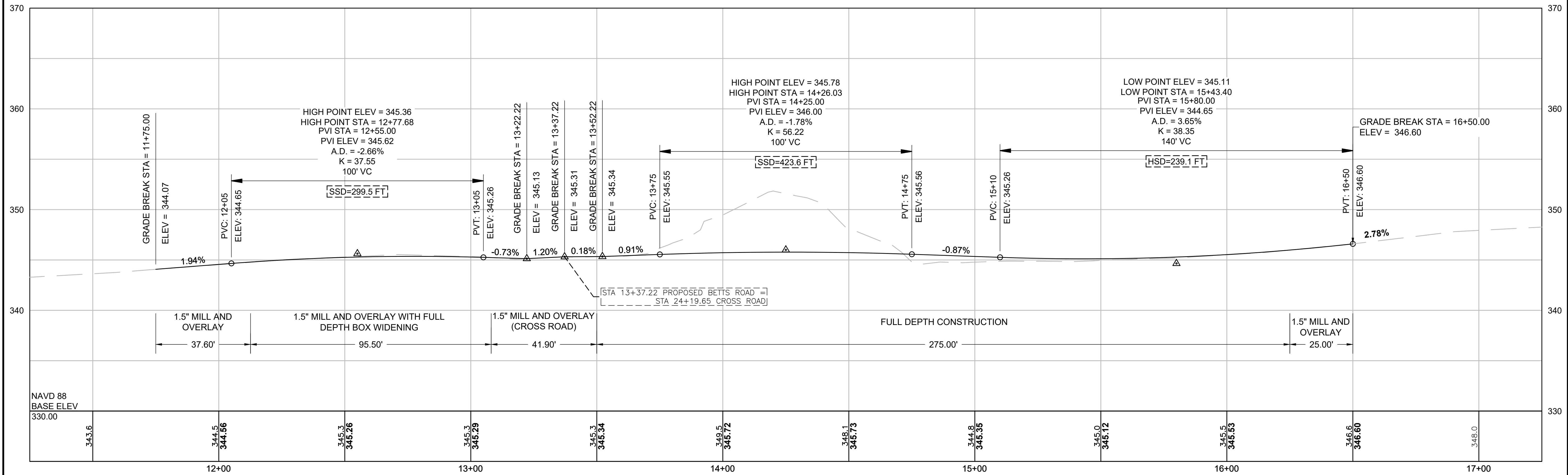
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**SIGNING &
 PAVEMENT
 MARKING PLAN**

SCALE: 1" = 20'
 NEX-2020266

**BETTS ROAD AND CROSS ROAD
 INTERSECTION IMPROVEMENTS
 ROCHESTER, NEW HAMPSHIRE**

PROPOSED BETTS ROAD PROFILE



REVISIONS

NO.	REVISION	DATE

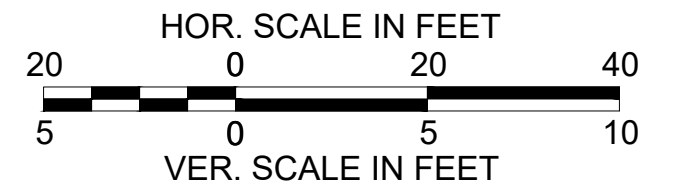
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CONSTRUCTION PROFILE

SCALE: 1"=20'

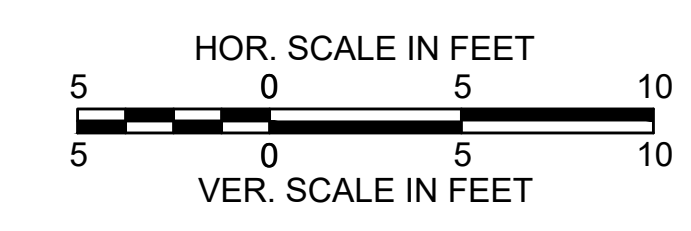
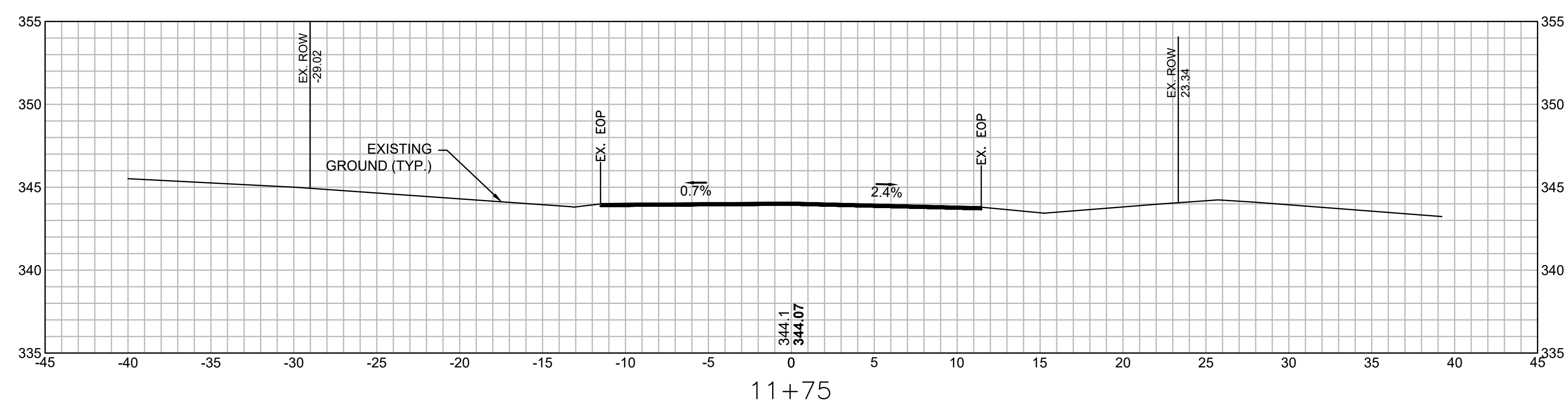
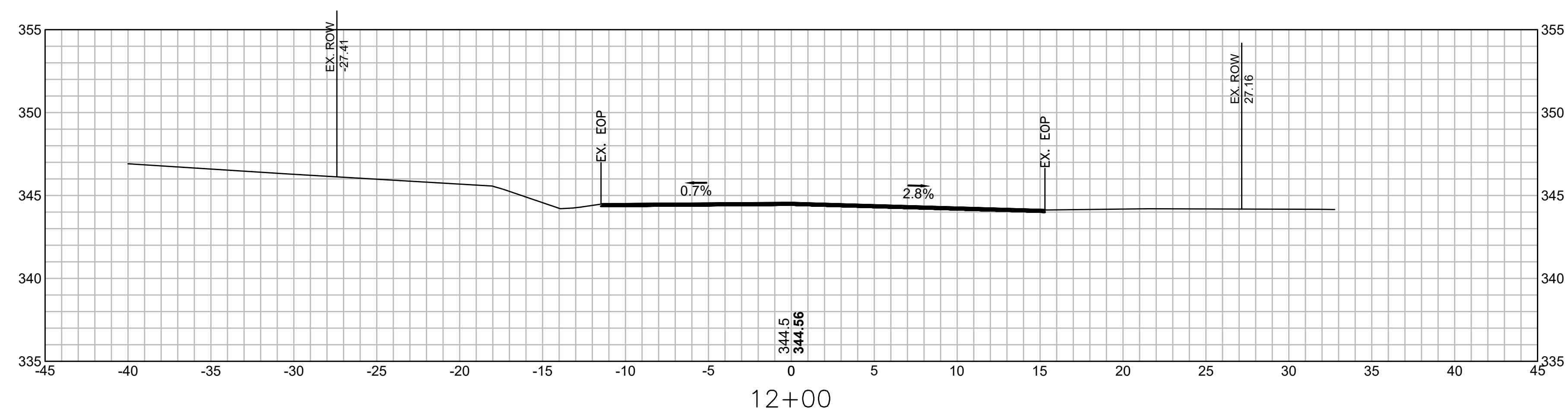
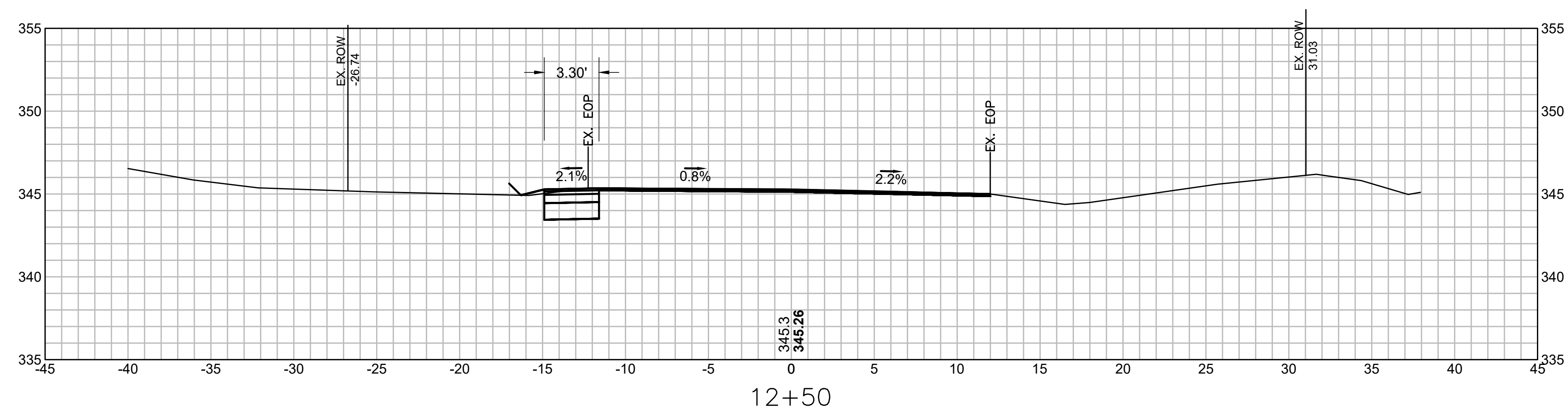
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PREPARED FOR
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ROCHESTER, NH 03867

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ROCHESTER, NEW HAMPSHIRE**



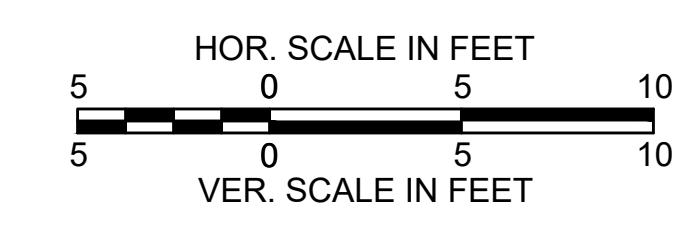
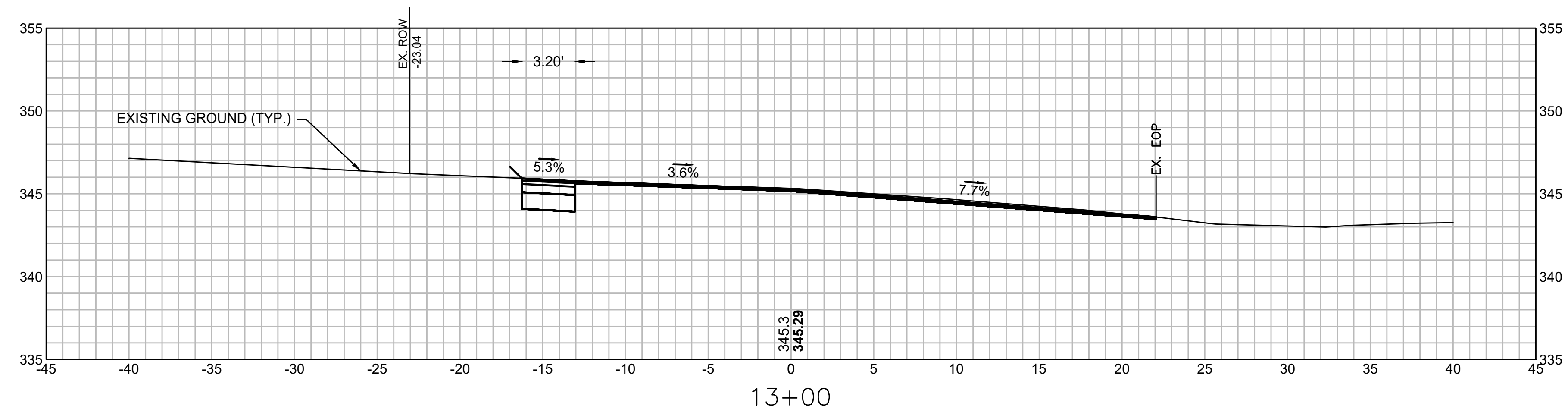
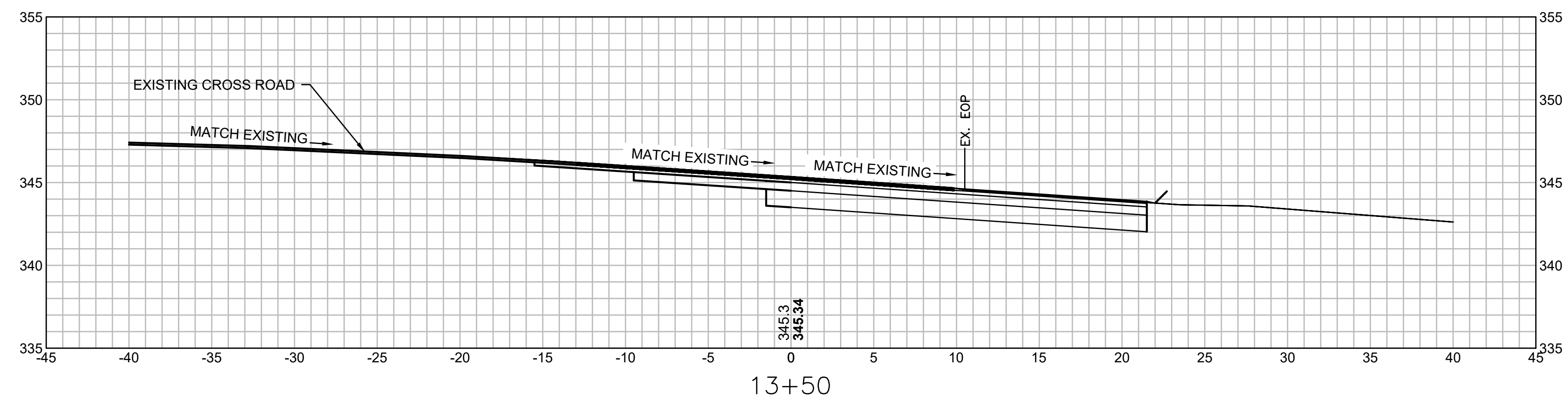
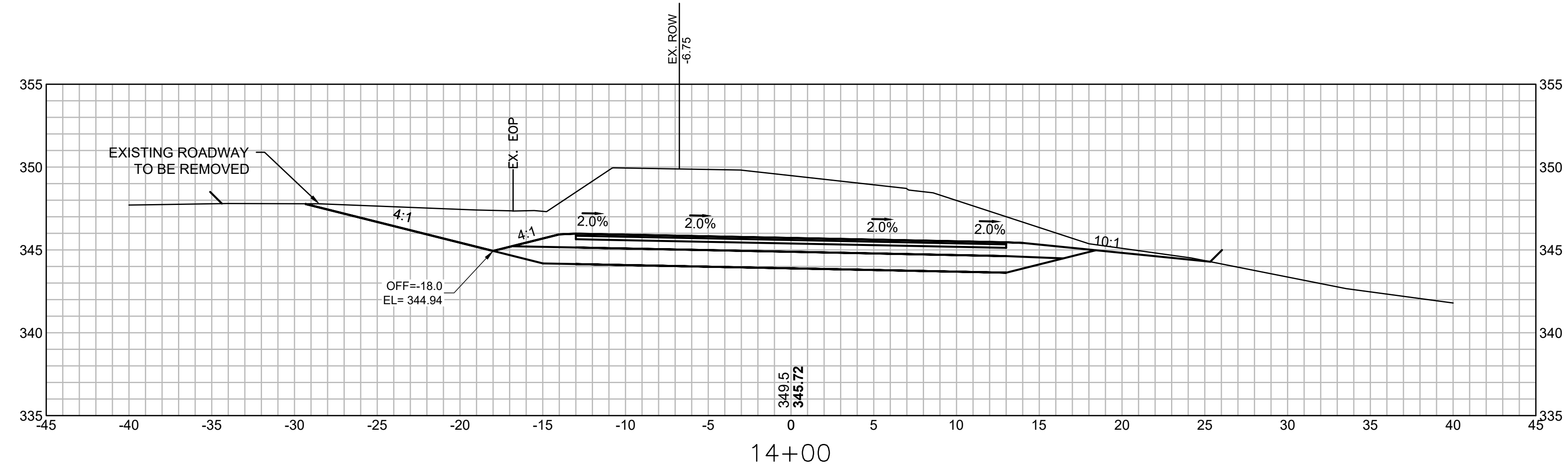
REVISIONS		
NO.	REVISION	DATE

MAY 12, 2022
DRAWN/DESIGN BY: KLH
CHECKED BY: MJD

**CROSS
SECTIONS**

SCALE: 1"=5'
NEX-2020266
10 OF 14

**BETTS ROAD AND CROSS ROAD
 INTERSECTION IMPROVEMENTS
 ROCHESTER, NEW HAMPSHIRE**



REVISIONS

NO.	REVISION	DATE

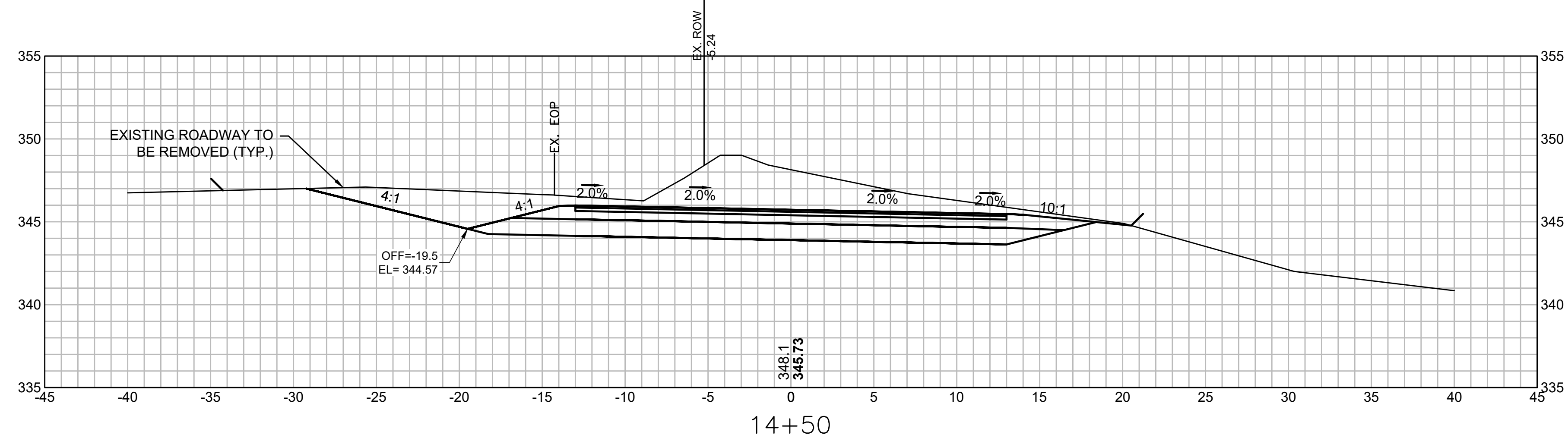
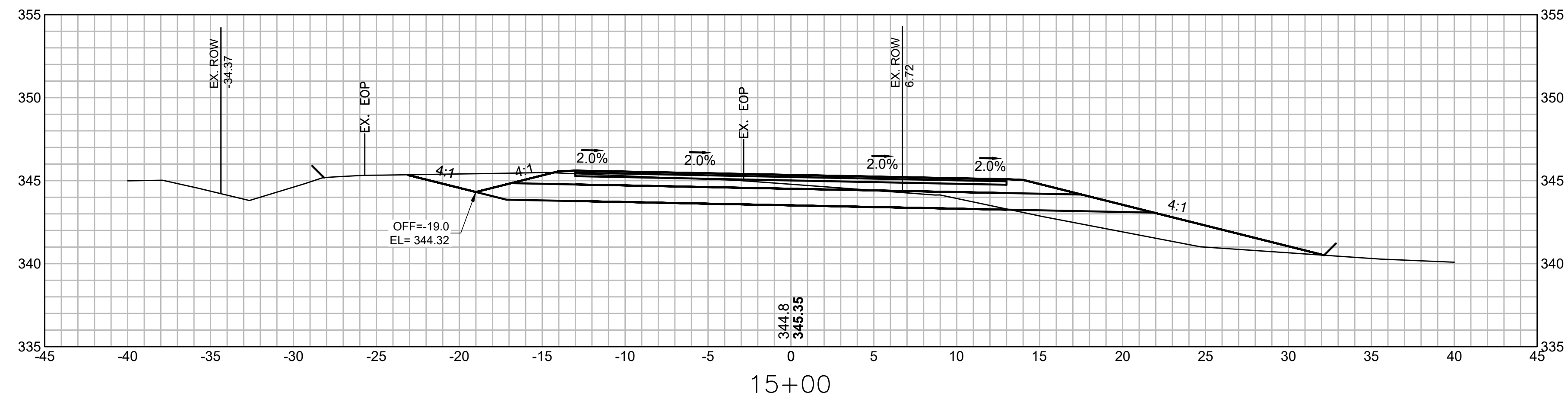
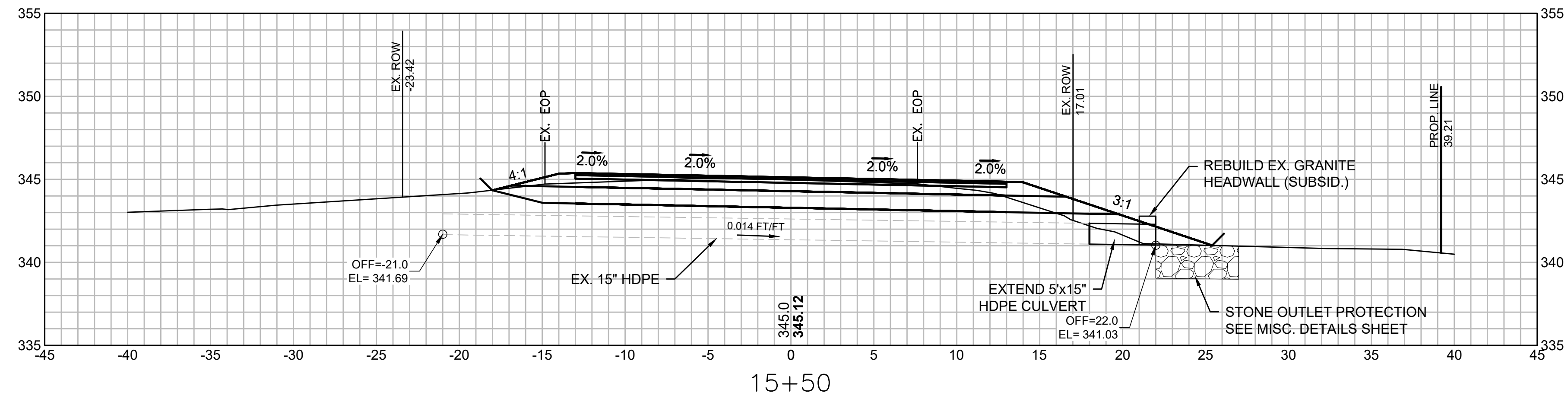
MAY 12, 2022
 DRAWN/DESIGN BY: KLH
 CHECKED BY: MJD

**CROSS
 SECTIONS**

SCALE: 1"=5'

NEX-2020266

**BETTS ROAD AND CROSS ROAD
INTERSECTION IMPROVEMENTS
ROCHESTER, NEW HAMPSHIRE**



REVISIONS		
NO.	REVISION	DATE

MAY 12, 2022

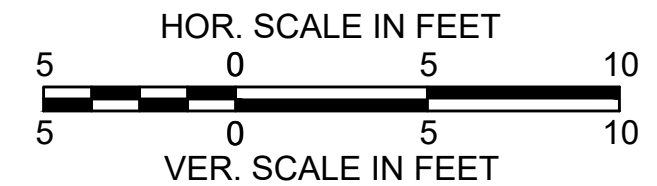
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CROSS SECTIONS

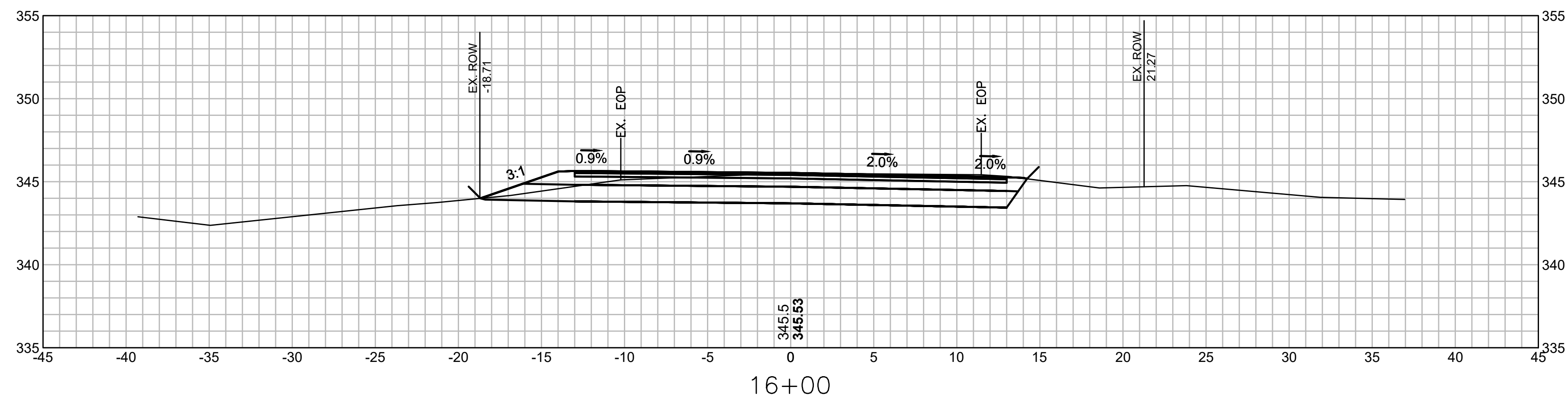
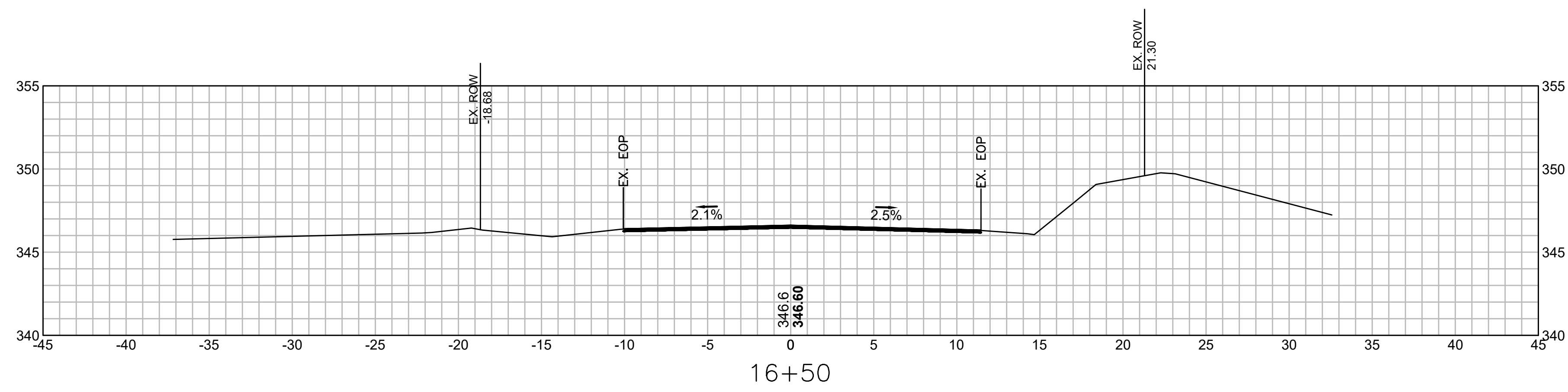
SCALE: 1"=5'

NEX-2020266

12 OF 14



**BETTS ROAD AND CROSS ROAD
INTERSECTION IMPROVEMENTS
ROCHESTER, NEW HAMPSHIRE**



REVISIONS

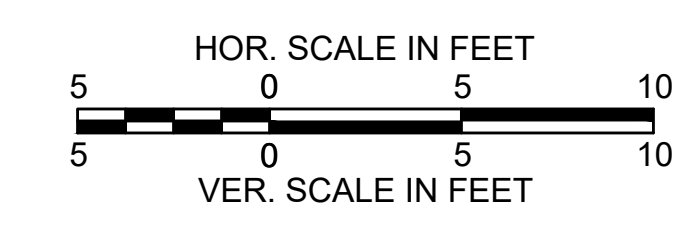
NO.	REVISION	DATE

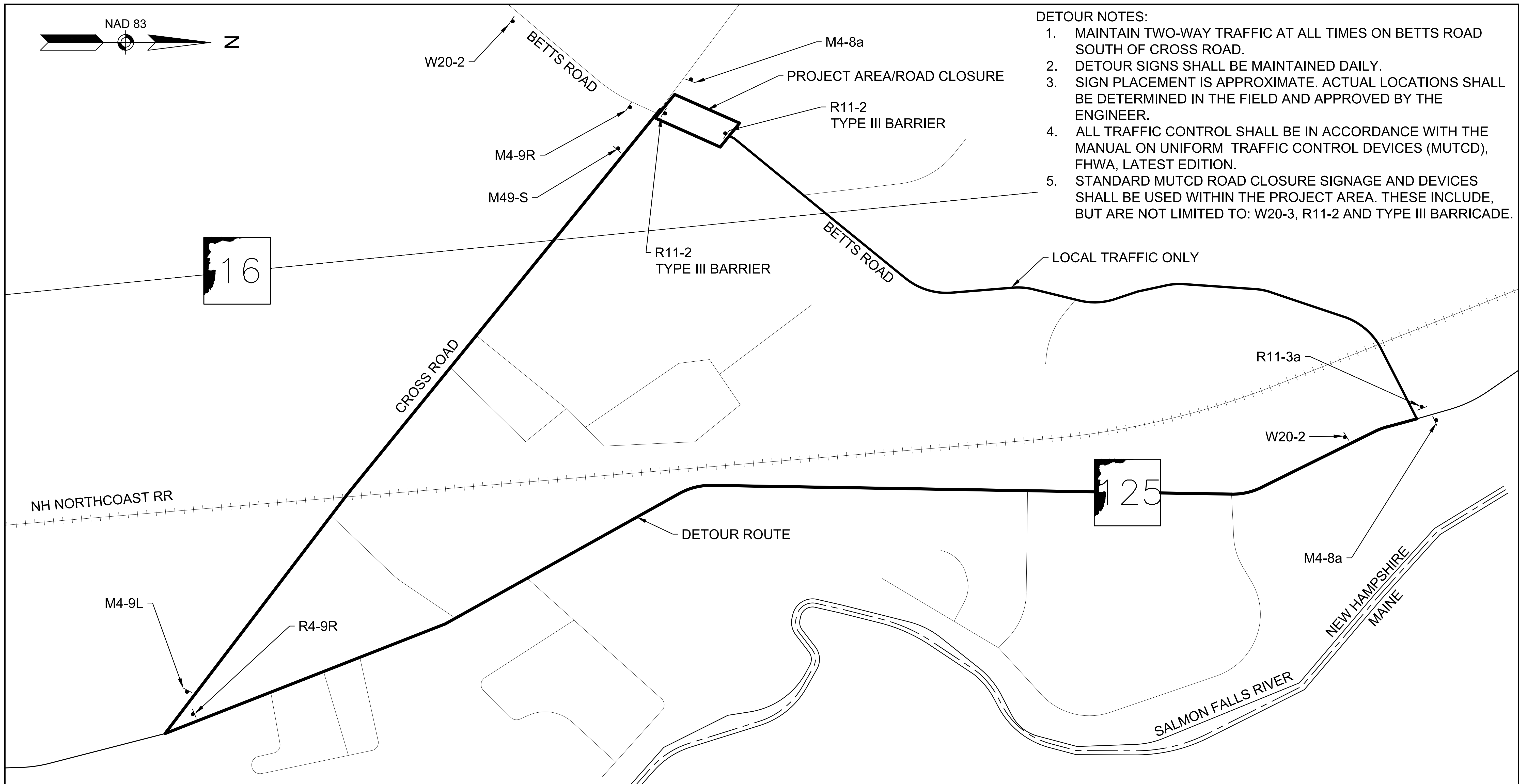
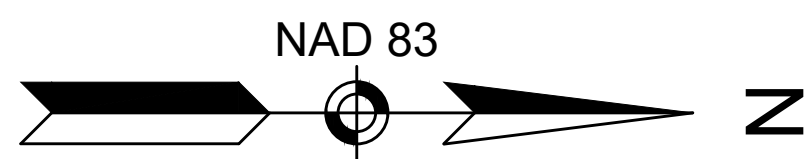
MAY 12, 2022
DRAWN/DESIGN BY: KLH
CHECKED BY: MJD

CROSS SECTIONS

SCALE: 1"=5'

NEX-2020266





DETOUR NOTES:

1. MAINTAIN TWO-WAY TRAFFIC AT ALL TIMES ON BETTS ROAD SOUTH OF CROSS ROAD.
2. DETOUR SIGNS SHALL BE MAINTAINED DAILY.
3. SIGN PLACEMENT IS APPROXIMATE. ACTUAL LOCATIONS SHALL BE DETERMINED IN THE FIELD AND APPROVED BY THE ENGINEER.
4. ALL TRAFFIC CONTROL SHALL BE IN ACCORDANCE WITH THE MANUAL ON UNIFORM TRAFFIC CONTROL DEVICES (MUTCD), FHWA, LATEST EDITION.
5. STANDARD MUTCD ROAD CLOSURE SIGNAGE AND DEVICES SHALL BE USED WITHIN THE PROJECT AREA. THESE INCLUDE, BUT ARE NOT LIMITED TO: W20-3, R11-2 AND TYPE III BARRICADE.

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PREPARED FOR
CITY OF ROCHESTER
45 OLD DOVER ROAD
ROCHESTER, NH 03867

**BETTS ROAD AND CROSS ROAD
INTERSECTION IMPROVEMENTS
ROCHESTER, NEW HAMPSHIRE**

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REVISIONS

NO.	REVISION	DATE

APRIL 22, 2022
DRAWN/DESIGN BY: KLH CHECKED BY: MJD

DETOUR PLAN

SCALE: 1"=20'

NEX-2020266

SIGN SUMMARY							
TYPE	DESCRIPTION	SIZE	SQ. FT	NO. REQ.	TOTAL AREA	POST	COLOR
M4-8a		24" x 18"	3	2	6	1 POST PER SIGN	O/B
M4-9L		30" x 24"	5	1	5	1 POST PER SIGN	O/B
M4-9R		30" x 24"	5	1	5	1 POST PER SIGN	O/B
M4-9S		30" x 24"	5	1	5	1 POST PER SIGN	O/B

SIGN SUMMARY							
TYPE	DESCRIPTION	SIZE	SQ. FT	NO. REQ.	TOTAL AREA	POST	COLOR
R11-2		48" x 30"	10	2	20	1 POST PER SIGN	W/B
R11-3a		60" x 30"	12.5	1	12.5	1 POST PER SIGN	W/B
W20-2		30" x 30"	6.25	1	6.25	1 POST PER SIGN	O/B
W20-3		30" x 30"	6.25	2	12.5	1 POST PER SIGN	O/B